Systematics and Evolution of the Arundinoideae and Micrairoideae (Poaceae)

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Systematics and Evolution of the Arundinoideae and Micriaroideae (Poaceae)

by

Jordan K. Teisher

A dissertation presented to the
Graduate School of Arts & Sciences
of Washington University in
partial fulfillment of the
requirements for the degree
of Doctor of Philosophy

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August 2016
ABSTRACT OF THE DISSERTATION

Systematics and Evolution of the Arundinoideae and Micrairoideae (Poaceae)

by

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Doctor of Philosophy in Biology and Biomedical Sciences
Evolution, Ecology and Population Biology
Washington University in St. Louis, 2016
Professor Barbara Schaal, Chair
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The grass family, Poaceae, is one of the most ecologically and economically important plant groups on Earth. However, the large size (over 11,000 species) and geographic range of the family makes complete resolution of the evolutionary relationships in Poaceae challenging, with some significant groups remaining neglected. Two such understudied clades, subfamilies Arundinoideae and Micrairoideae, possess an incredible amount of morphological and ecological diversity for their sizes, making them a potentially rewarding system in which to study evolution of a wide range of grass features. In this dissertation, I resolved many of the long-standing systematic issues in Arundinoideae and Micrairoideae and used this improved phylogenetic framework to investigate evolutionary issues of broad importance to the grasses.

First, I conducted a molecular phylogenetic analysis of the grass family using high-throughput sequencing of chloroplast genomes, focusing sampling on the taxonomically problematic Arundinoideae. I then used this phylogeny along with observations of herbarium specimens to explore patterns in the evolution of lemma traits across the diverse PACMAD
clade, a group containing roughly half of all grass species. I found that the Arundinoideae are polyphyletic, with several genera belonging in other subfamilies of PACMAD. Possession of a straight awn near the apex of the lemma is found to be the ancestral state in PACMAD, with the evolution of a geniculate awn and loss of lemma awns each evolving multiple times across the clade. Possession of a hairy callus and hairs on the body of the lemma are strongly associated with presence of a lemma awn, supporting the existence of a dichotomous burial syndrome of either smooth and round diaspores or elongate, awned and hairy ones. However, burial syndromes were not associated with changes in diversification rate at this phylogenetic scale.

I explored the origin of the polyploid genomes in Arundinoideae using a phylogenetic analysis of transcriptomic sequence data for four species: *Arundo donax*, *Hakonechloa macra*, *Molinia caerulea*, and *Phragmites australis*. I found strong support for a shared whole genome duplication in the ancestor of the latter three species, with possible support for another such duplication shared by all four. However, limited sampling in Arundinoideae and closely-related subfamilies makes the placement of this second genome duplication equivocal.

Lastly, I tested whether the unique origin of C₄ photosynthesis in tribe Eriachneae of Micrairoideae meets some of the expectations of an adaptive radiation. I used carbon isotopes and plastome phylogenetics of 24 species of Eriachneae that I collected in northern and northwestern Australia to test the phylogenetic limits of the C₄ pathway. Eriachneae were found to all be C₄, with the rest of Micrairoideae using the C₃ pathway. An analysis of bioclimate data in Micrairoideae showed that the shift to C₄ is associated with a transition to hotter and drier climates. However, counter to expectations based on other instances of C₄ evolution in grasses, Eriachneae did not undergo rapid lineage accumulation or habitat diversification following this transition, suggesting that in this case C₄ photosynthesis did not facilitate an adaptive radiation.
INTRODUCTION OF THE DISSERTATION
The grass family, Poaceae, is one of the most diverse and ecologically important plant families, with over 11,000 species occupying virtually all habitats on all continents. Tropical and temperate grasslands make up roughly 40 percent of the earth's land cover (White et al., 2000). Grasses are also arguably the most important plant family to humans, with cereal crops like rice, wheat, and maize contributing over 50 percent of the human race's caloric intake (Awika, 2011). Beer-lovers owe their favorite beverage to another grass, barley (subfamily Pooideae), and anyone with a sweet tooth is indebted to sugar cane, a member of the grass tribe Andropogoneae (subfamily Panicoideae). The desire to reduce humanity's dependency on nonrenewable resources for energy has stimulated interest in biofuel substitutes, and due to their fast growth rates and ability to grow on land that is unusable for agriculture, grasses constitute many of the most promising biofuel candidates (i.e. Porensky et al., 2014; Laurent et al., 2015).

Given the ecological and economic importance of the family, it is unsurprising that an enormous amount of research has been conducted on the genetics, physiology, ecology, and evolution of its members. This collection of literature, combined with the breadth of ecological adaptations in the family, presents almost limitless opportunities to explore fundamental issues in evolution in the grasses, from macroevolutionary trends to population-level dynamics. Vavilov (1922) drew heavily from data on cereal varieties to develop his concept of "homologous series of variation", and Arber (1943) outlined a detailed study of the grasses to understand questions raised by her broader studies in the monocots. More recently, Kellogg (2000) highlighted the utility of the family as a model for understanding the roles that heterotopic and heterochronic gene expression play in the evolution of anatomical and morphological features. Looking at smaller time scales, Glémin & Bataillon (2009) presented the Poaceae as an ideal system for conducting detailed comparative studies of the domestication process. The virtues of the grass
family as a model system are nearly endless, with the added benefit that basic discoveries in this system could enhance production in some of our most valuable crops in the future.

One feature that combines interesting evolutionary theory with potential practical value is the C$_4$ photosynthetic pathway. Most plants use C$_3$ photosynthesis, in which the enzyme Ribulose-1,6-bisphosphate carboxylase/oxygenase (RuBisCO) captures carbon dioxide (CO$_2$) in the mesophyll of the leaf. This enzyme evolved during a period of Earth's history when atmospheric CO$_2$ levels were much higher than today (Hayes, 1994). Thus, the fact that RuBisCO will also bind gaseous oxygen, producing potentially toxic phosphoglycolate that must be converted into useful metabolites, was of little consequence for most of the history of land plants (Sage, 1999). However, under higher concentrations of atmospheric oxygen, the energy-wasting oxygenation of RuBisCO and metabolism of phosphoglycolate, known collectively as photorespiration, become more significant selective forces (Sage et al., 2012). In C$_4$ plants, a different enzyme, phosphoenolpyruvate carboxylase (PEPC), is used to capture CO$_2$ in the mesophyll. This enzyme is much more specific in its binding and does not accidentally fix oxygen instead of CO$_2$. The intermediate carbon molecule formed by PEPC and CO$_2$ is transported into specialized cells around the leaf vasculature called bundle sheath cells, where the CO$_2$ is released via the action of one of several different enzymes. Expression of RuBisCO in C$_4$ plants is restricted to the bundle sheath cells, in which CO$_2$ becomes highly concentrated compared to the mesophyll and the atmosphere (Kellogg, 2013). Thus, the efficiency of RuBisCO is maximized, and the energy that would be wasted in photorespiration can be diverted to other purposes.

By dividing carbon fixation into two steps, C$_4$ plants use CO$_2$ much more efficiently than did their C$_3$ progenitors (Sage, 2004; Kellogg, 2013). This efficiency grants C$_4$ plants an
advantage in conditions in which CO₂ is limited, as in arid environments in which stomata need to be closed in order to reduce water loss or in open habitats in which the availability of light overwhelms the supply of CO₂ (Taylor et al., 2014). Several of the most important and productive crops are C₄, including maize, sorghum, and sugar cane (Brown, 1999), and considerable research has been directed towards engineering other major crops like rice, wheat, and soybean to use this pathway (i.e. Sage & Zhu, 2011; Slewinski, 2013; Wang et al., 2014).

The success of the C₄ pathway is also shown by the fact that it has arisen over 60 times in the flowering plants (Sage et al., 2011), with at least 22 independent origins in the grasses (GPWGII, 2011). These parallel transitions from C₃ to C₄ provide a rare example of historical replication, allowing evolutionary hypotheses about this trait to be tested with greater rigor. On average, grass lineages that use the C₄ pathway have greater diversification rates than those that use C₃, although the increase in rate often occurs after the transition to C₄ (Spriggs et al., 2014). The transition to the C₄ pathway also generally coincides with a shift from shaded to open habitats, with subsequent exploitation of arid habitats more likely in C₄ than C₃ grasses (Edwards et al., 2010). However, these general patterns are not without exceptions, and evolutionary history also plays a major role in the patterns seen in particular C₄ lineages (Edwards & Still, 2008). Additionally, the C₄ pathway is accomplished via a diversity of biochemical and anatomical modifications (Sinha & Kellogg, 1996; Liu & Osborne, 2015), and understanding the ways in which independent C₄ manifestations differ is critical to making generalizations about the role of this trait in shaping the evolution of the grasses.

Another major feature in plant evolution that is well-suited to study in Poaceae is polyploidy, or the possession by an organism of three or more complete sets of chromosomes (Ramsey & Schemske, 1998). Recent studies of plant genomes have shown that flowering plants
have experienced at least one ancient whole genome duplication (WGD) in their evolutionary history (Cui et al., 2006; Jiao et al., 2011). The history of the grass family is characterized by three recognizable WGD events: *tau* in the ancestor of most monocots (Jiao et al., 2014), *sigma* in the early Poales (Tang et al., 2010), and *rho* just prior to the origin of the Poaceae (Salse et al., 2008; McKain et al., 2016). Polyploidy can occur through duplication of the genome within a species (autopolyploidy), or through duplication of the genomes associated with a hybridization event between species (allopolyploidy) (Stebbins, 1947). Evidence for both kinds of polyploidy is abundant for many grass clades, including the bamboos (Triplett et al., 2014), the tribe Andropogoneae (Estep et al., 2012), the genus *Panicum* (Triplett et al., 2012), and the subfamily Danthonioideae (Linder & Barker, 2014).

The effects of polyploidy on subsequent evolution in a lineage remain equivocal. Genome doubling is associated with many physiological and developmental changes in plants (Levin, 1983; Otto & Whitton, 2000). Polyploid species have also been identified as being more prevalent in the arctic (Brochmann et al., 2004), and increased ploidy may have been associated with success during the Cretaceous-Tertiary mass extinction (Lohaus & Van de Peer, 2016). Polyploid plants have also been shown to be more likely to be invasive than their diploid progenitors (Pandit et al., 2011). Ancient polyploidy is associated with increased diversification rates, though often only after a substantial lag period (Tank et al., 2015). On the other hand, most recently-formed polyploid lineages diversify more slowly than their diploid relatives (Mayrose et al., 2011), and several authors have considered polyploidy to be an evolutionary dead end (Stebbins, 1971; Arrigo & Barker, 2012). Consensus as to how auto- and allopolyploidy affect evolution in plants is slowly growing, however, as more ancient and recent WGD events are identified and modern techniques are used to characterize them (Madlung, 2013; Kellogg, 2016).
A robust phylogenetic systematic framework is needed to test evolutionary hypotheses. In this regard again the Poaceae is exceptional as a result of centuries of taxonomic study. Phylogenetic analyses of the family have identified two large sister clades, named BOP and PACMAD after their constituent subfamilies, that each contain roughly half of the species diversity in Poaceae (Clark et al., 1995; GPWG, 2001; GPWGII, 2011). Rice, wheat, bamboos, and most of the cool-season grasses are included in the BOP clade (Bambusoideae, Oryzoideae, and Pooideae), while maize, sorghum, sugar cane, and the tropical savannah grasses are included in PACMAD (Panicoideae, Aristidoideae, Chloridoideae, Micrairoideae, Arundinoideae, and Danthonioideae). The deepest phylogenetic splits in Poaceae separate three relatively species-poor subfamilies from each other and from the BOP+PACMAD clade: Anomochlooideae - four species in two genera, Pharoideae - twelve species in three genera, and Puelioideae – eleven species in two genera (Kellogg, 2015; Soreng et al., 2015).

Analyses of relationships between the PACMAD subfamilies have generally treated the Aristidoideae as sister to the remaining subfamilies, with Panicoideae sister to a clade consisting of the pairs Chloridoideae+Danthonioideae and Arundinoideae+Micrairoideae (Clark et al., 1995; GPWGII, 2011). However, Cotton et al. (2015) found support using whole-chloroplast genome sequence data for an alternative topology in which the Panicoideae is sister to the rest of PACMAD, though the contrasting topology cannot be rejected with their data. Aside from the position of the Aristidoideae, the relationships between the PACMAD subfamilies appear to be robust to additional sampling of taxa and molecular markers. Relationships have also been identified for many of the major clades within the four largest subfamilies: Panicoideae (Aliscioni et al., 2003; Doust et al., 2007; Sanchez-Ken & Clark, 2007), Chloridoideae (Duvall
et al., 2016), Aristidoideae (Cerros-Tlatilpa et al., 2011), and Danthonioideae (Barker et al., 2007).

Subfamilies Arundinoideae and Micrairoideae are the two smallest and least well-studied subfamilies in the PACMAD clade. Molecular phylogenetic analyses identify a clade consisting of these two subfamilies that is sister to the Chloridoideae+Danthonioideae (GPWG II, 2011). The clade formed by the Arundinoideae and Micrairoideae possesses a remarkable amount of morphological and ecological diversity given the relatively small number (<200) of species it contains. This diversity among a manageable number of species presents an opportunity to investigate many evolutionary phenomena that are of interest in this clade, among the rest of the PACMAD grasses, and among grasses and flowering plants in general. In many ways the Arundinoideae and Micrairoideae form a snapshot of grass diversity in miniature, including a unique origin of the C4 photosynthetic pathway, transitions to cold climates from tropical ones, adaptation to both dry and aquatic habitats, development of woody culms, evolution of multiple ploidy levels, and one of only two genera in Poaceae to have spiral phyllotaxis.

**Study System: Arundinoideae and Micrairoideae**

Since its description by Beilschmied in 1833, the subfamily Arundinoideae has been used as a holding place for taxa that did not fit well elsewhere in the classification of the grasses. As a result, the generic composition of the subfamily has varied widely between treatments. Tateoka (1957) included seventeen tribes in subfamily Arundoideae, including members from across the currently recognized grass phylogeny. Renvoize (1981) examined leaf blade anatomy in 72 genera that could not be assigned to one of four anatomically distinct subfamilies: Bambusoideae, Pooideae, Chloridoideae, and Panicoideae. Using a multivariate analysis of 65
coded anatomical characters, he identified a "core Arundinoideae" of 43 genera that possess a unique set of characters separating them from the other four subfamilies. Aside from a few wildly misplaced taxa (i.e. *Lygeum*, a member of the Pooideae in the BOP clade), this assemblage contains what would later become the current Danthonioideae and Arundinoideae.

Following the analysis of Renvoize (1981), Clayton & Renvoize (1986) circumscribed the Arundinoideae to include four tribes: one tribe containing the "core Arundinoideae" and three others to accommodate the taxa identified by Renvoize (1981) as "peripheral". The authors consider this subfamily to contain the ancestors of the tropical savannah grasses (i.e. subfamilies Panicoideae and Chloridoideae), noting the geographically fragmented distribution of the Arundinoideae as evidence of a declining group. This position was supported by Conert (1986), who described the Arundinoideae as a "very old group", also citing the scattered geographic ranges and small numbers of species among the arundinoid genera. A phylogenetic analysis of structural characters by Kellogg & Campbell (1987) revealed that the Arundinoideae was polyphyletic.

Watson & Dallwitz (1992), using a phenetic approach, recognized eleven tribes within subfamily Arundinoideae, including *Micraira* and the Eriachneae and with members of modern Danthonioideae forming a separate tribe. The heterogeneous nature of the Arundinoideae was recognized by these authors, who referred to it as "…an unsatisfactory assemblage of convenience, which is not amenable to anything approaching a diagnostic description, and is probably polyphyletic" (Watson & Dallwitz, 1992 p. 47). A common theme throughout studies of the Arundinoideae is that even with different techniques, structural character sets, and classification schemes, the relationships among the heterogeneous taxa in this subfamily resist
clarification, leading to an unnatural group that obscures the evolutionary history of the grass family.

Molecular phylogenies have been critical in identifying and resolving the chronic polyphyly of the Arundinoideae. Barker et al. (1995) and Clark et al. (1995) were able to remove several taxa from the Arundinoideae on the basis of chloroplast \textit{rbcL} and \textit{ndhF} sequence data, respectively. These studies also identified a core Arundinoideae consisting of \textit{Arundo}, \textit{Phragmites}, \textit{Molinia}, and, in the case of Barker et al., \textit{Hakonechloa} and \textit{Monachather}. Barker (1997) and Linder et al. (1997) added \textit{Amphipogon}, \textit{Elytrophorus}, and \textit{Styppeiochloa} to this core Arundinoideae on the basis of \textit{rbcL} sequence data. Using the \textit{rpoC2} chloroplast insert, Barker et al. (1999) found a strongly supported relationship between the South African genus \textit{Dregeochloa} and \textit{Phragmites}, but were unable to resolve the placement of \textit{Arundo} and placed \textit{Amphipogon} outside the restricted Arundinoideae. Two phylogenetic analyses using ribosomal ITS sequences Hsiao et al. (1998; 1999) found support for a broader monophyletic Arundinoideae that includes taxa falling out in the Danthonioideae, Aristidoideae, and Panicoideae in chloroplast analyses. However, the combined analysis of multiple data sets including ITS and chloroplast sequences as well as structural characters from across the grass family, only a reduced "arundinoid core" excluding the Danthonieae and \textit{Aristida} was found to form a clade (GPWG, 2001). This result was supported by the largest phylogenetic analysis of grass species using three chloroplast markers (GPWG II, 2011) and by a recent study using whole-chloroplast genomes (Cotton et al., 2015).

In the only phylogenetic study focused on the reduced set of arundinoid taxa, Linder et al. (1997) found weak morphological support for a clade consisting of several other putative members of Arundinoideae, including \textit{Crinipes}, \textit{Leptagrostis}, \textit{Piptophyllum}, \textit{Nematopoa},
Zenkeria, and Styppeiochloa. These genera were moved to the Arundinoideae from what is now the Chloridoideae by De Winter (1961), Jacques-Félix (1962), and Hubbard (1967) on the basis of leaf anatomy. The clade formed by these taxa is resolved in the Linder et al. (1997) phylogeny as sister to a clade consisting of Phragmites, Molinia, Arundo, Hakonechloa, and Dichaearia. In this analysis, Arundo is more closely related to Phragmites than the latter is to Hakonechloa, which is at odds with earlier chloroplast topologies. The African genus Alloeochaete is considered by Linder et al. to be a member of the Danthonioideae, though they acknowledge that difficulties in rooting the morphological tree make resolving relationships between the broader clades in the analysis difficult. Since this genus has not been included in any phylogenetic analysis in the Danthonioideae, and its placement in this subfamily is equivocal, it is treated here as a putative member of the Arundinoideae.

Two other poorly-studied monotypic genera have been placed in the Danthonieae by Watson & Dallwitze (1992) and are treated here as putative arundinoids. They are the Ethiopian genus Phaenanthoecium and the Indian genus Danthonidium. The spikelet of Phaenanthoecium is similar to that found in the Danthonioideae (Kabuye & Renvoize, 1975), but the same general spikelet morphology is found in the "crinipoid group" in Arundinoideae. Danthonidium was described by Linder et al. (1997) as being part of a group of genera "probably misplaced in the Arundineae", but that group included Amphipogon, which Barker (1997) showed is closely related to Arundo.

Because the Arundinoideae have no known synapomorphies, it is also possible that there are taxa currently classified in other subfamilies that belong in this group. An example of such a discovery using a phylogenetic analysis of chloroplast and nuclear markers is presented in Ingram et al. (2011). The authors of this study sought to resolve the paradox of Eragrostis
walteri, the only known C₃ species in an otherwise entirely C₄ genus, and found that this taxon is not a member of Eragrostis at all, but rather most likely belongs in the Arundinoideae.

The nineteen genera (including Eragrostis walteri) remaining in the more limited Arundinoideae are still heterogeneous morphologically and ecologically, with no clear geographic center of diversity. Nine genera occur across tropical East Africa, two are endemic to Australia, four occur only in east Asia, and a few, like Phragmites, Molinia, Elytrophorus, and Arundo, have very broad distributions across multiple continents. Eight genera are monotypic, and the most species-rich genus, the Australian endemic Amphipogon, has only eight members.

As mentioned above, the lack of species numbers in this subfamily is at odds with the very high morphological diversity and disparity among its members. Under more inclusive delimitations, some authors considered the possibility that Arundinoideae is paraphyletic and made up of the relatively unsuccessful ancestors of the savannah grasses in the highly speciose Panicoideae and Chloridoideae (Clayton & Renvoize, 1986; Conert, 1987). This explanation made sense in the context of the polyphyletic former taxonomic treatments of the Arundinoideae, but has become less likely given more recent phylogenies. The sampled Arundinoideae form a relatively young clade within PACMAD and still do not possess any identifiable synapomorphies (GPWG II, 2011). Still, most of the genera in the subfamily have not been included in any molecular analysis, so the possibility that some of those taxa belong in other subfamilies, thus explaining at least part of the morphological disparity of the group, cannot be discarded. If the Arundinoideae as currently circumscribed is monophyletic, it represents a remarkable phylogenetic clustering of morphological evolution in the absence of substantial species accumulation. If it is still polyphyletic, then identifying the proper placement of the genera in this subfamily becomes important for inferring character evolution across the PACMAD grasses.
As an example, the members of Arundinoideae possess a wide range of spikelet characters involved in dispersal and burial of the seed and its protective structures, known collectively as the diaspore (van der Pijl, 1982). In particular, one of the two large bracts wrapped around the grass fruit is called the lemma and ranges from being relatively simple and associated with a rounded spikelet to being adorned with hairs, a pointed base, and a needle-like projection near its apex, known as an awn. These structures have been shown to facilitate guided and active burial, in which the awn changes configuration in response to changes in humidity to propel the diaspore across the ground and into suitable burial sites (Peart, 1979; 1981; Elbaum et al., 2007). Humphreys et al. (2010) analyzed the evolution of lemma traits in the Danthonioideae and found that lemmas tended to be awned, pointed, and hairy, or unawned, rounded, and smooth, with relatively few intermediate species possessing a mixture of these traits. The authors describe these two suites of character states as being opposite poles of a "burial syndrome" that represent alternative adaptations to different habitats. They found that possession of hygroscopic (water-sensitive) lemma awns is the ancestral condition in subfamily Danthonioideae, and that loss of these awns corresponds with changes in life history and a statistically insignificant decrease in diversification rates. However, the applicability of these results to other subfamilies of grasses or to the PACMAD grasses as a whole is unknown. Resolving the phylogenetic relationships in Arundinoideae would address this problem in two ways. First, the subfamily possesses both extremes of the burial syndrome among a small number of species, so the differences in species numbers in this group are unlikely to be attributable to differences in burial syndrome. Second, if Arundinoideae is polyphyletic, the misplaced taxa could substantially change estimates of ancestral states depending on where they belong in the phylogeny. The same
logic applies for a large host of other morphological and anatomical traits, making systematic studies in the Arundinoideae especially appealing.

The Arundinoideae are also noteworthy for genomic evolution and ecological invasion of new habitats. Most taxa in the subfamily reside in the Old World Tropics, but two separate invasions of the temperate zone can be inferred from chloroplast phylogenies. One such transition seems to have occurred in the ancestor of *Phragmites*, *Molinia*, and *Hakonechloa*, while the other occurred in the ancestor of the species of *Arundo*. *Phragmites* consists of four species, with *P. australis*, or common reed, possessing a nearly global distribution. *Molinia* and *Hakonechloa* have two and one species, respectively. *Molinia* has a distribution extending across Europe and in China, while *Hakonechloa* is restricted in its native range to the main island of Japan. *Arundo* has five species with a center of diversity in Eurasia (Hardion *et al.*, 2012). One species, *A. donax* (giant reed), is similar to *P. australis* in being a large-statured invasive reed (Lambert *et al.*, 2010) and in having a cosmopolitan distribution, although *A. donax* tends to avoid the cold more than *P. australis*. These four genera are all polyploids, with ploidy levels up to 12x in *Molinia* (Dančák *et al.*, 2012) and *Phragmites* (Clevering & Lissner, 1999) and up to 10x in *Arundo* (Bucci *et al.*, 2013). A great deal of physiological and genetic research has been conducted on *P. australis* and *A. donax* due to their invasiveness (Saltonstall, 2002) and potential use as biofuels (Laurent *et al.*, 2015), and *Molinia caerulea* is a major component of European heathland (Taylor *et al.*, 2001), but the source of the duplicated genomes in Arundinoideae is unknown. Elucidation of the history of the genomes in these taxa could provide valuable insights into the evolution of cold tolerance and invasiveness as well as potentially explain the convergence in morphology and ecology between *Phragmites* and *Arundo*. 
Relationships within the Micrairoideae have also been difficult to resolve until relatively recently. Bentham (1878; 1881) placed the genera *Isachne*, *Eriachne*, and *Micraira* in the same tribe, but subsequent authors placed *Micraira* in various tribes and subfamilies, including the Aveneae in Pooideae (Bentham and Hooker, 1883), the Bambusoideae (Tateoka, 1957), Arundinoideae (Clayton & Renvoize, 1986; Watson & Dallwitz, 1992), and Eragrostoideae (Clifford, 1964). Pilger (1954) and Lazarides (1979) placed the genus in its own subfamily, Micrairoideae because of the difficulty in assigning it to any existing taxonomic group within the Poaceae. The taxonomic history of *Eriachne* has been similarly inconsistent, with different authors placing the genus in the Aveneae (Hubbard, 1973), the Danthonieae (Watson & Clifford, 1976), and the Aristideae (Brown, 1977). Eck-Borsboom (1980) ruled out these placements on the basis of morphology and anatomy and erected a tribe, Eriachneae, that includes *Eriachne* and its close relative *Pheidochloa*. The Isachneae, including the genera *Isachne*, *Coelachne*, *Heteranthoecia*, *Limnopa*, and *Sphaerocaryum*, was recognized as a natural group on the basis of leaf anatomy by Hubbard (1943) and supported by subsequent studies by Potztal (1952) and Prakash & Jain (1987). Later, the rare Indian genus *Hubbardia* was described by Bor (1950) and added to the tribe. The Isachneae were placed in the Paniceae by most authors (i.e. Pilger, 1954; Jacques-Felix, 1962; Clayton & Renvoize, 1986; Watson & Dallwitz, 1992; GPWG, 2001), although some authors thought it was better suited to the Pooideae (Bor, 1960) or in its own subfamily (Prakash & Jain, 1984).

Once again, molecular phylogenies fundamentally changed the classification of the Micrairoideae. The classification of the grass family by GPWG (2001) left *Micraira* and *Eriachne* as incertae sedis due to a lack of support for their placement in the phylogeny. However, Duvall *et al.* (2003) found that *Isachne*, *Eriachne*, and *Pheidochloa* form a clade that
is separate from the Panicoideae in a phylogenetic analysis of that subfamily using \textit{rpoC2} and \textit{ndhF} chloroplast markers. A subsequent study by Duvall \textit{et al.} (2007), aimed at filling in some of the taxonomic holes in the GPWG (2001) study, found support for a clade consisting of \textit{Isachne}, \textit{Eriachne}, and \textit{Micraira} that is sister to the Arundinoideae. This result was supported by Sánchez-Ken & Clark (2007) using \textit{ndhF} and \textit{rpl16} intron sequences as well as structural data, which led Sánchez-Ken \textit{et al.} (2007) to reinstate the subfamily Micrairoideae on the basis of expanded sampling within the group. Thus was the "M" put in "PACMAD".

The Micrairoideae in its current circumscription consists of approximately 188 species divided between the three tribes Micraireae, Isachneae, and Eriachneae. Micraireae has only one genus, \textit{Micraira}, which contains fifteen species occupying a disjunct distribution featuring one species in eastern Queensland and the other fourteen species in the Northern Territory (Lazarides, 1979; Lazarides \textit{et al.}, 2005). This odd genus possesses a moss-like growth habit, with spiral phyllotaxis (Philipson, 1935) and forming dense mats on seasonally moist, rocky sites. The Micraireae form the sister clade to a clade containing the Isachneae and Eriachneae. Tribe Isachneae contains roughly 120 species in the six genera mentioned above, with the vast majority of species belonging in the widespread genus \textit{Isachne}. Members of this tribe occupy primarily moist habitats in the tropics and subtropics of Australia, Southeast Asia, Central Africa, and South America, with a greater diversity of species in the eastern hemisphere and particularly in Indo-Malaysia (Prakash & Jain, 1987a). The Eriachneae consists of two genera, \textit{Eriachne} and \textit{Pheidochloa}, with 48 and 2 species, respectively. Members of this tribe are mostly endemic to Australia, occupying dryer, open habitats across the continent.

From an evolutionary standpoint, the Micrairoideae represents a unique opportunity to investigate the impact of the acquisition of C\textsubscript{4} photosynthesis on diversification and ecology in a
clade that appears to oppose general trends for grasses. As mentioned above, the C₄ pathway is on average associated with higher diversification rates compared with C₃ sister clades in grasses (Spriggs et al., 2014). Part of the explanation for this phenomenon is that the C₄ pathway allows a plant access to habitats that would otherwise be too harsh in terms of solar intensity, heat, and aridity (Taylor et al., 2014). In the Micrairoideae, however, both of these general trends are reversed, in that the C₃ grasses in Isachneae occupy a broader geographic range and include more species than their C₄ sister tribe Eriachneae. Additionally, members of the tribe Isachneae appear to occupy a wider range of habitat types, with those of Eriachneae and Micraireae preferring open or shady and rocky or sandy sites in mostly arid habitats. If this is taken as the ancestral habitat for the Micrairoideae, then C₃ Isachneae experienced a diversification following a transition into shadier, wetter habitats, while its C₄ sister taxon Eriachneae remained in habitats more similar to the ancestral condition and diversified more slowly. This pattern is inconsistent with the general trend found in Hawaii for Paniceae (Christin & Osborne, 2014) and for most other PACMAD lineages (Edwards et al., 2010). However, only a few species in the Micrairoideae have been studied phylogenetically, and the taxonomic limits of the C₄ pathway within Eriachneae have not been broadly tested. Additionally, the tendency for Eriachneae and Micraireae to occupy similar habitats as compared to Isachneae has not been evaluated quantitatively.

Outline of the Dissertation

Three evolutionary phenomena in the Arundinoideae and Micrairoideae are the subjects of this dissertation. In Chapter 1, I explore the evolution of morphological characters associated with seed dispersal and burial in the PACMAD grasses using a whole-chloroplast genome
phylogeny of the grasses with a focus on sampling members of the Arundinoideae. This
subfamily has the potential to affect ancestral state estimates across the PACMAD grasses
because it possesses a wide range of character states and is probably polyphyletic. As one
example, I use the plastome phylogeny to analyze the evolution of three lemma traits that have
been shown to be important in grass dispersal and burial. Since this is also the largest sampling
of whole-chloroplast genomes in a phylogeny of the grass family, I also estimate divergence
dates for the family and use those dates to test for significant shifts in diversification rate within
the PACMAD clade.

The focus of Chapter 2 is on the evolution of polyploidy among the temperate members
of Arundinoideae. I combine novel transcriptome data from *Arundo donax*, *Phragmites australis*,
*Molinia caerulea*, and *Hakonechloa macra* with existing data from the subfamilies Panicoideae,
Oryzoideae, Bambusoideae, and Anomochlooideae in a phylogenetic framework to identify
potential whole genome duplications in the Arundinoideae and PACMAD clades. I also discuss
the possible implications of polyploidy on the ecology and evolutionary history of
*A. donax* and
*P. australis*, which are both large invasive reeds with cosmopolitan distributions.

In Chapter 3, I address the role that the acquisition of C₄ photosynthesis has played in the
Micrairoideae. I first examine the phylogenetic distribution of the C₄ pathway in this poorly-
studied subfamily using carbon-isotope ratios from herbarium specimens. I also compare
climatic distributions of C₄ and C₃ taxa using a principal components analysis of nineteen
BioClim variables from the WorldClim database. Finally, I construct a whole-chloroplast
phylogeny of the C₄ tribe Eriachneae using field collections I gathered in the Northern Territory
and Western Australia to test the hypothesis that the pathway has facilitated an adaptive radiation
into new habitats.


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CHAPTER 1

"Awn" or Off: Evolution of Dispersal and Burial Traits in the PACMAD Grasses
1.1 INTRODUCTION

Plant seeds are confronted with the joint challenges of moving away from the parent and getting to an appropriate place for germination. Dispersal can be accomplished through a variety of forces, including wind, water, gravity, and animal movement (Van der Pijl, 1982; Cousens et al., 2008). Modifications of seeds and their accessory dispersal structures, together forming the dispersal unit or "diaspore", facilitate movement via these forces, such as the fur-catching burrs of Geum (Sorensen, 1986; Kiviniemi, 1996) or the wind-riding samaras of maple trees (Green, 1980). Additionally, plant structures can generate considerable mechanical force to propel seeds, as in the well-known case of explosive seed pods in the touch-me-not, genus Impatiens (Hayashi et al., 2009). As can been seen from these examples, dispersal operates over very different spatial scales for different taxa. Furthermore, there is no guarantee that the habitat reached by the diaspore will be conducive to germination. This is especially problematic for small seeds, as heterogeneity in soil microhabitats can present significant challenges to germination in otherwise suitable broader habitats (Harper et al., 1965; Hamrick & Lee, 1987). The orientation of the seed in or on the soil can also affect germination rates, in large part through water loss from exposed attachment scars (Sheldon, 1974). To address these finer-scale challenges, many species have evolved moisture-sensitive bristles, hairs, or other tissue projections that push or pull the diaspore across the soil surface and help orient the seed in the soil (examples in Van der Pijl, 1982 and below). Diaspore structures thus have broad importance for plant evolution, being involved in both long distance dispersal and facilitation of seed germination in new habitats.

A wide variety of diaspore modifications can be found in the grass family, Poaceae, corresponding to the range of habitats occupied by its members (Davidse, 1987; Kellogg, 2015). At least part of this widespread success may be attributable to the diversity of narrow tissue
extensions called awns that stick out of the grass diaspore, most often occurring at or near the apex of one of the protective floral bracts called the lemma (Figure 1.1). These awns can facilitate long-distance dispersal by sticking to animal fur or human clothing (e.g. Ansong & Pickering, 2013). They may also help orient the diaspore in soil microsites by providing passive structural support (Sheldon, 1974; Peart, 1981), actively moving the diaspore short distances (Peart, 1979), and/or pushing the base of the diaspore into the soil (Garnier & Dajoz, 2001; Schöning et al., 2004; Elbaum et al., 2007; Johnson & Baruch, 2014). The latter two functions occur through moisture-sensitive coiling and uncoiling of the awn, translating environmental variation into unidirectional movement (Kulić et al., 2009; Wolgemuth, 2009). Such hygroscopic (water-sensitive) awns are often associated with stiff hairs at the base of the lemma (called a callus), which prevents the diaspore from being pushed out of the soil from the force of the emerging radicle (Peart, 1979). Hairs on the body of the lemma may similarly serve to anchor the dispersal unit in the soil. These hairs may also serve other purposes in aiding dispersal or deterring herbivory. Differences in these traits have significant effects on germination rates and are thus potentially under great selection pressures (Peart, 1984; Peart & Clifford, 1987). Additionally, active burial via hygroscopic awns may play a role in protecting seeds from fire (Garnier & Dajoz, 2001) and ant predation (Schöning et al., 2004).

Lemma awns are common and diverse in the PACMAD clade, a group containing a little over half of the family's over 11,000 species (Kellogg, 2015). Members of this enormously successful clade have diversified into virtually all habitats around the world and include several dominant prairie and savannah grasses as well as agricultural giants like corn, sugar cane and sorghum. Humphreys et al. (2010) explored the evolution of awns in one PACMAD subfamily, Danthonioideae. Their analysis identified a strong association between an apical awn, a hairy
callus, and indumentum on the lemma body in this subfamily. Together these traits form one end of a burial syndrome dichotomy in which species tend to be adapted either for active or passive burial. Humphreys et al. argue that presence of awns is ancestral in Danthonioideae and that their loss occurs less frequently than would be expected by chance. The authors cite the tendency for awnless species to lack lemma hairs and hairy calli as possible evidence for selective pressure towards the passive burial syndrome.

Trait combinations from across the grass burial spectrum can be found among the rest of the PACMAD clade, including lemmas with non-geniculate (straight) and geniculate (typically hygroscopic, as in the Danthonioideae) awns. Both types of awn have been shown to guide the orientation of the diaspore to ensure proper burial (e.g. Peart, 1979; 1984). Geniculate awns are also found in the Panicoideae and Arundinoideae in addition to the Danthonioideae, suggesting convergent evolution based on our current understanding of relationships between these subfamilies. Understanding the broader evolutionary trends in lemma traits associated with dispersal and burial could help clarify the role that these processes have had in the diversification of major grass clades. However, such an analysis requires a well-resolved phylogenetic framework.

Subfamily Arundinoideae represents a significant obstacle to inferring character evolution across the PACMAD clade because it currently contains a heterogeneous group of species of uncertain phylogenetic placement. Some of these species possess diaspore characters similar to those found in other distantly-related subfamilies, so that their misplacement within Arundinoideae would artificially increase estimates of how many times such characters have evolved independently. With 50 species divided among 18 genera, Arundinoideae is the smallest subfamily in PACMAD. However, a tremendous amount of morphological and ecological
diversity is contained among these species, indicating that the subfamily may be polyphyletic. This subfamily has a long history of including heterogeneous and unrelated taxa (e.g. Renvoize, 1981; Conert, 1987; Watson & Dallwitz, 1992). Molecular phylogenetic studies were crucial in removing some of these taxa from the polyphyletic Arundinoideae (Barker et al., 1995; 1998; GPWGII, 2011). However, these studies also revealed close relationships between several traditionally arundinoid genera, supporting the recognition of the subfamily. Still, many genera currently included in the subfamily have never been included in a molecular phylogeny, due largely to the difficulty of acquiring field-collected material of these narrowly-distributed and remotely-located species. Herbarium specimens are a tremendously valuable resource and are the only source of morphological, anatomical, and genetic information for many species in Arundinoideae. However, DNA extracted from these specimens is often highly degraded, making PCR amplification and Sanger sequencing of plastome regions difficult or impossible (i.e. Särkinen et al., 2012). In such cases, genome survey sequencing (GSS) and comparison with reference sequences could be a powerful tool (i.e. Besnard et al., 2014). The small size of fragments used in this type of sequencing (500 base pairs or less) is potentially well-suited to the degraded DNA found in herbarium specimens, and the enormous amount of sequence data generated means that rigorous quality control can be used to remove any contaminants or poor-quality fragments.

In this chapter, I explore evolutionary patterns of dispersal- and burial-associated traits across the PACMAD clade. I use a new phylogeny of Poaceae based on full chloroplast genomes and with a focus on Arundinoideae in its current taxonomic sense (called Arundinoideae sensu lato in this paper). Most sequences were taken from herbarium samples, including six genera not part of any previous molecular phylogenetic analysis. I included
published plastomes from all other subfamilies in Poaceae to test polyphyly of the Arundinoideae s.l. This phylogenetic framework represents the largest whole-chloroplast phylogeny of the grass family published thus far.

1.2 MATERIALS AND METHODS

1.2.1 Taxon Sampling

Fifteen of the nineteen genera currently assigned to Arundinoideae were sampled for DNA, including multiple species within a genus wherever possible (Table S1.1). To test for polyphyly of the subfamily, I also included a broad sample of published plastomes from all other PACMAD subfamilies. I considered the possibility that some "arundinoid" taxa might actually be more closely related to other subfamilies. To test this rigorously, I deliberately included samples of taxa previously identified as phylogenetically outside a group comprising the remaining taxa of each subfamily so I could be confident that placement was not an artifact of limited sampling. Published plastomes for 23 BOP clade taxa as well as samples from the early-diverging grass lineages Anomochlooideae, Pharoideae and Puelioideae were included to test congruence of this larger sample with previously published phylogenies of the family. All other plastomes were taken from GenBank, with the exception of several from subfamily Chloridoideae provided by M. Duvall at Northern Illinois University, Danthoniopsis dinteri from Washburn et al. (2015), and Chasmanthium laxum, which was assembled from genome sequences (Kellogg Lab, unpublished data). In total, 88 full plastomes representing all subfamilies in Poaceae were included in the phylogenetic analysis.
1.2.2 DNA Isolation and Sequencing

Plant material was obtained either from field-dried specimens or from herbarium specimens and ground by hand using a mortar and pestle with sterilized sand. Total DNA was extracted using either the QIAGEN EasyDNA Plant Mini Kit, a modified CTAB protocol (Cota-Sánchez et al., 2006), or a combination of the two in which QIAGEN columns were used to clean and isolate the extracted DNA. Sample DNA was sheared using a Covaris S220 sonicator with peak power of 175 and duty factor of 5.0 for 200 cycles for 30 seconds. Libraries were prepared using the NEBNext Ultra DNA Library Prep Kit for Illumina (New England BioLabs, Inc.) according to the manufacturer's instructions. Fragments were size selected to 400-500bp and purified using AMPure XP Beads (Beckman Coulter, Inc.) and sequenced using an Illumina 2x250 paired-end HiSeq run at the University of Illinois at Urbana-Champaign Roy J. Carver Biotechnology Center.

1.2.3 Plastome Assembly and Phylogenetics

All sequence assemblies and analyses were run on the Apollo Cluster at the Donald Danforth Plant Science Center, the CIPRES Gateway (Miller et al., 2010), or Google Cloud. Raw Illumina paired-end reads were cleaned using Trimmomatic version 0.32 for TruSeq3-PE adapters, using a sliding window of 10 basepairs (bp) with a minimum phred score of 20 and keeping fragments with minimum length 40 (Bolger et al., 2014). Trimmed fragments were assembled initially with SPAdes version 3.1.0 with k values of 55, 87 and 121 (Bankevich et al., 2012). SPAdes output for each sample was assembled with the full trimmed read data set to create longer contigs using afin (bitbucket.org/benine/afin) with parameters as follows: a stop extension value of 0.1, an initial trim of 100 bp from contigs, a maximum extension of 100 bp
per loop and 50 search loops. This program trims ends from input contigs and extends their
length iteratively using matching trimmed reads, ultimately attempting to connect the resulting
extended sequences. Contigs generated by afin were assembled by hand into complete plastomes
in Sequencher version 5.3 (Gene Codes Corporation) by identifying Inverted Repeat (IR)
boundaries and, where necessary, manually searching trimmed reads to connect any remaining
fragments. Gaps in the final alignment were filled with N's. Some variation was found between
the IR regions in some samples, but read lengths were not long enough to phase SNPs; therefore
the Inverted Repeat B (IRB) region was duplicated and inverted to serve as IRA. A coverage
analysis (https://github.com/mrmckain/Chloroplast-Genome-Assembly) was done on completed
plastomes to check assemblies for accuracy, with further modifications to the assemblies made as
necessary. Plastome sequences were oriented to start at the beginning of the Large Single Copy
(LSC) and end with IRA and will be deposited in GenBank. Annotations and Circos graphs of
finished plastomes were done in Verdant (verdant.iplantcollaborative.org)(McKain et al.,
submitted).

Finished plastomes were divided into three regions for alignment: IRB, SSC and LSC.
Each region was aligned using MAFFT version 7.029b with default parameters (Katoh, 2013).
The three alignments were concatenated into a single alignment, which was then trimmed with
Gblocks version 0.91b (Castresana, 2000). Three options regarding treatment of gaps in Gblocks
were used to create edited alignments: 1) all sites with gaps excluded (no gaps), 2) all sites with
gaps in less than half of the sampled taxa included (less than half gaps), and 3) all sites included
(all gaps). All four alignments – untrimmed, no gaps, less than half gaps, and all gaps – were
analyzed using maximum likelihood with RAxML version 8.0.22 with 500 bootstrap replicates
(Stamatakis, 2014). Trees with different outgroups were also constructed to test the robustness of
the results. The subfamilies outside BOP-PACMAD – Anomochlooideae, Pharoideae, and Puelioideae – were used as outgroups, as was *Avena sativa* (Pooideae) in a reduced phylogeny of only the PACMAD taxa. Alternative topologies were tested using the Shimodaira-Hasegawa test (Shimodaira & Hasegawa, 1999) in RAxML. The no gaps alignment with *Anomochloa* as an outgroup was also analyzed using Bayesian phylogeny inference in MrBayes version 3.2.6 (Ronquist *et al.*, 2012). Trees were visualized and edited using FigTree version 1.4.2 ([http://tree.bio.ed.ac.uk/software/figtree/](http://tree.bio.ed.ac.uk/software/figtree/)) and with the *plot.phylo* function in R package ape 3.0 (Popescu *et al.*, 2012).

### 1.2.4 Morphological Character Coding

Observations of morphology were made on herbarium specimens for all genera in Arundinoideae and compared with data taken from the literature (Clayton & Renvoize, 1999; Watson & Dallwitz, 1992 onwards). Three characters associated with seed burial (Peart, 1981; 1984; Humphreys *et al.*, 2010) were coded for all PACMAD taxa in the phylogenetic analysis, using the condition found in the genus as a whole for each species in the phylogeny. The first character, presence and type of awn on the lemma, was coded as either unordered multistate – absent (0), straight (1) or geniculate (2) – or as binary – absent (0), present (1). A hairy callus and indumentum on the lemma were each scored as either absent (0) or present (1). Taxa displaying both character states were scored as polymorphic unless one of the states is rare, in which case the more common state was chosen. The outgroup, *Avena sativa*, was artificially treated as either missing data for all characters or as lacking awns, a hairy callus and lemma indumentum to provide a conservative approach to testing whether or not these traits are ancestral in PACMAD.
1.2.5 Ancestral State and Diversification Estimates

Duplicate species were reduced to a single sample in the phylogeny prior to trait analyses to avoid artificially inflating the influence of those taxa. Character histories were analyzed with parsimony using Mesquite version 3.04 (Maddison & Maddison, 2015), with maximum likelihood using the function \textit{rayDISC} in R package \texttt{corHMM} (Beaulieu et al., 2013), and using stochastic character mapping with function \textit{make.simmap} in R package \texttt{phytools} (Revell, 2012). Additional Panicoideae genera were added by hand to the phylogeny in Mesquite using Estep et al. (2014) and GPWGII (2011) as guides for placement to create an expanded cladogram with more representative sampling of awn types in that subfamily. Three different models of trait evolution were used for likelihood and stochastic analyses. The first model, ER, assumes equal rates of change between all character states. The symmetric model, SYM, assigns different rates to transitions between each pair of character states with equal rates for forward and reverse transitions. The final option, all rates different (ARD), assigns a different rate to each transition, including reversals. In the case of binary characters, the ER and SYM models are identical. Akaike's Information Criterion (AIC) was calculated for these three character models for each character set using the \texttt{AIC} function in R's basic stats package (R Core Team, 2014).

BEAST v. 1.8.3 (Drummond et al., 2012) was used to estimate a dated, ultrametric tree to test the effect of increased sampling with whole plastomes on divergence dates within the PACMAD clade and as a basis for analyses of diversification rates. BEAUti v. 1.8.3 was used to set parameters for the analysis. Ten separate identical runs of 100 million generations each were run on the CIPRES Gateway, starting with a random tree and sampling trees every 1,000 generations using an uncorrelated relaxed clock model with a lognormal relaxed distribution and
with a Yule process model of speciation used as a tree prior. A GTR+Gamma+I nucleotide substitution model with four gamma categories was used with base-pair frequencies being estimated from the plastome alignment. Four fossil calibrations were specified as lognormal distributions with mean of zero, standard deviation of one, an offset from zero equal to the estimated age of the fossil minus one, and an initial value of the fossil age. These fossils were assigned positions in the phylogeny according to Vincentini et al. (2008) as follows: 7 mya for the node connecting Setaria and Panicum (Elias, 1942); 19 mya for stem Chloridoideae (Strömberg, 2005); 35 mya for the ancestor of BOP+PACMAD (Strömberg, 2005); and 55 mya for all grass subfamilies excluding Anomochlooideae (Crepet & Feldman, 1991). These groups were also constrained to be monophyletic in the dating analysis to reduce computational effort slightly. LogCombiner v. 1.8.3, distributed with the BEAST package, was used to combine the last 1,000 trees taken from each of the ten BEAST runs, and the concatenated tree file was annotated in TreeAnnotator v. 1.8.3. The annotated tree was examined with FigTree v. 1.4.2.

Bayesian Analysis of Macroevolutionary Mixtures (BAMM) version 2.5.0 (Rabosky, 2014) was used to test for significant shifts in diversification rate across the PACMAD clade, with priors set using the function setBAMMpriors and results visualized using R package BAMMtools (Rabosky et al., 2014). BAMM is potentially well-suited to the current study because it allows for substantial numbers of missing taxa, provided some information about the placement of those taxa is known. Such is the case in the current phylogeny, as virtually all species in the PACMAD clade can be assigned to a subfamily, and generally to a tribe or other smaller clade within the subfamily. Thus, diversification rate shifts can theoretically be identified, at least at the subfamily or tribal levels. Some other analyses often associated with studies of trait evolution, like testing for significantly asymmetrical character transition
probabilities or phylogenetic-independent correlations between character states (i.e. Pagel, 1994), are inappropriate for the current study due to strongly biased sampling in the phylogeny. Additionally, Maddison & Fitzjohn (2014) argue that phylogenetically-controlled correlation tests for discrete characters suffer from serious flaws that make their use in testing hypotheses of evolution and adaptation ill-advised. In any case, the current study aims to explore macroevolutionary patterns of diaspore evolution across the PACMAD grasses to identify clades of interest and to generate testable hypotheses for future work. For this purpose, we ran BAMM for 1,000,000 generations, sampling every 1,000 generations, on the dated, ultrametric tree produced by BEAST, which was trimmed to include only members of PACMAD. A sampling fraction was applied to each PACMAD tribe in our tree using Kellogg (2015) as a guide for species numbers (Supplementary Table S1.2).

Alignments, trees, BEAST and BAMM control and output files, the sampling fractions file, and morphological states will be stored in the Dryad Digital Repository (www.datadryad.org).

1.3 RESULTS

1.3.1 Plastome Assembly and Alignment

Average single-copy coverage and total plastome length for each of the 29 samples generated by this study are reported in Table S1.1. Average coverage for the single-copy regions ranged from 31x to 452x, with total plastome lengths of 133,327 to 139,395 bp. Lengths of the unedited and Gblocks-trimmed alignments can be found in Table 1.1. They range from just under 80,000 bp when all gaps are excluded to almost 157,000 bp without any trimming, demonstrating the considerable extent of gaps in the full alignment. Part of the reason for this is the inclusion of
Anomochloa, which lacks some characteristic features of grass plastome structure, such as the absence of an *rpoC1* intron and a 39-bp subrepeat in the *rpoC2* insert instead of the 21-bp subrepeat found in the rest of the grasses (Morris & Duvall, 2010). Use of *Pharus* as an outgroup reduces the number of ambiguous regions in the alignment, but as discussed below does not significantly affect inferred phylogenetic relationships.

1.3.2 Phylogenetic Analysis

The ML tree (Figure 1.2) was the result of analysis of the full unedited alignment from MAFFT using *Anomochloa marantoidea* as an outgroup. The tree topology was robust to outgroup sampling and alignment trimming except that the placement of Aristidoideae changed among three different positions (Figure 1.2 insert). Bootstrap support for the placement of this subfamily ranged from 52 to 77% with no topology showing a consistently higher support value across analyses. None of the three alternative topologies could be rejected by a Shimodaira-Hasegawa (SH) test (Shimodaira & Hasegawa, 1999), with log likelihood scores as follows for the unedited alignment: best tree, -930564.68; Panicoideae sister to rest of PACMAD, -930577.20; Aristidoideae+Panicoideae sister to rest of PACMAD, -930565.38.

Monophyly of Arundinoideae *s.l.* was strongly rejected by a SH test (log likelihood -945857.72), with four genera falling into other subfamilies. The Zimbabwean monotypic genus *Nematopoa* groups with members of the Chloridoideae, the Ethiopian monotypic genus *Phaenanthoecium* groups with the Danthonioideae, and *Dichaetaria* and *Alloeochaete* form the sister group to the remainder of the Panicoideae. These placements all have 100% bootstrap support, as does the monophyly of the remaining Arundinoideae. This clade, referred to hereafter as Arundinoideae *s.s.*, includes: the cosmopolitan reeds *Arundo* and *Phragmites*; the temperate
genera *Molinia* and *Hakonechloa*; the African genera *Crinipes, Styppeiochloa, Dregeochloa* and the misnamed "*Eragrostis* walteri; the Australian genera *Amphipogon* and *Monachather*; and the African-Australian-Asian genus *Elytrophorus*. Relationships among genera in this subfamily are strongly supported, with all but two nodes found in 100% of bootstrap trees. The nodes that are less well supported describe the relationships between *Arundo, Amphipogon* and *Dregeochloa*+*Monachather* and are recovered in the maximum likelihood phylogeny of the unedited alignment in 83-87% of bootstrap trees.

1.3.3 Trait Evolution

Parsimony optimizations for presence and form of awns, calli and lemma hairs in PACMAD are given in Figures 1.3-5, respectively. The ancestor of this clade is inferred as having a straight (i.e. non-geniculate) awn (Figure 1.3); the same result occurs when Panicoideae is treated as the sister taxon to the rest of PACMAD and when a clade made up of Aristidoideae and Panicoideae is in this position. Members of Panicoideae, Danthonioideae and Arundinoideae have evolved geniculate awns independently at least five times collectively, and all subfamilies except Aristidoideae have experienced complete loss of awns in one or more taxa (awnless taxa in Danthonioidea were not included in the current phylogeny). Maximum likelihood and stochastic character mapping yielded results similar to parsimony analysis under three models of trait evolution: equal rates (1 parameter), symmetric rates (3 parameters), and all rates different (6 parameters). In both of these sets of analyses, no model was significantly more likely than the others according to likelihood ratio tests. The log-likelihoods for each model and analysis are presented in Table S1.3.
Presence of a hairy callus is also estimated to be the ancestral condition in PACMAD (Figure 1.4), while the presence of hairs on the lemma in this ancestor is unknowable based on current sampling (Figure 1.5). Hairy calli have been lost at least six times, with absence of awns a strong predictor of absence of a hairy callus. Of the 22 taxa unequivocally lacking awns in our analysis, only *Molinia* possesses a consistently hairy callus. Similarly, four out of the 22 taxa lacking awns also lack hairs on the body of the lemma. Among the 18 taxa with predominantly geniculate lemma awns, only 2 lack a hairy callus, while 3 possess mostly hairless lemmas. Straight awns showed associations comparable to geniculate ones, with only 2 taxa out of 19 straight-awned taxa lacking a hairy callus and 6 out of 18 taxa with geniculate awns unequivocally lacking hairs on the lemma.

### 1.3.4 Tree Dating and Diversification Analysis

BEAST recovered an optimal tree with identical topology and very similar support values as the maximum likelihood tree (Figure 1.6). The placement of Aristidoideae as the sister taxon to the rest of PACMAD is recovered with a posterior probability of 0.81. Relationships between *Amphipogon*, *Dregeochloa*, and *Monachather* are slightly better supported in the BEAST tree, with only the position of *Amphipogon* recovered with less than a posterior probability of 1 (value of 0.93 in BEAST tree as compared to bootstrap value of 87% in RAxML tree). Ages of select clades are given in Table 1.2 with their corresponding 95% highest probability density (HPD) intervals.

BAMM identified two or three shifts in diversification rate across the PACMAD tree as having the highest posterior probability. These shifts were associated with 33 credible shift sets collectively accounting for 95% of the posterior probability from the MCMC analysis; the first
nine of these shift sets are depicted in Figure 1.7. These shift sets most often occur in the core Panicoideae or both the Panicoideae and Chloridoideae as shown by the tree in Figure 1.8 in which branch lengths are proportional to the frequency of inferred shifts in diversification rate occurring on that branch out of the total posterior distribution. As an example, the single best shift set, accounting for 16% of the posterior probability, is shown in Figure 1.9. This shift set contains a rate increase in the common ancestor of the core Panicoideae, which includes the tribes Paniceae, Andropogoneae, and Paspaleae, and another smaller increase in crown Chloridoideae. In many other shift sets, the rate increase in the Panicoideae occurs on the branch leading to the divergence of Lecomtella from the core Panicoideae, followed by a rate decrease on the branch leading to Lecomtella. The inability of BAMM to distinguish between these alternative scenarios is due in part to the fact that Lecomtella is on a long branch in the BEAST tree, so that the prior probability of a rate shift occurring on that branch is fairly high. Decreasing the prior on the number of rate shifts would tend to favor the rate shift after the divergence of Lecomtella, while increasing the same prior would favor the scenario with two rate shifts: an increase followed by a decrease in Lecomtella.

1.4 DISCUSSION

Polyphyly of Arundinoideae s.l. was confirmed by our phylogenetic analysis and has significant consequences for evolutionary inferences across the PACMAD clade. In particular, the placement of Dichaetaria and Alloeochaete in a small clade that is the sister group to the rest of Panicoideae complicates existing interpretations of early habitat evolution in PACMAD and contributes strongly to estimations of ancestral character states and evolutionary transitions for burial and dispersal characters. These results are discussed below, as are the implications of the
phylogenetic analysis on issues of classification, including placement of former "arundinoid" taxa in other subfamilies and the resulting Arundinoideae s.s.

1.4.1 Evolution of Dispersal/Burial Traits

The possession of a straight awn and hairy callus as the ancestral state in PACMAD has several interesting implications. As Humphreys et al. (2010) reported in subfamily Danthonioideae, the passive burial syndrome – corresponding to absence of awns and typically hairless lemma body and callus – has evolved multiple times independently across PACMAD lineages. Geniculate lemma awns, identified by Humphreys et al. as the ancestral state in the Danthonioideae, have originated several times, with no obvious phylogenetic clustering in these origins. Similar to the results of Humphreys et al., possession or lack of awns shows strong associations with the presence/absence of hairy calli and lemma body hairs, supporting the existence of those authors' "burial syndrome" across the PACMAD clade. However, straight awns and geniculate awns show similar patterns in my analysis, suggesting that active burial and passive-and-guided burial make use of similar supportive structures.

While testing hypotheses regarding the influence of burial syndrome on diversification rates requires more detailed sampling, there are noteworthy trends in the current broad-scale analysis. In particular, the awnless and smooth-callused condition predominates in such large genera as Panicum, Setaria, Eragrostis and Sporobolus. These results are partially compatible with the BAMM analysis in that two out of the three major awnless clades are associated with increased diversification rates. However, the rate shifts occur prior to the inferred loss of awns, suggesting that absence of this character is not the driving force behind increased diversification. Another case of greater species richness in awnless clades occurs in the Micrairoideae, where the
passively buried tribe Isachneae contains over twice as many species as its awned sister tribe, Eriachneae. According to BAMM, the Isachneae are not associated with a higher diversification rate. Thus, at least at the tribal level, increased diversification rate is not strongly associated with absence of lemma awns. It is possible that absence of awns is a better general adaptive strategy, so that genera that occupy a wide range of habitats, and thus tend to accumulate species, tend to lose their awns. The Isachneae occupy a broader geographic and ecological range than the Eriachneae (see Chapter 3 of this dissertation), though whether this has anything to do with awn loss is difficult to establish.

Interestingly, a geniculate awn does not occur in many species-diverse clades. The Danthonioideae, members of which commonly have this trait, is one of the smaller subfamilies, and within Arundinoideae the two geniculate-awned genera contain a total of three species. Similarly, *Alloeochaete* and the members of the Tristachyidae in subfamily Panicoideae have low to moderate numbers of species. However, the largely geniculate-awned tribe Andropogoneae is highly diverse, both in numbers of species and in kinds of habitats. This tribe also possesses straight-awned and unawned taxa, making it an ideal candidate group for future studies on this important but understudied trait. Another interesting group in terms of awn structure is the arundinoid clade recovered in this study containing *Arundo, Amphipogon, Monachather* and *Dregeochloa*. Awnless, straight-awned and geniculate-awned lemmas occur in this very small group (~15 species total), and these genera vary greatly in their geographic extent, from the exclusively South African *Dregeochloa* to the highly cosmopolitan *Arundo*. The large discrepancies in dispersal and burial strategies and successes among so few species make this clade of great interest for understanding grass biogeography and evolution.
1.4.2 Phylogenetic Position of Aristidoideae

Aristidoideae has been recovered as the sister taxon to the remainder of the PACMAD clade (Clark et al., 1995; GPWGII, 2011), or only to the CMAD group (Cotton et al. 2015). In this study, I find weak support for an additional relationship, with Aristidoideae and Panicoideae forming a clade that is the sister taxon to the remaining PACMAD. I find no statistical support for any of the three topologies over the others. Likewise, Cotton et al. (2015) found that their data could not reject the possibility of Aristidoideae being the sister taxon to a clade comprising the remainder of PACMAD. When I re-analyzed the data of Cotton et al. (2015), I recovered their tree topology, but with a bootstrap value of 75% for the branch establishing Panicoideae as the sister taxon to the rest of PACMAD instead of the value of 100% in their published phylogeny. This value in their figure must be an error, as the bootstrap values for this relationship reported in the text of the results section and in the supplemental information of their paper are all between 56% and 91% for alternative outgroup sets. Given the phylogenetic ambiguity of results presented here using broad sampling with full plastomes and of the much larger taxon sampling in the three-gene phylogeny of GPWGII (2011), it appears unlikely that the exact placement of Aristidoideae will be resolved with chloroplast sequence data.

1.4.3 Ancestral PACMAD Habitat

The placement of *Alloeochaete+Dichaetaria* as a clade sister to the rest of the Panicoideae makes inference of ancestral habitat preferences equivocal. *Alloeochaete* is an African genus of open-savannah grasses, while *Dichaetaria* occupies shady habitats in southern India and Sri Lanka. Thus there are both open- and closed-habitat species in the early-diverging lineages of the Panicoideae. Previous studies have assumed that early panicoids all occurred in
shady environments (Bouchenak-Khelladi et al., 2010; Cotton et al., 2015). If Panicoideae is the sister taxon to the rest of PACMAD, then diversification of the PACMAD clade can potentially be explained at least in part by the transition to a new habitat type (Cotton et al., 2015). However, because of the positions of Alloeochaete + Dichaetaria, this hypothesis receives less support. Furthermore, two of the three recovered positions of Aristidoideae would suggest open habitats as the most parsimonious ancestral condition for PACMAD. Transitions from open to shaded habitats have also occurred in other subfamilies (i.e. as must be the case for tribe Isachneae in Micrairoideae), suggesting that inferring such a transition in Panicoideae is not unreasonable. Conversely, if the fairly long branch preceding the diversification of the Aristidoideae indicates time, then the condition of extant taxa in this clade may not be a reliable indicator of the state in the ancestor of this lineage. The ancestor of all PACMAD in this scenario could have been shade-adapted, with the ancestor to Aristidoideae shifting to an open habitat later in parallel with the rest of the clade. Thus, either habitat can be reasonably inferred as the ancestral one, regardless of which of the tree topologies in Figure 1.2 is chosen, depending on what assumptions are made about transition probabilities between states.

1.4.4 Divergence Date Estimates

Ages inferred in the current analysis are very roughly concordant with previously published estimates with a few key differences. However, published estimates of ages within the grasses vary widely within a broad range of plausible values, so this concordance is almost inevitable. Vincentini et al. (2008) reported age estimates for the ancestor of BOP and PACMAD ranging from 48 to 85 mya, while Christin et al. (2014) reported ages for the same divergence of 20-62 mya from plastid and 51-63 mya from nuclear sequence data across four different dating
analyses. My analysis yielded a substantially younger age of 35.59 mya for this clade, and the reasons for this discrepancy are somewhat unclear. A tempting explanation would be the increased size of our data set as compared to these studies, which were based on a small number of molecular markers. Cotton et al. (2015), using full plastomes with a sampling of 36 taxa across the grasses, reported an age of 32.44 mya with a 95% HPD range of 11.89-50.55 mya for the crown PACMAD clade, which fully encompasses the corresponding ages in the current study: 26.05 mya with a 95% HPD range of 22.55-30.3 mya. However, it is also probable that fossil placement and associated prior distribution parameters are strongly affecting the age estimate for this node. The phytolith described by Strömberg (2005) provides a minimum age of 35 mya for the BOP+PACMAD clade, and the multiflowered spikelet fossil described by Crepet & Feldman (1991) does the same for the clade containing all grasses except the Anomochloideae and Pharoideae with an age of 55 mya. In the analysis of Vincentini et al. (2008), the age of the former clade is estimated at 51.6 mya and the latter at 66.2 mya. This is a slightly smaller time range than is recovered between these two clades in my analysis (35.59 – 55.2 mya), but both reflect the long branch leading from the common ancestor of Pharoideae and the rest of Poaceae to the divergence of Puelioideae. The values in my study for these nodes are very close to their fossil prior age estimates of 35 and 55 mya, suggesting that perhaps my prior distributions are too restrictive. On the other hand, the fact that age ranges in this part of the tree closely match branch lengths in the ML tree would seem to suggest that the fossil priors are not causing extreme stretching of the tree. Thus, while the absolute ages in my analysis may be too young because of excessively strict priors, the relative ages throughout the tree, and therefore estimates of diversification rate changes, appear not to be strongly affected.
1.4.5 Implications for Classification

*Polyphyly of Arundinoideae* – The placement of *Nematopoa longipes* in Chloridoideae makes some sense given its taxonomic history. The monotypic genus was separated from the chloridoid genus *Triraphis* by Hubbard (1957a), who also cited affinities of these taxa with the genus *Crinipes*. On the basis of the current phylogeny, the similarity between *Nematopoa* and *Triraphis* seems likely due to shared ancestry, while these traits are most likely of convergent origin in *Crinipes*, placed with strong support in Arundinoideae s.s. Leaf cross-sectional anatomy (Figure 1.10) shows *Nematopoa* to be C₄, supporting its placement in the largely C₄ Chloridoideae.

*Phaenantherochium koestlinii* is the only member of its genus and occupies shady cliffs in northeast Africa. Its position in the Danthonioideae is supported by its hygroscopic medial awn often found in this subfamily (Humphreys et al., 2010). Indeed, most authors have allied the genus with members of Danthonioideae on the basis of these characters (i.e. Clayton & Renvoize, 1986; Watson & Dallwitz, 1992; Soreng et al., 2015). However, *Phaenantherochium* was usually joined in this context by other genera, such as *Dregeochloa* and *Alloeochaete*, which possess similar characters but do not form a clade in the current tree.

As mentioned above, the position of *Dichaetaria* and *Alloeochaete* in a clade that is the sister taxon to the rest of the Panicoideae is particularly interesting given their similarity to members of more recently-derived subfamilies. The other early-diverging members of this subfamily are highly morphologically heterogeneous, and these former arundinoid genera provide a potential morphological link between the Panicoideae and the rest of PACMAD.

*Arundinoideae s.s.* – The genera constituting a reduced Arundinoideae s.s. still form a morphologically and ecologically heterogeneous assemblage, albeit with some commonalities
found within subgroups. Consistent with previous phylogenetic reconstructions, two separate
movements into the temperate zone are recovered by our analysis, one comprising the clade
\((Hakonechloa+Molinia)+Phragmites\) and the other represented by the genus \(Arundo\).

Morphological and ecological parallels between the reedy genera \(Phragmites\) and \(Arundo\) are
striking, suggesting hybridization or remarkable parallelism.

More broadly, the current arundinoid genera can be divided into two clades, one with
glumes shorter than the spikelet and the other with glumes as long as or longer than the spikelet.
The former group consists of \(Phragmites\), \(Hakonechloa\), and \(Molinia\) as well as another clade of
mostly African genera. "\(Eragrostis\)" \(walteri\), formerly thought to be a unique example of
reversion from \(C_4\) to \(C_3\) photosynthesis (Ingram \textit{et al.}, 2011), falls in this clade and is sufficiently
distinct from its sister taxon \(Elytrophorus\) that it should be assigned to its own genus. The other
two taxa in the "Short Glumes" clade, \(Stypeiochloa\) and \(Crinipes\), are sister taxa as suggested by
their taxonomic history (the type species of \(Stypeiochloa\) was segregated from \(Crinipes\)) and
supported by their similar preference for seasonally wet, rocky habitats, their 1-nerved glumes
and their tendency towards spikelets with 2 florets. The "Long Glumes" clade of Arundinoideae
contains, in addition to \(Arundo\) (5 spp.), the Australian genera \(Amhipgon\) (9 spp.) and
\(Monachother\) (1 sp.) and the South African genus \(Dregeochloa\) (2 spp.). These last two genera
occupy dry habitats and share a version of the active burial syndrome.

\textit{Unsampled Putative Arundinoids} – Four genera possibly belonging in the Arundinoideae are not
included in this study. The monotypic African genera \(Leptagrostis\) and \(Piptophyllum\) have
insufficient collected material to conduct destructive sampling. Like \(Nematopoa\), \(Piptophyllum\)
was placed with a polyphyletic \(Triraphis-Crinipes\) group (Hubbard, 1957b). Herbarium samples
of the Indian genera *Danthonidium* (1 sp.) and *Zenkeria* (5 spp.) yielded DNA that was too degraded to be sequenced, possibly due to the circumstances under which the specimens were dried (Jankowiak *et al.*, 2005). None of these taxa possess unambiguous synapomorphies to support their placement in the current phylogeny. Linder *et al.* (1997) reported morphological and anatomical phylogenetic support for the placement of *Leptagrostis*, *Piptophyllum* and *Zenkeria* in a so-called "Crinipes group", but this hypothesized clade is contradicted by the current study. The spikelets of *Danthonidium* have lemmas with features similar to *Dregeochloa* and *Monachather*, including a geniculate awn, many veins, and hairs in tufts and transverse rows. However, these traits are also shared with several taxa in subfamily Danthonioideae. Soreng *et al.* (2015) treat *Danthonidium* as incertae sedis in this subfamily along with *Alloeochaete* and *Phaenanthoecium*, which are recovered in very different clades in our analysis.

1.4.6 Conclusion

This study represents the first evolutionary analysis of spikelet burial characteristics across the PACMAD grasses as well as the largest full plastome phylogenetic study of the grass family conducted to date, including the most complete sampling of subfamily Arundinoideae. Resolving the polyphyly of this poorly-studied subfamily is shown to have substantial implications for ancestral trait estimations across the PACMAD. A straight-awned lemma with a hairy callus is found to be the most likely and parsimonious ancestral state for the clade, whose members have experienced multiple independent losses of these features as well as similar gains of a more active burial syndrome as indicated by a hygroscopic, geniculate awn. Passive burial, indicated by a loss of diaspore awns, is loosely concordant with clades having higher diversification rates, though this result requires more detailed taxon sampling to test rigorously.
Two clades, tribe Andropogoneae in subfamily Panicoideae and tribe Arundineae in subfamily Arundinoideae, stand out as particularly promising for future in-depth studies of burial syndrome evolution and its effect on the ecology and biogeography of grasses.
LITERATURE CITED


Revell, L. J. 2012. phytools: An R package for phylogenetic comparative biology (and other


FIGURES AND TABLES
Figure 1.1. SEM image of floret/diaspore from *Alloeochaete gracillima* positioned with the back of the lemma facing upwards. Letters in figure are as follows: C – callus, hairy and slightly pointed in this species; L – lemma, with tufts of hairs on either margin approximately 1/3 of the length of the lemma from the callus; A – awn, which in this species is twisted.
Figure 1.2. Maximum likelihood phylogeny of untrimmed alignment of 88 full plastomes, with *Anomochloa* and *Pharus* removed and members of the BOP clade collapsed for clarity. Bootstrap values below 100 are shown above nodes. Subfamilies in PACMAD are grouped by color. Samples in bold with asterisks were generated for the current study. Alternative topologies that cannot be rejected with a SH-test are shown in A and B inserts.
Figure 1.3. Parsimony ancestral states of awn presence and type in PACMAD. Data are coded as missing for outgroup *Avena sativa*. 
Figure 1.4. Parsimony ancestral states of awn presence/type vs. hairy callus presence in PACMAD. Missing data are coded by a dashed empty circle.
Figure 1.5. Parsimony ancestral states of awn presence/type vs. lemma body hair presence in PACMAD. Missing data are coded by a dashed empty circle.
Figure 1.6. BEAST optimal ultrametric, dated phylogeny based on gapless plastome alignment and four fossil calibrations. 95% HPD ranges are depicted by bars above nodes. Numbers above branches are posterior probabilities.
Figure 1.7. The nine highest posterior probability shift sets identified by BAMM.
Figure 1.8. ML topology of PACMAD clade with branch lengths proportional to the frequency of diversification rate shifts across posterior distribution in Bamm analysis.
Figure 1.9. Diversification rate shift set with the highest posterior probability (16%) found in BAMM. Branches are colored according to inferred diversification rate, and two rate shifts are identified by red circles.
Figure 1.10. Cross-section of *Nematopoa longipes* taken from herbarium material and stained with Safranin-Fast Green.
Table 1.1. Alternative alignments and their effects on the placement of Aristidoideae.

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Table 1.2. Ages of Select Clades from BEAST Analysis.

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Table S1.1. Whole-plastome samples used in the phylogenetic analysis, with assembly statistics for plastomes generated in the current study.

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Table S1.2. Sampling fractions used in the BAMM analysis, using Kellogg (2015) as a guide to total species numbers in each tribe of PACMAD.

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Table S1.3. Log-likelihoods for evolution of lemma awns in PACMAD grasses under three models of trait evolution using maximum likelihood with R function *rayDISC* in package *corHMM* and under stochastic character mapping with package *phytools*.

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CHAPTER 2

Phylogenetic Analysis of Polyploidy in Temperate Arundinoideae
2.1 INTRODUCTION

Polyploidy has been and continues to be a major source of genetic variation in plants. All flowering plants share ancient whole genome duplications or WGDs (Cui et al., 2006; Jiao et al., 2011; Arrigo & Barker, 2012) and many major crops are relatively recently-formed polyploids (Renny-Byfield & Wendel, 2014). Even the model species Arabidopsis thaliana, with a relatively small genome for a flowering plant, shows evidence of genome doubling (Blanc & Wolfe, 2004). Flowering plants are all ancient polyploids, and it is impossible to understand their evolution without addressing the history of genome duplications.

The grass family, Poaceae, is particularly noteworthy for its genome duplications, with 80% of species estimated as being the result of relatively recent polyploidy (Hunziker & Stebbins, 1986). Three WGD events have been identified in the lineages leading to the grasses: one early in the history of monocots called tau (Jiao et al., 2014), another near the origin of Poales called sigma (Tang et al., 2010), and a third duplication just prior to the origin of Poaceae called rho (Salse et al., 2008; McKain et al, 2016). The bamboos (subfamily Bambusoideae) experienced multiple allopolyploid events and reticulate evolution via intergeneric hybridization (Triplett et al., 2014). Similarly, the tribe Andropogoneae in subfamily Panicoideae has experienced at least 34 WGD events, with a minimum of 32% of species resulting from allopolyploidy, although the actual number could be much higher (Estep et al., 2014). Linder & Barker (2014) identified numerous nested polyploid events in subfamily Danthonioideae, with at least 23% of species having multiple ploidy levels.

Despite ubiquitous polyploidy in nature and the increased attention the phenomenon has gained since the advent of high-throughput molecular sequencing technologies, there is surprisingly little consensus as to why genome duplication is so common in plant evolution.
(Soltis et al., 2010; Madlung, 2013). Polyploid taxa have been hypothesized to have advantages over their diploid progenitors under extreme or variable conditions, for example in the arctic (Brochman et al., 2004) and during the K-T extinction (Fawcett et al., 2009; Lohaus & Van de Peer, 2016) though these advantages have been difficult to test because of confounding factors (Fawcett & Van de Peer, 2010). Polyploidy has been found to be more common among invasive plants and less common among rare plants (Pandit et al., 2011), and there is some evidence that polyploid grasses are more successful at long-distance dispersal, potentially due to increased establishment ability in polyploids as compared to diploids (Linder & Barker, 2014). However, Martin and Husband (2009) examined three species from each of 144 North American plant genera and found that phylogenetic history strongly influences geographic and ecological ranges of species without a significant difference between ploidy levels.

Recent polyploid lineages have been associated with decreased diversification rates as compared to diploid ones (Mayrose et al., 2011), while ancient WGDs are often associated with increased diversification rates following a lag period (Schranz et al., 2012; Tank et al., 2015). At least part of the cause of this apparent paradox is due to limitations in the methods used to identify polyploidy and model its evolution (Madlung, 2013; Kellogg, 2016). In particular, chromosome counts are frequently used to identify different ploidy levels, but this approach potentially suffers from chromosomal rearrangements (i.e. in maize; Wei et al., 2007) and from an inability to distinguish between auto- and allopolyploids (Catalan et al., 2012). Sequence-based approaches like Ks ratios and synteny plots do not depend on retained chromosomal structure, but the former method may have difficulty distinguishing signals from multiple historical events, and suitable quality genomes required by the latter are still sparse and concentrated in diploid species (Kellogg, 2016).
The grass subfamily Arundinoideae represents a unique opportunity in which the potential for genome duplication and possible hybridization to facilitate evolutionary success can be examined. This small subfamily contains a heterogeneous group of mostly tropical taxa, but two lineages have spread to occupy temperate habitats. The first is a clade consisting of the genera *Phragmites*, *Molinia*, and *Hakonechloa*. *Phragmites* is cosmopolitan (Haslam, 2010), whereas *Hakonechloa* is restricted to Japan, and its closest relative *Molinia* extends across Europe, western Asia, and northern Africa (Watson & Dallwitz, 1992; Taylor et al., 2001); both *Hakonechloa* and *Molinia* are widely cultivated as ornamentals (Greenlee et al., 1992). *Molinia* is strongly competitive and potentially invasive in wetlands, heathlands, and grasslands of Europe (Todd et al., 2000; Hájková et al., 2009). It is also a high polyploid, with up to 12x ploidy levels reported in the genus (Dančák et al., 2012). *Hakonechloa* is reported as having a chromosome number of 50 (Tateoka, 1955) or 48 (Rice et al., 2014), making it a tetraploid or octaploid depending on which base chromosome number is used for the Arundinoideae (Hardion et al., 2015).

*Phragmites australis* is genetically and morphologically variable across its range (Hansen et al., 2007). In North America, populations of *P. australis* reproduce either predominately sexually or vegetatively depending on whether they are new colonizers or well-established in an area, with sexual reproduction and seed production playing a major role in dispersal and establishment of new populations (Albert et al., 2015). High ploidy levels have evolved independently multiple times in *P. australis* and the genus as a whole (Lambertini et al., 2006) due potentially in part to long-distance dispersal and weak reproductive barriers between clones and species (Lambertini et al., 2012). Ploidy levels from 3x to 12x as well as numerous aneuploids have been reported in this species (Clevering & Lissner, 1999). The tetraploid form is
considered predominant and presumably ancestral in the genus (Lambertini et al., 2006), with higher ploidy levels the result of autopolyploidy or intrageneric allopolyploidy. However, the origins of the subgenomes in the tetraploid are unknown.

The second temperate clade in Arundinoideae is made up of the five species of *Arundo*, one of which, *Arundo donax*, is nearly as widespread as *Phragmites australis*, though slightly more restricted to warm climates. The other four species are distributed across Eurasia with a concentration in the Mediterranean region (Hardion et al., 2012). In contrast with the frequently outcrossing *Phragmites*, accessions of *A. donax* are sterile and spread exclusively through vegetative propagules (Mariani et al., 2010). The invasive form of this species in North America possesses a single multilocus genotype based on Sequence Related Amplification Polymorphisms and transposable element-based molecular markers (Ahmad et al., 2008). Additionally, all samples of *A. donax* in the Mediterranean represent a single invasive haplotype from Asia based on hypervariable plastid DNA sites (Hardion et al., 2014). In Australia, sterile stands of *A. donax* possess up to three distinct genotypes, suggesting multiple invasions via vegetative propagules (Haddadchi et al., 2013). The reason for this sterility appears to be the odd ploidy level found in the species, which has 110 chromosomes whereas a close and fertile relative, *A. plinii*, has 72 (Bucci et al., 2013).

*Phragmites* and *Arundo* share several features in common besides their geographic distribution. Both are large reeds, growing up to 6m tall in *Phragmites* and 10m tall in *Arundo*, with strongly lignified hollow culms, broad leaves, and plumose inflorescences. The species of these genera spread vegetatively through rhizomes and tend to occupy wetland habitats, although their climatic distributions are quite broad. Phylogenetic analyses of chloroplast genomes show that at least the maternal genomes of these genera are not sister to each other (Barker et al., 1995;
Clark et al., 1995; GPWG, 2001; GPWGII, 2011; Chapter 1, this dissertation). However, both Arundo and Phragmites are complex polyploids, with chromosome numbers up to 110 and 144, representing ploidy levels of 9-10x and 12x, respectively (Bucci et al., 2013; Clevering & Lissner, 1999), and the nuclear phylogenetic relationships in Arundinoideae have not been investigated.

The physiology and ecology of P. australis and A. donax have been explored extensively (see Lambert et al., 2010 for a review of ecology), due in large part to the species' potential for ecological invasiveness (i.e. Saltonstall, 2002; Ahmad et al., 2008) and biofuel production (Laurent et al., 2015). Transcriptomic data has also been generated for both species (He et al., 2012; Barrero et al., 2015) in an attempt to elucidate genes responsible for invasiveness. However, the origin of the sub-genomes of these species is unknown, leaving open questions regarding the possible role of WGD and hybridization in facilitating the success of these large reeds.

In this study, I conduct a phylogenetic analysis of newly-generated transcriptomic sequences of Arundo, Hakonechloa, Molinia, and Phragmites with published and unpublished coding DNA sequences (CDS) from transcriptomes and sequenced genomes for the grass subfamilies Anomochlooideae, Panicoideae, Aristidoideae, Oryzoideae, and Bambusoideae. Using these data, I trace the history of the Arundinoideae subgenomes and explore whether the morphological and ecological similarities between Arundo and Phragmites can possibly be explained by uniquely shared genomic elements. This analysis also represents the largest sampling of transcriptomes from PACMAD subfamilies to date, so implications for genome evolution in this clade are also explored. Lastly, I discuss limitations and advantages of the
phylogenetic approach as compared to other common methods for investigating polyploidy in the context of PACMAD grasses.

2.2 MATERIALS AND METHODS

2.2.1 Taxon Sampling

Plants for this study were either wild specimens or were grown at the Tyson Research Center or the Jeanette Goldfarb Plant Growth Facility at Washington University in St. Louis (Table 2.1). Two samples each of *Arundo donax*, *Hakonechloa macra*, *Molinia caerulea*, and *Phragmites australis* were sampled at the vegetative apex, including at least one mature leaf along with the meristem. Samples were immediately immersed in liquid nitrogen and transported to a -80°C freezer for storage.

2.2.2 RNA Extraction and Sequencing

Total RNA was extracted for all samples using a protocol developed by Simon Malcomber for the Kellogg Lab. Tissue was ground in liquid nitrogen using a mortar and pestle, and ca. 500 µL of Invitrogen TRIzol (Thermo Fisher Scientific) was added to each sample while still cold. Samples were allowed to thaw, were ground further in TRIzol, and incubated at room temperature for 10 mins. RNase-free chloroform was added to each sample in a 1:2 ratio (chloroform:TRIzol), and the combined samples were vortexed, incubated at room temperature for an additional 10 mins, and centrifuged at 12,000xg for 15 mins at 4°C. The aqueous layers from each tube were transferred to a new tube and combined with an equal volume of nuclease-free water. Ice-cold RNase-free isopropanol was added to this mixture in a 1:1 ratio and mixed by inversion of the tube before incubating samples for 10 mins at room temperature and again
vortexing at 12,000xg for 15 mins at 4°C. The supernatant was decanted, and the pellet was washed with cold, freshly-made 80% ethanol. The tubes were centrifuged a third time at 12,000xg for 5 minutes at 4°C to secure the pellet. The supernatant was again decanted, and samples were allowed to air dry for approximately 10 mins before being suspended in 50µL water. Qiagen DNase 1 was used to remove DNA from the samples according to the manufacturer's protocol.

Eight cDNA libraries – two from each species – were prepared using the NEBNext Poly(A) mRNA Magnetic Isolation Module and Ultra Directional RNA Library Prep Kit for Illumina following the manufacturer's protocols (New England Biolabs, Inc.). Libraries were size selected to a total size of approximately 500-700 base pairs (bp) and purified using AMPure XP Beads (Beckman Coulter, Inc.). Transcriptomic sequences were generated using an Illumina 2x150 paired-end HiSeq run at the University of Illinois at Urbana-Champaign Roy J. Carver Biotechnology Center.

2.2.3 Transcriptome Assembly

Illumina reads for the two samples of each taxon were pooled and cleaned using Trimmomatic version 0.32 for TruSeq3-PE adapters with a sliding window of 10 bp, a phred score of 20, and a minimum read length of 40 bp (Bolger et al., 2014). The resulting trimmed reads were assembled with Trinity v. 2.0.6 using the direction library setting and normalizing reads with a max read coverage of 15. The abundances of the assembled reads were measured using the RSEM method in Trinity, and sequences with less than 1% abundance were removed based on FPKM (Fragments Per Kilobase Million) values. The further reduced sequences were translated using RefTrans (https://github.com/mrmckain/RefTrans). This program conducts a
tblastx analysis of all assembly contigs against the primary transcripts from PACMAD genomes, including *Zea mays*, *Sorghum bicolor*, *Panicum virgatum*, *Setaria viridis*, and *Dichanthelium oligosanthes*, using an e-value cutoff of 1e-10. Hits were filtered to include only those contigs with bidirectional coverage of at least 85% to a known gene, and the best hits were used by Genewise v.2.20 as models for translation (see McKain et al., 2016). The outputs of these assemblies were summarized using the TrinityStats perl script that is provided with version 2.0.6 of Trinity.

### 2.2.4 Ks Plots

Ks frequency plots were constructed using the FASTKs pipeline ([https://github.com/mrmckain/FASTKs](https://github.com/mrmckain/FASTKs)) following the methodology of McKain et al. (2016). Amino acid sequences from each taxon were blasted against themselves using an e-value cutoff of 1e-40 to identify putative pairs, discarding any pairs in which the sequences were identical, had fewer than 300 bp overlap, or less than 40% identity. The retained pairs were aligned using MUSCLE (Edgar, 2004) and translated back to DNA sequences using the program PAL2NAL v. 14 (Suyama et al., 2006). These cDNA sequences were used to calculate numbers of changes per site for synonymous (Ks) and nonsynonymous (Ka) sites and their ratios (Ka/Ks) using the codeml program in PAML v. 4.8 (Yang, 2007) using the paired sequence settings (yn00, Yang & Nielsen, 2000) and the F3x4 model (Goldman & Yang, 1994) as outlined in McKain et al. (2012). The program mclust v. 5.0.2 (Fraley et al., 2012) was then used in R to estimate normal mixture models for Ks values. A peak in the distribution of Ks values is considered evidence for a WGD, since such an event creates thousands of paralogues at the same time (Lynch & Connery, 2000).
2.2.5 Gene Clustering, Alignment, and Phylogenetic Analysis

Translated sequences from the four arundinoid taxa were also combined with those from nine other grasses for phylogenetic analyses (Table 2.1). Orthogroups for this combined sequence set were identified using OrthoFinder v. 0.4 with the default settings (Emms & Kelly, 2015), retaining only those groups with at least one sequence from each of the 13 species. An orthogroup contains all genes descending from a single ancestral gene and may include all paralogous genes resulting from duplications after that ancestor (Wapinski et al., 2007), so the fact that an orthogroup contains sequences from all thirteen species in our analysis does not imply that it contains only thirteen sequences. For example, if a species in the analysis is a large autopolyploid, there could be many copies of a gene falling in the same orthogroup. For the purposes of simplicity, "orthogroup" and "gene" will be used interchangeably in this paper, so a "gene tree" represents a phylogenetic analysis of all of the sequences of an orthogroup.

Peptide sequences in filtered orthogroups were aligned using MAFFT v.7.029b (Katoh, 2013) with the default settings. Nucleic acid sequences were mapped to the peptide alignments via codons using PAL2NAL v. 14 (Suyama et al., 2006). The resulting DNA alignments were used to construct gene trees with RAxML v.8.0.22 under a GTRGAMMA model of base pair substitution, treating Streptochaeta as an outgroup and bootstrapping each tree 500 times.

Gene trees were analyzed for signal of whole genome duplications using the program PUG (Phylogenetic Placement of Polyploidy Using Genomes, https://github.com/mrmckain/PUG, McKain et al., 2016). This program compares gene trees to a user-specified species tree to identify nodes at which gene pairs from the same species coalesce. The first step is identification of a pair of sequences from the same species within an orthogroup.
The position of these sequences in the gene tree is checked, and sequences that are in clades with only sequences from the same species are ignored. By excluding sequences that are duplicated within a single terminal taxon, PUG will not identify a polyploidization event within that taxon so unshared polyploidization events are ignored here. If a gene copy from another species separates the focal sequence pair, the topology of the most exclusive clade in the gene tree that contains the focal pair is compared to the topology of the species tree. Sequence pairs in subtrees that violate the species tree topology are ignored, while pairs in subtrees that are concordant with the species tree are recorded by PUG. Repeating this analysis for all gene pairs in all orthogroups yields a list of gene trees and the nodes at which gene pairs in those trees coalesce.

An example may prove useful to illustrate this process. Figure 2.1 shows a hypothetical gene tree with species labelled by letter and gene copy by number. Four species, lettered A-D, have between 1 and 3 gene copies in this orthogroup. Assume PUG starts with gene copy A1 and compares it to A2. This pair is ignored because there are no gene copies from other species contained within the clade above the node at which A1 and A2 share a common ancestor (labelled with x). Now suppose A1 is compared with A3. These gene copies share a common ancestor at the node labelled by y. The total set of gene copies descending from this node includes those from species B, C, and D, so the gene tree topology is compared with the species tree, shown in the insert in Figure 2.1. PUG does not require all relationships between gene copies to mirror the gene tree, but rather that the species appearing in the subtree form a monophyletic group in the species tree. In our example, the subtree starting at y contains species A, B, C, and D, and does not contain species O. This agrees with our species tree, where species O is an outgroup to the other four. Thus, PUG would count our hypothetical gene tree as having a gene coalescence at node y. A similar logic applies to gene copies from species C, in which
C2+C3 would be ignored by PUG, but C1+C2 and C1+C3 would count as a coalescence point at node z, since all samples descending from this node come from species that form a monophyletic group in the species tree.

Once PUG has finished analyzing all gene pairs in all orthogroups, the information from the list can be summarized in several different ways. All gene pairs filtered by the program can be counted, or we can restrict each gene tree so that it can count only once for a particular node. In our example above this would mean that either A1+A3 or A2+A3 would contribute towards the count of coalescence events at node y, but not both. The gene pairs can also be filtered by bootstrap values, only allowing a gene pair to contribute to the count of a coalescent event at a particular node if the bootstrap support for that node in the corresponding gene tree is greater than a user-specified value. In our analyses, 50% and 80% bootstrap cutoffs were used to filter gene pairs from PUG, with only the counts from the 80% filter shown below. Going back to the example in Figure 1, the coalescence event between A1+A3 or A2+A3 (node y) would be counted under the 50% cutoff, but not under the 80% cutoff since the branch leading to that node has a bootstrap value of 75%. The coalescence of C1+C2 or C1+C3 at node z would be accepted under both cutoff levels since the branch leading to that node has a bootstrap value of 97%.

Because the relationships between subgenomes in the polyploid Arundinoideae are unknown, PUG was run multiple times using alternative topologies of the members of this clade: one following the chloroplast topology and five others treating two taxa as sister taxa with the other two unresolved (Figure 2.2). PUG was also run without restricting the number of times an orthogroup can be counted in support of a coalescence event at a given node to measure the relative proportion of gene copies from each taxon that coalesce to each node. This procedure helps to identify whether the coalescence points identified by PUG are supported evenly across
the members of the corresponding clade. For example, suppose that node $z$ in Figure 2.1 is associated with 200 unique gene trees identified by PUG, including the gene tree in that figure. We could ask how many gene pairs from species B or D also contribute to this number, but since each gene tree counts only once for a given node, there is no guarantee that the numbers of gene trees counting gene pairs from each species are proportional to the total numbers of gene pairs from those species that coalesce to the node in question. In other words, if the hypothetical gene tree in Figure 2.1 also had gene pairs from species B and D that coalesce to node $z$, only one pair from one of the species (C, B, or D) would be counted. While node $z$ may have 200 unique gene trees with approximately equal numbers of pairs from species B, C, and D, it may have 800 total gene pairs, of which 600 come from species C. This higher number may indicate a much higher copy number in general in species C, or it could suggest that species C is an allopolyploid resulting from a cross between species B and species D. In this particular example, we would have to examine gene trees to try to determine whether the 200 gene pairs coalescing to node $z$ are trustworthy, for example by examining bootstrap values and the species composition of the relevant subtrees.

Figures depicting the results of PUG analyses were created using the R script PUG_Figure_Maker packaged with PUG (https://github.com/mrmckain/PUG), in which the species tree is plotted with branches beneath nodes colored according to the number of gene trees coalescing to that node. A value of 10% of the maximum value for any branch on the tree is used as a cutoff, with branches corresponding to nodes having fewer than this number of coalescing gene trees being left black. This cutoff is arbitrary and is used for visualization, so it is important also to compare the actual counts of coalescing gene pairs. It is also vital to keep in mind that the events identified by PUG are coalescence events between gene copies, not necessarily whole
genome duplications. Hybridization between non-sister species and phylogenetic uncertainty between members of a clade can both push gene pair coalescence deeper into the phylogeny. These alternative explanations will be explored for the current study in the Discussion section below.

All assemblies, alignments, and analyses were performed on the Apollo computing cluster at the Donald Danforth Plant Science Center. Raw data from transcriptome sequencing will be deposited on the Sequenced Read Archive (SRA) of the National Center for Biotechnology Information (NCBI), and the full transcriptome assemblies and all analyses will stored on Dryad (datadryad.org).

2.3 RESULTS

2.3.1 Transcriptome Assembly and Orthogroup Analysis

Assembly summaries of the translated transcripts for the four arundinoid species are given in Table 2.2. Total assembly length and total transcript number were significantly lower in *Hakonechloa macra*, with roughly half as many base pairs and translated sequences as *Arundo donax*. As a result of this lower sequence coverage, the mean and median total contig lengths and the N50 value from TrinityStats (the average length of contigs making up 50% of the total assembly length) are longer in *Hakonechloa*. This phenomenon is likely due to the fact that high expression sequences are assembled in full at relatively low sequencing depths, but that low expression sequences only become partially assembled even at relatively high sequencing depths. Thus, average length of the assembly would increase up to a certain sequencing depth and then start to decrease as these rare fragments are incorporated.
Orthofinder identified 3,381 orthogroups containing at least one sequence from all 13 species included in this study. Figure 2.3 shows the numbers of contigs for each of the four arundinoid taxa that were placed in orthogroups containing 1-13 species. These distributions were similar for *Arundo, Molinia,* and *Phragmites,* with the majority of contigs being in orthogroups with either very few or most of the species in the analysis. Most of the genes expressed in an organism are housekeeping genes that are common across species. The large number of contigs that are unique to a single species is likely due in part to assembly errors, which create contigs that cannot be aligned across species, but since only contigs with sequences from all species in the analysis are used, these errors do not pose a problem for this study.

Consistent with the lower total transcript number and assembly size, *Hakonechloa* contig counts are lower across all species number categories except for the full set of 13. The lack of a substantial number of contigs unique to *Hakonechloa* (the low species number peak seen in the other taxa) can similarly be explained by the lower sequence coverage, as more sequences would tend to add lower copy transcripts as well as errors, both of which would inflate the number of contigs that are unique to one or two species.

### 2.3.2 Kₚ Plots and PUG Analyses

Kₚ plots for the four arundinoid taxa are given in Figure 2.4. All samples show a signal of polyploidy, with peaks inferred by normal mixture models placed at values of Kₚ between ~0 and 0.7. The latter value is consistent with previous estimates of rho (McKain *et al.*, 2016), but the signal for this event is weakened by more recent polyploid events in the sampled taxa. The other three peaks inferred by the models are clustered around low values of Kₚ and represent support for at least one WGD event.
PUG analyses identified coalescence points between substantial numbers of gene pairs corresponding to several nodes on the species tree that are consistent across alternative topologies in Arundinoideae. Many pairs coalesce at the base of the species tree, presumably reflecting the signal of the grass duplication \textit{rho} combined with rooting issues due to the use of \textit{Steptochaeta} as an outgroup (M. R. McKain, Donald Danforth Plant Science Center, pers. communication). Thus, gene pairs supporting this event were ignored in subsequent analyses. The PUG results based on the chloroplast species topology are shown in Figure 2.5. The branch on the species tree associated with the largest number of coalescing gene pairs in unique gene trees is the branch leading to \textit{Zea+Sorghum}. However, this result can best be explained by a known genome duplication shared by \textit{Zea} and \textit{Tripsacum} combined with short branches in this part of the phylogeny. Swiganova et al. (2004) analyzed 11 orthologous genes in maize, sorghum and rice to identify the progenitor genomes of tetraploid maize. Their study confirmed that maize is of tetraploid origin and showed that the two maize progenitor genomes diverged from one another around the same time that they diverged from sorghum. However, Estep et al. (2014), using four low-copy nuclear loci in 100 species in tribe Andropogoneae, found that the maize tetraploidy occurred after the divergence from sorghum but before the origins of the genera \textit{Zea} and \textit{Tripsacum}. Thus, when phylogenetic analysis of maize and sorghum relatives is sparse, the timing of the maize allopolyploidy event is estimated to be older than it is under denser taxon sampling. The current study includes only maize and sorghum in the Andropogoneae and would thus be expected to recover results similar to Swiganova et al. (2004) in which the timing of the \textit{Zea} duplication is difficult to disentangle from the divergence between \textit{Zea} and \textit{Sorghum}.

One coalescence event at the base of the PACMAD clade is associated with 410 unique gene trees and 2,151 gene pairs, although these pairs are not equally distributed across all species.
in the clade. Sequences from *Panicum* make up 525 of the 2,151 pairs identified by PUG as coalescing to this node, while *Zea*, *Sorghum*, and *Dichanthelium* constitute only 61, 90, and 81 pairs, respectively. The remaining taxa possess between 122 and 345 gene pairs that coalesce to nodes in their respective gene trees corresponding to the base of PACMAD.

The node connecting *Phragmites*, *Hakonechloa* and *Molinia* is associated with coalescence of 1,631 total gene pairs in 381 unique gene trees, with gene pairs coming roughly equally from all three taxa. The node below this point in the species tree, representing the ancestor of all members of Arundinoideae in the current sampling, corresponds to the site of coalescence of 1,256 gene pairs in 231 unique gene trees. *Arundo* has the fewest gene pairs coalescing to this point out of the four arundinoid taxa. Of the total 1,256 gene pairs, only 165 are from *Arundo*, with *Phragmites*, *Molinia*, and *Hakonechloa* contributing 291, 416, and 284 pairs, respectively. Additionally, in 105 of the 165 *Arundo* pairs one of the gene copies is in a subclade by itself.

In alternative topologies of Arundinoideae, unique coalescing gene copies in unique gene trees are found in the following pairs: *Arundo*+*Hakonechloa* – 2 trees (Figure 2.6A); *Arundo*+*Molinia* – 2 trees (Figure 2.6B); *Arundo*+*Phragmites* – 0 trees (Figure 2.6C); *Hakonechloa*+*Molinia* – 91 trees (Figure 2.7A); *Hakonechloa*+*Phragmites* – 51 trees (Figure 2.7B); *Molinia*+*Phragmites* – 104 trees (Figure 2.7C). It is noteworthy that in the alternative tree in which *Hakonechloa* and *Molinia* are sister and the relationship of this clade with *Arundo* and *Phragmites* is left unresolved (Figure 2.7A), 91 unique gene trees have at least one gene pair within one of these taxa coalescing to the common ancestor of both taxa, while only 39 unique trees display this pattern in the chloroplast tree. Examination of the gene trees reveals that the 52 extra gene trees supporting a coalescence event in the former case lack any sequences from
Phragmites in the relevant subtree and would thus violate the chloroplast species tree. By allowing either Phragmites or Arundo to serve as the outgroup, the alternative tree includes gene pairs that could either coalesce to the common ancestor of Hakonechloa and Molinia or to the base of Phragmites+Hakonechloa+Molinia. The same phenomenon occurs in the alternative tree treating Molinia and Phragmites as sister (Figure 2.7C); of the 104 unique gene trees identifying a coalescence point at the node connecting this pair between gene pairs in one species, 60 are missing Hakonechloa sequences from the relevant subtree.

Two coalescence events in the Panicoideae are associated with a lower number of unique gene trees: one including all sampled members of Panicoideae and another including only Panicum and Setaria. The event at the base of Panicoideae is supported by 161 unique gene trees, while the one shared by Panicum and Setaria is supported by 105 such trees. It is difficult to say whether or not these values represent significant support for coalescence events. The highest value in the chloroplast topology that is not highlighted in the PUG plot is 49 unique gene trees corresponding to Panicoideae+Arundinoideae. The lowest value in this tree that is identified as an event in the PUG plot is 231 unique gene trees corresponding to the Arundinoideae. Thus, the two Panicoideae event values are intermediate between the highest "nonsignificant" and the lowest "significant" values. However, it is noteworthy that when examining all orthologous pairs in all gene trees, sequences from Panicum make up 71% and 80% of the pairs supporting the Panicoideae and Panicum+Setaria events, respectively. This result is consistent with what would be expected if Panicum were the result of a hybridization involving a distant relative. Gene copies from this parent (presumably the father, since it is not reflected in the chloroplast tree) would fall outside the Paniceae or possibly outside the Panicoideae, while copies from the other parent (mother) would follow the chloroplast topology.
2.4 DISCUSSION

This study represents the first phylogenetic analysis of the polyploid genomes in Giant and Common Reed, *Arundo donax* and *Phragmites australis*. These two species are ecological heavyweights, dominating wetland habitats across the globe, and are of considerable economic interest due to use of their culms to make shelter and musical reeds, potential use as biofuels, and invasive tendencies (Lewandowski *et al.*, 2003; Haslam, 2010; Lambert *et al.*, 2010). The results of this study are discussed below in the context of the geography, ecology, and evolution of *Arundo*, *Phragmites*, and their relatives. Since this is also the first study to examine transcriptomes from three PACMAD subfamilies in a phylogenetic context, the broader implications for genome evolution in this highly speciose, successful, and economically important clade are also examined. In the following sections, I often refer to gene pairs "supporting an event" at a particular position in the species tree. This is a shorthand used for convenience and can be interpreted as gene pairs coalescing to a node/branch in their respective gene trees that corresponds to the node/branch in question in the species tree. Hybridization between taxa from different clades in a phylogenetic analysis like the one performed by PUG can cause coalescence of gene pairs from the descendant hybrid offspring to occur deep in the tree, generating patterns resembling those seen in WGDs, so it is important to keep in mind that gene pair coalescence does not necessarily imply a WGD. Also, coalescence points in this analysis can only be identified with respect to the taxa that are sampled, so while a phylogenetic approach of sequence data provides more information regarding historical placement of putative genome duplications than non-phylogenetic approaches, this advantage is proportional to the taxon sampling in the analysis.
2.4.1 Evolution of Reedy Arundinoideae

The results of the $K_s$ plots and PUG analyses suggest at least one coalescence event in the Arundinoideae. The putative event identified by the $K_s$ plots is identical between *Arundo* and the other arundinoid taxa, even though there is fairly strong support for a WGD shared between *Phragmites, Molinia*, and *Hakonechloa* that is not shared by *Arundo*. The $K_s$ plots thus confirm the polyploid nature of the arundinoid species, but are unable to provide details regarding the number of independent recent events or the timing of specific events, highlighting one of the limitations of this approach – namely, the inability to distinguish between signal from events occurring close to one another in time (Doyle & Egan, 2010; Kellogg, 2016). Analysis of the full set of gene pairs supporting the event at the base of Arundinoideae show that all four taxa contribute substantial numbers of orthologues, lending credibility to the claim that the event represents a shared ancestral WGD rather than hybridization between *Arundo* and one of the other arundinoids in this study. The extremely low numbers of gene trees supporting coalescence events between *Arundo* and any of the other arundinoid taxa supports this conclusion. Another possibility would be that the common ancestor of *Phragmites, Molinia* and *Hakonechloa* was a hybrid between distant relatives that subsequently underwent a WGD. Paralogous genes from this latter duplication would coalesce to the base of *Phragmites-Molinia-Hakonechloa*, while copies from the two parents would coalesce deeper in the tree. Broader sampling in the Arundinoideae and closely related subfamilies like Micrairoideae, Chloridoideae, and Danthonioideae is needed to determine whether such a hybridization event occurred and which lineages participated in the cross.
The other event identified by the $K_s$ plot in *Hakonechloa*, *Molinia*, and *Phragmites* is a separate coalescence shared by those taxa and not by *Arundo*. The alternative topologies analyzed with PUG show that this event is shared by all three taxa and thus most likely also represents a WGD event. While some gene trees support an event between *Hakonechloa* and *Molinia* and another between *Phragmites* and *Molinia*, the fact that the majority of these trees are missing the relevant outgroup in the corresponding subtree suggests that this moderate signal may be an artifact of transcriptome sampling. However, gene flow between these three taxa has not been tested, and hybridization between different ploidy levels is common in *Phragmites* and *Molinia* (Clevering & Lissner, 1999; Dančák et al., 2012), so the possibility that members of these genera are also hybridizing cannot be ruled out. Another possible explanation is incomplete lineage sorting of gene copies, which is especially likely given the relatively young age of this clade (ca. 3.5 mya according to BEAST dating in Chapter 1).

The $K_s$ plots hint at a possible WGD event in *Arundo* that has yet to be identified or placed by phylogenetic analysis. This putative event is not the result of hybridization between *Arundo* and any other arundinoid taxa in the current study as evidenced by the lack of significant gene tree support in any of the PUG analyses of alternative topologies. The data fail to support the hypothesis of Bucci et al. (2013) on odd ploidy in *Arundo donax*. Specifically, the authors hypothesize a cross between *P. australis* with 96 chromosomes and a tetraploid resulting from genome doubling in *A. plinii* with 72 chromosomes to yield an *Arundo*-like hybrid offspring with 120 chromosomes that are then reduced through aneuploidy to 110. This somewhat complicated scenario would be expected to produce at least some gene pairs shared between *A. donax* and *P. australis* that are not shared by *Hakonechloa* or *Molinia*, but we find no evidence of such pairs. Since *A. plinii* was not sampled in the current analysis, the alternative hypothesis of Bucci et al.
– a cross between diploid *A. plinii* and its tetraploid offspring combined with a gain of two chromosomes – cannot be tested here.

The absence of shared unique gene pairs between *Arundo* and *Phragmites* also means that shared genomes alone cannot be the cause of their convergent morphology. It is still possible that the similar features between these two taxa are due to the same genes shared via a WGD event at the base of Arundinoideae, but that those genes are not expressed in *Hakonechloa* and *Molinia*, or at least are not expressed in the same way.

The role that polyploidy has played in facilitating the geographic spread and ecological success of *Arundo* and *Phragmites* cannot be determined with the limited sampling in this study. Within the *Hakonechloa-Molinia-Phragmites* clade, *Phragmites* and *Molinia* possess much broader geographic and ploidy ranges than *Hakonechloa*, but causality in this relationship is unclear. If a WGD at the base of this clade facilitated the spread of *Molinia caerulea* and *Phragmites australis*, it remains to be explained why other members of these genera and *Hakonechloa macra* have maintained much more limited ranges. A similar problem exists for interpreting the putative WGD identified at the base of all Arundinoideae in our study. The majority of arundinoid species have limited geographic ranges (Kellogg, 2015), so if the genome duplication really did occur in the lineage leading to the subfamily, other factors are needed to explain why two clades have been so ecologically successful while the others are comparably restricted.

*Arundo* and *Phragmites* also present a case in which we must examine our definition of evolutionary success. These genera are relatively species-poor, supporting the story that polyploidy is an evolutionary dead-end. However, the contemporary success of *A. donax* and *P. australis* is undeniable and impressive. Equally striking is the fact that the spread of these species
has occurred via different population dynamics, with *A. donax* being sterile and *P. australis* preferentially reproducing sexually during invasions to new habitats. Despite their many morphological and ecological similarities, we find no evidence for hybridization playing a role in the comparable success of these large reeds.

### 2.4.2 PACMAD Genome Evolution

The support in our study for a PACMAD WGD event is a surprising result that needs broader sampling to evaluate fully. The PACMAD grasses constitute an enormously successful clade that lacks a clear distinguishing synapomorphy. Taxa in this clade have diversified to occupy a wide range of habitats, becoming dominant in C₄ grasslands and tropical and subtropical savannahs. All origins of the C₄ photosynthetic pathway in grasses occur in the PACMAD clade, which has been explained at least in part by an ancestral anatomical preadaptation (Christin *et al*., 2013). The potential existence of a genome duplication preceding the origins of this clade is an exciting opportunity to explore the potential for polyploidy to drive or facilitate major long-term radiations.

However, this event has not been found by multiple previous studies using various approaches (i.e. Wei *et al*., 2007; McKain *et al*., 2016). None of these studies used a phylogenetic analysis of transcriptomic data from more than two PACMAD subfamilies, but this putative WGD event has not been seen in detailed genomic studies of *Sorghum, Setaria*, and *Zea*. It is possible that these methods, including Kₛ plots and synteny analyses, have difficulty distinguishing between multiple ancient WGDs (Kellogg, 2016). An explicitly phylogenetic analysis is in some ways a fundamentally different approach to identifying genome doubling events, so the possibility that the event identified in this study is real should not be ignored. That
being said, half of the subfamilies in PACMAD have not been included in the phylogeny, and adding clades could substantially affect the placement of the putative event.

The sparse sampling of outgroup lineages in our analysis may also be contributing to apparent gene coalescence at the base of PACMAD. Since all grasses share a WGD event, rho, a fully-sampled gene tree in this family would be expected to be mirrored, with all lineages represented at least once in each of two family-wide clades. However, in our analysis, since *Streptochaeta* is used as the outgroup, this mirroring is distorted, and this distortion is the cause of the coalescence of gene pairs at the base of BOP+PACMAD that was ignored in our analysis (see Materials and Methods section). This same rooting problem could cause gene pair coalescence at the base of PACMAD if sequences from the two BOP lineages, *Oryza* and *Dendrocalamus*, cluster together at the base of gene trees, or if one of the pairs of gene copies in these lineages resulting from rho is missing from a gene tree. Both of these circumstances would cause the two rho clades of PACMAD to appear to share a common ancestor excluding the other grasses in our sample, thus generating a substantial number of gene pairs coalescing at the base of this clade. Transcriptomes from more BOP lineages and better outgroup sampling would greatly reduce or eliminate this problem.

A final issue in interpreting the PACMAD event is the ongoing difficulty in placing subfamily Aristidoideae in the phylogeny. Phylogenies based on chloroplast sequence data have recovered this subfamily in three different positions near the base of PACMAD (GPWGII, 2011; Cotton *et al.*, 2015; Chapter 1, this dissertation), and this uncertainty is reflected among the gene trees in our current analysis. The consistency of this problem across data sets supports a rapid radiation at the base of PACMAD. When using phylogenetic polyploidy analyses like PUG it is important to check individual gene trees because phylogenetic uncertainty can create artificial
coalescence events. In this case, *Aristida* does change phylogenetic position between different gene trees, but this inconsistency is insufficient to explain the signal for a possible PACMAD WGD event. Additional sampling across other PACMAD subfamilies could also help identify the placement of Aristidoideae with greater confidence, especially if nuclear genes could be combined with those from the plastome for representatives from all PACMAD subfamilies.

### 2.4.3 Approaches to Identifying WGDs

The results presented here highlight the need for multiple data sets and approaches to studying ancient polyploidy. Chromosome counts vary widely for *Arundo* and *Phragmites* (Connor & Dawson, 1993; Rice *et al.*, 2014), complicating ancestral reconstructions of chromosome number for the Arundinoideae. The frequency of recent eu- and aneuploidy in these taxa masks the older WGD identified by phylogenetic analysis of gene orthogroups. $K_s$ plots of the four species generated for this study can only identify a single putative WGD that appears to be shared by all Arundinoideae, despite strong evidence from PUG for an event shared by *Phragmites*, *Molinia*, and *Hakonechloa* that is not shared by *Arundo*. On the other hand, coalescence events identified by phylogenetic analyses of gene trees are not guaranteed evidence of WGD (Doyle & Egan, 2010). Several evolutionary phenomena can lead to inferred coalescence between gene copies at a particular node in the phylogeny, and there is as yet no explicit statistical framework for evaluating support for a given event in PUG. Coupling phylogenetic analyses of putative polyploid events with synteny analyses of completed genomes can help identify genome duplications with greater confidence (i.e. McKain *et al.*, 2016). A logical next step for the possible PACMAD event found in this study would be to identify which gene trees coalesce to this point and then evaluate those genes for synteny in one of the available
PACMAD genomes like *Setaria* or *Sorghum*. If these genes are found in syntenic blocks, it would suggest that previous analyses of synteny in this genome could not distinguish between the PACMAD event and other WGDs in lineages leading to this species. Alternatively, if the genes in question are found scattered across the genome, other causes of their inferred shared coalescence will need to be explored.

### 2.4.4 Conclusion

This study presents a preliminary exploration into WGD events in the history of subfamily Arundinoideae and the PACMAD clade of grasses using a phylogenetic approach with transcriptomic data sets. A possible WGD is shared by all PACMAD taxa in the current analysis, though this result is most likely due to problems caused by rooting the tree with *Streptochaeta* as well as uncertainty in the phylogenetic position of *Aristida*. Members of Arundinoideae share two separate events, although there is little evidence at present that these events are causally related to the success of the large-statured invasive reeds *Arundo donax* and *Phragmites australis*. The morphological and ecological convergence between these species is not attributable to possession of uniquely shared genes that are not shared by other members of Arundinoideae. The addition of other arundinoid genera to the analysis would help in determining the nature of the putative event at the base of this subfamily.


Martin, S. L., and B. C. Husband. 2009. Influence of phylogeny and ploidy on species ranges of


FIGURES AND TABLES
Figure 2.1. Hypothetical gene tree and species tree (inside box) for five species labelled A-D with gene copies labelled 1-3. The outgroup taxon is represented by O.
Figure 2.2. Alternative topologies used for samples of Arundinoideae in PUG analyses.
Figure 2.3. Numbers of genes from each arundinoid species in orthogroups containing genes from between one and all thirteen species in the analysis.
Figure 2.4. $K_s$ plots for four arundinoid species using transcriptome sequence data.
Figure 2.5. Results from PUG using relationships inferred by chloroplast phylogenies for the species tree topology. Branches in the species tree are colored according to how many unique gene trees possess gene pairs coalescing to the corresponding branch in that gene tree. Branches associated with fewer than 10% of the unique gene trees that are associated with the highest value in the tree are colored black.
Figure 2.6. PUG results using alternative species tree topologies in which *Arundo* is treated as a sister taxon to one of the other three Arundinoideae in the study. Coloring of branches is the same as in Figure 5.
Figure 2.7. PUG results using alternative species tree topologies in which two members of *Phragmites*, *Molinia*, and *Hakonechloa* are treated as sister while the other is left in an unresolved position in Arundinoideae. Coloring is the same as in Figure 5.
Table 2.1. Sources of coding DNA sequences for taxa used in Ks and PUG analyses.

<table>
<thead>
<tr>
<th>Taxon</th>
<th>Voucher</th>
<th>Source</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Arundo donax</em></td>
<td>Teisher 95</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Arundo donax</em></td>
<td>Teisher 96</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Hakonechloa macra</em></td>
<td>Teisher 97</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Hakonechloa macra</em></td>
<td>Teisher 99</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Molinia caerulea</em></td>
<td>Teisher 98</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Molinia caerulea</em></td>
<td>Teisher 100</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Phragmites australis</em></td>
<td>Teisher 101</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Phragmites australis</em></td>
<td>Teisher 102</td>
<td>This Study</td>
</tr>
<tr>
<td><em>Aristida stricta</em></td>
<td>McKain <em>et al.</em>, 2016</td>
<td></td>
</tr>
<tr>
<td><em>Dendrocalamus latiflorus</em></td>
<td>Data from SSRA, Assembly from McKain <em>et al.</em>, 2016</td>
<td></td>
</tr>
<tr>
<td><em>Dichanthelium oligosanthes</em></td>
<td>Steuder <em>et al.</em>, 2016</td>
<td></td>
</tr>
<tr>
<td><em>Oryza sativa</em></td>
<td>Phytosome 10</td>
<td></td>
</tr>
<tr>
<td><em>Panicum virgatum</em></td>
<td>Phytosome 10</td>
<td></td>
</tr>
<tr>
<td><em>Setaria viridis</em></td>
<td>Phytosome 10</td>
<td></td>
</tr>
<tr>
<td><em>Sorghum bicolor</em></td>
<td>Phytosome 10</td>
<td></td>
</tr>
<tr>
<td><em>Streptochaeta angustifolia</em></td>
<td>McKain <em>et al.</em>, 2016</td>
<td></td>
</tr>
<tr>
<td><em>Zea mays</em></td>
<td>Phytosome 10</td>
<td></td>
</tr>
</tbody>
</table>
Table 2.2. Summary of translation statistics for four arundinoid transcriptomes.

<table>
<thead>
<tr>
<th>Taxon</th>
<th>Total Assembled Bases</th>
<th>Total Trinity Transcripts</th>
<th>N50</th>
<th>Median Contig Length</th>
<th>Average Contig Length</th>
</tr>
</thead>
<tbody>
<tr>
<td><em>Arundo donax</em></td>
<td>41,193,831</td>
<td>53,246</td>
<td>1,212</td>
<td>462</td>
<td>774</td>
</tr>
<tr>
<td><em>Hakonechloa macra</em></td>
<td>25,960,605</td>
<td>26,812</td>
<td>1,710</td>
<td>522</td>
<td>968</td>
</tr>
<tr>
<td><em>Molinia caerulea</em></td>
<td>35,585,490</td>
<td>50,897</td>
<td>1,083</td>
<td>405</td>
<td>699</td>
</tr>
<tr>
<td><em>Phragmites australis</em></td>
<td>31,575,813</td>
<td>41,192</td>
<td>1,248</td>
<td>432</td>
<td>767</td>
</tr>
</tbody>
</table>
CHAPTER 3

Evolution of C₄ Photosynthesis in the Micrairoideae (Poaceae)
3.1 INTRODUCTION

The over 60 independent origins of C\textsubscript{4} photosynthesis collectively constitute one of the most striking examples of parallel evolution in plants (Sage et al., 2011). In particular, the grass family (Poaceae) alone contains at least 22 separate transitions from C\textsubscript{3} to C\textsubscript{4} photosynthesis (GPWGII, 2011), with over 4,500 C\textsubscript{4} species including such major crops as maize, sorghum, and sugar cane (Brown, 1999). This pathway involves increasing the concentration of carbon dioxide around the carbon-fixing enzyme Ribulose-1,5-bisphosphate carboxylase/oxygenase (RuBisCO) and restricting the expression of the enzyme to specialized cells around the vasculature, thereby maximizing the enzyme's efficiency (Sage, 2004; Kellogg, 2013). The efficiency of the C\textsubscript{4} pathway has generated considerable interest in understanding how it has evolved, not only because C\textsubscript{4} species are such dominant members of so many ecosystems, but also due to the potential to increase crop yield via genetically engineering C\textsubscript{3} crops, such as rice and soybean, to use C\textsubscript{4} (i.e. Sage & Zhu, 2011; Denton et al., 2013; Slewinski, 2013; Wang et al., 2014).

Exploring both the commonalities and unique qualities of the various C\textsubscript{4} origins is vital to understanding the role this complex trait has played in evolutionary history and how it can be exploited to benefit humanity. Several mechanisms have been proposed to explain the apparent ease with which the transition to C\textsubscript{4} is made, including conserved regulatory elements in key genes (Brown et al., 2011), lateral gene transfer from C\textsubscript{4} to C\textsubscript{3} taxa (Christin et al., 2012), and anatomical preadaptations (Christin et al., 2013). Other studies have highlighted the differences between various C\textsubscript{4} lineages, demonstrating that extensive physiological and ecological variation can exist between plants using this pathway (Sinha & Kellogg, 1996; Liu & Osborne, 2015).

The advantages of C\textsubscript{4} photosynthesis over the ancestral C\textsubscript{3} pathway under certain environmental conditions have been well-documented. Water use efficiency is generally higher
in C₄ plants (Kokacinar, 2015), giving them a competitive advantage in arid environments and under more intense light (Taylor et al., 2014). Edwards et al. (2010) showed that C₄ photosynthesis represents an adaptation to open habitats and a potential preadaptation to arid ones. Edwards & Still (2008) similarly found that C₄ grasses in Hawaii have an adaptive advantage in dry habitats, but that their tendency to occupy warmer areas could simply be the result of evolutionary history in that the C₃ relatives of these taxa are also warm-adapted. Spriggs et al. (2014) found that speciation rates are higher on average in C₄ taxa than in their sister C₃ clades, but similarly emphasize the role that historical contingency plays in shaping subsequent evolutionary events. Increases in diversification rates are frequently associated with C₄ origins following a lag period, suggesting that the pathway interacts with other factors to influence speciation and/or extinction rates.

Subfamily Micrairoideae contains a particularly poorly-studied origin of C₄ photosynthesis that seems to defy generalizations. The subfamily contains about 188 species divided into three tribes: Micraireae (15 species in one genus), Isachneae (119 species in 6 genera), and Eriachneae (50 species in 2 genera) (Kellogg, 2015). Eriachneae is C₄ and is sister to Isachneae (Sanchez-Ken et al., 2007; GPWGII, 2011), which is C₃, so at least in this system C₄ photosynthesis has not led to a net increase in species diversification. This is not to say that change in photosynthetic pathway has not facilitated diversification at all, however. There is no logical reason an adaptive radiation must lead to a large number of species. If a novel trait opens a new range of habitats, one might expect the lineage possessing the trait to fill those habitats relatively quickly. Should the number of habitat types be small, the expected number of species would also be small, assuming close relatives compete with each other and cannot infinitely partition resources through specialization. Thus, the acquisition of C₄ photosynthesis in
Eriachneae may have allowed members of this tribe to occupy new habitats, leading to an adaptive radiation even if the total number of species is not very high.

This explanation is also complicated in the Micrairoideae, however, in that the direction of habitat evolution appears to be reversed compared to the more general story in grasses (Spriggs et al., 2014). The C₃ Tribe Micraireae is sister to the rest of the subfamily and is characterized by short, densely mat-forming species with spiral phyllotaxis (Philipson, 1935), which is one of only two known occurrence of this trait in the entire grass family, the other being the South American genus *Arundoclaytonia* (Davidse & Ellis, 1987). This moss-like growth habit likely serves to reduce water loss (Glime, 2015), and, coupled with the ability to resurrect after dehydration (Gaff & Latz, 1978), helps the species of *Micraira* survive in open habitats with infrequent water. These habitats are also typically dominated by C₄ species, including members of tribe Eriachneae. Thus, both C₃ and C₄ Micrairoideae occur in open arid habitats, and this appears to be the ancestral condition in the subfamily. Alternatively, Eriachneae and Micraireae may have both adapted to dry open habitats independently, with Isachneae retaining ancestral habitat preference for shadier and wetter environments.

Some basic questions regarding photosynthetic pathway in the Micrairoideae need to be answered before more specific evolutionary hypotheses can be tested. First, it is common practice to assume that all members of a genus share the same photosynthetic pathway in the absence of evidence to the contrary (i.e. Osborne et al., 2014). The C₄ pathway is reported as being limited to members of Eriachneae, but only a few species in this tribe and in Isachneae have been examined for this trait (Smith & Brown, 1973; Brown, 1977; Ehleringer et al., 1987). Additionally, members of Isachneae possess chlorenchyma that radiates out from the vascular bundle (Watson & Dallwitz, 1992 onwards), a trait typically affiliated with C₄ photosynthesis.
Thus, clarifying the phylogenetic boundaries of C$_4$ is a necessary first step toward further characterization of its effects on evolutionary dynamics in the subfamily.

Carbon isotope ratios have been shown to be reliable and convenient indicators for discrimination between C$_3$ and C$_4$ plants (O'Leary, 1983; Farquhar et al., 1989) and for measuring water use efficiency in general (Maguas & Griffiths, 2003; Caemmerer et al., 2014). The source of this ability stems from the relative preference of the primary carbon-fixing enzymes in C$_3$ versus C$_4$ pathways. Rubisco, which is responsible for initial carbon dioxide capture in the mesophyll of C$_3$ plants, preferentially binds molecules with $^{12}$C rather than $^{13}$C (O'Leary, 1988). In the case of the C$_4$ pathway, phosphoenolpyruvate carboxylase (PEPC) does not discriminate as strongly against C$^{13}$-containing molecules, so both isotopes become fixed and transported to the bundle sheath cells. Within these cells, the selectivity of Rubisco is eventually overcome as the ratio of $^{12}$C:$^{13}$C decreases. Thus, when carbon from both kinds of plants is compared to the atmospheric carbon isotope ratio using known standards (a value known as $\delta^{13}$C and measured in parts per thousand = per mil), material coming from a C$_4$ plant will have a less negative value as compared to that from a C$_3$ plant. Specifically, two non-overlapping ranges of $\delta^{13}$C are found: -20 to -9 per mil with an average of -14 per mil for C$_4$ and -35 to -21 per mil with an average of -28 per mil for C$_3$ plants (O'Leary, 1988). This feature is particularly useful for identifying C$_4$ species from dried material, for which anatomical details of the leaves can be difficult to discern. Carbon isotope ratios can be measured from herbarium specimens using very little material (Dawson et al., 2002), making this technique ideal for the current study.

A second issue confronting an exploration of C$_4$ evolution in Micrairoideae stems from the lack of quantitative analyses of geographic distribution patterns in the subfamily. Are the habitats of Eriachneae and Micraireae quantitatively more similar than those of Isachneae? Does
the broad geographic distribution of Isachneae correspond to a similarly broad range of habitat preferences as compared to its more narrowly-distributed sister Eriachneae? An inordinate number of variables contribute to "habitat", and it can be difficult to determine a priori which of these variables will be important in species distributions. However, geographic analysis of variables related to precipitation and temperature can be a valuable and expedient method of quantifying patterns in species distributions (Barbet-Massin & Jetz, 2014; Duan et al., 2014). The WorldClim database maintains a 30 square arcsecond raster of 19 BioClim variables that can be extracted using geographical coordinates (Hijmans et al., 2005), which can be downloaded from the Global Biodiversity Inventory Facility (GBIF.org) for many species in the Micrairoideae.

Perhaps the greatest obstacle to understanding C_4 evolution in Eriachneae is the lack of a substantive phylogeny for the tribe. The largest sampling to date of Micrairoideae for molecular phylogenetics was conducted by GPWGII (2011) and included six species of Eriachne, four species of Isachneae, and two species of Micaira in a phylogeny of the entire grass family based on three chloroplast genes. Their phylogeny and the one in Chapter 1 of this dissertation confirm the monophyly of Micrairoideae and sister relationship with Arundinoideae identified by Sánchez-Ken et al. (2007). A broader taxon sampling within Eriachne is needed to test hypotheses of an adaptive radiation associated with the acquisition of the C_4 pathway.

In this study, I explore the evolution of habitat occupation in Micrairoideae with emphasis on the C_4 tribe Eriachneae. First, I clarify the patterns of bioclimatic preference and photosynthetic pathway among species in the three tribes of this subfamily using BioClim data from the WorldClim database as well as carbon isotopes from dried leaf samples. Then I test the hypothesis that C_4 photosynthesis has acted as a key innovation in the Micrairoideae using a
phylogeny of 62 whole-plastome samples representing 30 species, including 53 new plastomes of 24 species of *Eriachne*. This phylogeny is the first to contain a significant sampling of the Eriachneae and thus constitutes a substantial step forward toward understanding this unique C₄ lineage.

3.2 MATERIALS AND METHODS

3.2.1 Collection of Material

Two collecting trips were undertaken in Northern Territory and Western Australia to gather material of the genus *Eriachne* (Figure 3.1). These locations were chosen because they maximize the number of species available in a minimal geographic range. Leaf material was dried in the field in silica gel or salt, with no detectable difference in DNA quality (Carrió & Rossello, 2014). In total, 76 specimens from at least 23 species of *Eriachne* were collected, of which 48 specimens representing all species were included in the phylogenetic analysis. Additional samples were received from T. Columbus at Rancho Santa Ana Botanic Garden and B.K. Simon, formerly of the Queensland Department of Environment and Resource Management. Plastomes for *Pheidochloa* and several outgroups were used from Chapter 2 of this dissertation. We also included two plastomes from *E. mucronata* and *E. stipacea* available on GenBank (Table 3.1), totaling 63 samples for phylogenetic analysis.

3.2.2 DNA Isolation and Sequencing

Total DNA was extracted from field-dried material using either a QIAGEN EasyDNA Plant Mini Kit or a modified CTAB protocol (Cota-Sánchez *et al.*, 2006), with no consistent differences in DNA quality detected between the two approaches. DNA was mechanically
sheared using a Covaris S220 sonicator under the following conditions: peak power 175, duty factor 5.0, 200 cycles for 30 seconds. Fragments of size 400-500bp were isolated and purified using AMPure XP Beads (Beckman Coulter, Inc.), and a NEBNext Ultra DNA Library Prep Kit for Illumina (New England BioLabs, Inc.) was used to prepare libraries according to the manufacturer's instructions. The resulting libraries were sequenced using an Illumina 2x250 paired-end HiSeq run at the University of Illinois at Urbana-Champaign Roy J. Carver Biotechnology Center.

### 3.2.3 Plastome Assembly

Plastome assemblies were performed either on the Apollo Cluster at the Donald Danforth Plant Science Center or on Google Cloud. Raw sequence reads were cleaned with Trimmomatic version 0.32 for TruSeq3-PE adapters, using a sliding window of 10bp with a cutoff phred score of 20 and keeping fragments of minimum length 40 (Bolger et al., 2014). Trimmed fragments were assembled with SPAdes version 3.1.0 using k values of 55, 87 and 121 (Bankevich et al., 2012); the resulting contigs were extended on either end using afin (bitbucket.org/benine/afin) with a stop extension value of 0.1, an initial trim of 100 bp from contigs, a maximum extension of 100 bp per loop, and 50 search loops. Contigs generated by afin were connected by hand in Sequencher version 5.3 (Gene Codes Corporation) by manually searching trimmed reads to connect any remaining fragments. Gaps for which no reads could be found in the final alignment were filled with N's. Boundaries between the quadripartite regions (Large Single Copy – LSC, Inverted Repeat B – IRB, Short Single Copy – SSC, and Inverted Repeat A – IRA) were identified, and the IR region was duplicated to serve as both IRA and IRB since any differences between these regions cannot be reliably phased. Plastomes were checked for accuracy by
searching a 20 bp sliding window against the trimmed reads for that sample and plotting the resulting coverage. Low coverage areas were compared with other completed plastomes on DOGMA (Wyman et al., 2004) and with the distribution of overlapping trimmed reads to correct any errors in the assembly. Finished plastomes start at the beginning of the Large Single Copy (LSC) and end with IRA. Sequences were annotated in Verdant (McKain et al., submitted; verdant.iplantcollaborative.org) and submitted to GenBank.

3.2.4 Alignment and Phylogenetic Analysis

Plastomes were aligned by quadripartite region with the IRA removed. MAFFT version 7.029b was run on each region using default parameters (Katoh, 2013). The three alignments were then combined to form a single alignment. A maximum likelihood tree was calculated using RAxML version 8.0.22 with 500 bootstrap replicates (Stamatakis, 2014), and MrBayes version 3.2.6 (Ronquist & Huelsenbeck, 2003) with 5 million generations was used for Bayesian analysis. Trees were visualized and edited using FigTree version 1.4.2 (http://tree.bio.ed.ac.uk/software/figtree/) and with the plot.phylo function in R package ape (Paradis & Strimmer, 2004).

3.2.5 BioClimatic Data

Latitude and longitude coordinates were downloaded from the Global Biodiversity Inventory Facility (GBIF.org) for all available species in subfamily Micrairoideae. Quality control was done using Microsoft Excel and the R package maptools (Bivand & Lewin-Koh, 2015) to remove entries lacking coordinate data, duplicate entries and those with doubtful coordinates. Additionally, any species represented by fewer than five samples was removed from
the analysis, with the exception of four specimens of *Limnopoia* that were georeferenced approximately based on label information. Nineteen BioClim variables with 30 second resolution were downloaded from the WorldClim database (Hijmans *et al.*, 2005), and values were extracted for cleaned coordinates using the R package *raster* (Hijmans, 2015). Principal components analysis using the *prcomp* function in the *stats* package was performed to reduce the dimensionality of the data. Mean and median values for species were also calculated from the rotated BioClim data set and compared to help account for outlier individual records. Bioclimatic disparity for Eriachneae and Isachneae was compared using a principal coordinates analysis of average species values with the R function *betadisper* in package *vegan* (Oksanen *et al.*, 2016). This function takes a distance matrix, in this case the pairwise distances between species averages from the full set of BioClim variables calculated from GBIF localities above, and constructs a principal coordinate space to maximize the variation in distances that is captured by the fewest possible number of axes. Samples in the analysis are assigned to groups by the user, and the average distances of all samples within each group to that group's centroid (calculated as the spatial median of the group samples) are calculated. These average distances are compared with an ANOVA to test for significant differences in disparity while controlling for sample size.

### 3.2.6 Carbon Isotope Discrimination

Carbon isotope ratios were measured from field-dried or herbarium material of 26 species of *Eriachne*, two species of *Micraira*, one species each of *Pheidochloa*, *Coelachne* and *Limnopoia*, and 16 species of *Isachne* (Table 3.2). 400 micrograms of each sample were run in two batches of 200 micrograms each to assess measurement replicability, and wherever possible multiple samples per species were included to test the consistency of δ¹³C values between
closely related individuals. All isotopic measurements were conducted in the laboratory of D. Fike in the Department of Earth and Planetary Sciences at Washington University in St. Louis using acetanilide, cellulose, graphite, and sucrose as carbon standards.

### 3.2.7 Trait Evolution

BioClim data were extracted for each of the samples of *Eriachne* and *Pheidochloa* sampled in the current phylogeny using the same methods as outlined above. Individual variables from these data were mapped onto the plastome phylogeny under a Brownian motion model using the "fastML" method in the *contMap* function in R package **phytools** (Revell, 2012). The outgroup *Arundo donax* was treated as missing data because of the extremely wide climatic range of this species, and species or tribe averages were used for the Isachneae and Micraireae. Bioclimate variables were also fitted to a reduced plastome phylogeny consisting only of Eriachneae using several different models in the *fitContinuous* function in R package **geiger** (Harmon et al., 2008). Akaike Information Criteria (Akaike, 1973), or AIC, generated from this function were compared to evaluate the statistical support for competing evolutionary hypotheses, including Brownian motion (Felsenstein, 1973), early burst (Harmon et al., 2010), delta (Pagel, 1999), and white noise models. These models were chosen to distinguish between a constant rate of evolution (Brownian motion), an increasing or decreasing rate (exponential in the Early Burst model, linear in the delta model), and absence of phylogenetic signal (the white noise model). An adaptive radiation of *Eriachne*, in which species radiate into new habitat types quickly, would imply high evolutionary rates early in the history of the genus and low rates towards the tips of the tree, which would be supported by the early burst model or the delta model with negative values for the change in evolutionary rate of bioclimatic preferences.
Positive values of the rate parameters for these models would indicate that evolution of bioclimatic niche has increased through time, as might be expected under a model of recent climate change. Phylogenetic signal in the bioclimatic variables was also estimated and tested using Pagel's lambda (Pagel, 1999) and Blomberg's K (Blomberg et al., 2003) with the phylosig function in R package phytools.

3.3 RESULTS

3.3.1 Plastome Assembly, Alignment and Phylogenetic Analysis

53 plastomes representing 24 species of *Eriachne* were successfully assembled from Illumina trimmed reads (Table 3.1). The length of the final assemblies was highly consistent across samples, ranging from a low of 134,445 bp to a high of 135,081 bp and with a mean of 134,740 bp. The average single copy coverage within samples ranged from 6X to 283X with a mean across all samples of 52X.

The alignment of all plastomes is 123,690 bp long including the IR region only once. Maximum likelihood and Bayesian analyses of the unedited alignment produced trees with nearly identical topology and with strong support across most of the backbone and for most species relationships (Figure 3.2). Species in the genus fall out into five morphologically cohesive clades, with *E. compacta* resolved as sister to the rest of the genus. Plant habit, spikelet size and the presence of awns are consistent within these clades, although other traits like life history (annual or perennial) are shared by distantly-related species. The genus *Pheidochloa* is recovered within a paraphyletic *Eriachne*, specifically in a clade with *E. pallescens* and *E. triseta*. 
3.3.2 Habitat Breadth in Micrairoideae

The cleaned matrix of localities from GBIF contained 22,292 specimens in 101 species (Figure 3.3). Principal components analysis yielded two axes that contained a combined 82% of the variance in temperature and precipitation variables among these specimens. Variable loadings for these axes are given in Table 3.3. Values for these first two axes are plotted for all specimens in Figure 3.4A, with specimens colored according to tribe: Eriachneae (red), Isachneae (blue) and Micraireae (green). Micraireae occupies a space closer to Eriachneae, with the exception of *M. subulifolia*, which has a distribution extending along Australia's northeast coast. These results hold true when average values of each principal component for each species are used instead of those for all individuals (Figure 3.4B). When species values are calculated by averaging over the original unrotated data, over 75% of the variation in pairwise distances between those species can be captured in two principal coordinate axes, and only the first four such axes have eigenvalues greater than 100 (Figure 3.5A). Plotting species values in these axes shows that members of Isachneae occupy a broader overall climate space than Eriachneae, a result confirmed by an ANOVA of the average distances from species to the tribe centroid in the rotated space (*F*=33.677, *P*=7.238e-08, df=1, Figure 3.4).

3.3.3 Carbon Isotope Ratios

Values for δ\(^{13}\)C for all samples are given in Table 3.2 and plotted in Figure 3.6. All measured taxa in the Micraireae and Isachneae have values in the typical C\(_3\) range, with a range of -32 to -23 per mil and an average value of -29. Similarly, specimens of Eriachneae possess δ\(^{13}\)C values in the normal range for C\(_4\) species, ranging from -17 to -11 per mil and with an average of -13.5. Standard deviations between the two replicate measurements for each sample
range from close to 0 to 0.89 with an average of 0.12, with most samples below the recommended standard deviation of 0.20. Considerable variation was found between samples from the same species. In particular, values for two samples of *Isachne mauritiana* differed by more than 7 per mil, though this is an extreme case. The average within-species variance across species with multiple samples is 4.99 for Isachneae and 0.66 for Eriachneae.

### 3.3.4 Habitat Evolution in Eriachneae

Figure 3.7 shows the range of mean annual temperatures and mean annual precipitation levels occupied by the samples of Eriachneae in the phylogenetic tree versus samples with locality information in GBIF. The phylogeny mostly samples specimens in the hotter and drier range of total Eriachneae values. The values for annual mean temperature and annual mean precipitation are plotted according to a Brownian Model of evolution on the phylogeny of Micrairoideae in Figures 3.8 and 3.9, respectively. These figures indicate that most of the change in these two climate traits occurred at the base of either the Eriachneae or Isachneae or both and that change within Eriachneae was clustered toward the tips, with internal branches within this clade showing relatively low rates of change. This pattern within the tribe is especially apparent when the same values are plotted on a reduced phylogeny, as shown in Figures 3.10 and 3.11.

The results of fitting different models of trait evolution using maximum likelihood are given in Table 3.4. The white noise model, indicating a lack of phylogenetic signal, was the best fit according to Akaike's Information Criterion in 15 out of the 19 BioClim variables, highlighting the large amount of variability in these values within clades and between members of the same species. The other 4 BioClim variables – Isothermality, Mean Temperature of Wettest Quarter, Annual Precipitation, and Precipitation of Wettest Quarter – all have the delta
model as their preferred model, although in the latter two cases it is not significantly better than the Brownian motion model. In all four cases, the value of delta is >1, indicating that evolution towards the tips of the tree has been faster than towards the root. The early burst model was not favored for any of the climate variables.

As shown in Figure 3.12, the infrageneric classification erected by Lazarides (1995) is at odds with the chloroplast phylogeny. All groups are found to be nonmonophyletic with the exception of Group D, for which only E. glauca is included in the phylogeny. A few species are also recovered as nonmonophyletic in the current phylogeny, but some caution is needed in interpreting these results (See the Notes on Classification section in the Discussion).

3.4 DISCUSSION

Unlike most C₄ lineages, Eriachneae has fewer species than its C₃ sister Isachneae and appears to occupy a habitat more similar to the ancestral condition for the subfamily. While the origin of most C₄ lineages correlated with a shift into more open and drier habitats and an increased net diversification rate (Edwards et al., 2010; Spriggs et al., 2014), evolution of the C₄ photosynthetic pathway in tribe Eriachneae of subfamily Micrairoideae appears to oppose these broad generalizations. The results of this study support this story using a survey of carbon isotope ratios across the Micrairoideae, a molecular phylogeny of whole plastome sequences of Eriachneae, and evolutionary analyses of bioclimatic data extracted from the WorldClim database. In the following sections I elaborate on possible interpretations of these results, including limitations of the data and implications for the taxonomy of Eriachneae.

3.4.1 C₄ Photosynthesis and Habitat Breadth in Micrairoideae
The carbon isotope analysis confirms the origin of C₄ photosynthesis in the ancestor of the Eriachneae and rejects the possibility that the radiate chlorenchyma in Isachneae contribute to an intermediate C₃-C₄ pathway. Additionally, δ¹³C values for Micraira fall within the range for Isachneae, despite the fact that members of this genus occupy habitats with more similar bioclimatic profiles to the C₄ Eriachneae (Figure 3.4). Micraira's moss-like habit, resurrection abilities, and ability to grow in very shallow soils on rocks likely help its species live under climate conditions that are otherwise unfavorable to C₃ grasses (Philipson, 1935; Gaff & Latz, 1978). The most parsimonious interpretation is that the ancestor of the Micrairoideae occupied relatively hot and dry climates rather than that both Micraireae and Eriachneae moved into such climates independently. However, a broader phylogenetic sampling in Isachneae and Micraireae would be needed to attempt a formal ancestral state estimation of climatic niche, especially given the wide range of climates occupied by Isachneae.

The wider bioclimatic niche breadth found in the Isachneae as compared to the Eriachneae (Figures 3.5) also contradicts the pattern found by Christin & Osborne (2014) in Hawaiian Paniceae. In their study, C₃ lineages remained inside a relatively narrow climate space as compared to their C₄ sister lineages, which diversified into a wide range of habits from deserts to tropical rainforests. In contrast, C₄ Eriachneae occupies habitats more similar to the inferred ancestral ones based on its proximity to the Micraireae in BioClim space, while C₃ Isachneae appears to have diversified following a shift in preferred climate. The radiate chlorenchyma shared by both tribes may have been an "anatomical enabler" of the C₄ pathway (Christin et al., 2013), but its contribution to evolutionary success in Isachneae is unclear. However, significantly more work on the Isachneae is required to test this scenario, as there is no taxonomic revision or phylogeny for the genus Isachne across its full range.
3.4.2 Evolution of Habitat Preference in Eriachneae

Evolutionary model fitting of the bioclimate variables does not support the hypothesis of an adaptive radiation following acquisition of C₄ photosynthesis in Eriachneae. Most of the variables explained well by a model lacking any phylogenetic structure, and the few variables that show such structure are better explained by an evolutionary model with increasing rates through time. If bioclimatic niches were evolving according to an adaptive radiation model, we would expect either the Early-burst or delta model to be favored with decreasing rates through time, signifying an early filling of novel niches made available by the acquisition of C₄ photosynthesis followed by comparatively small modifications once these niches were occupied.

One possible explanation for the unimpressive diversification associated with the C₄ pathway in Eriachneae may be lack of sufficient time. As noted by Spriggs et al. (2014), increases in diversification rate are often separated from C₄ origins by a significant lag period. Christin et al. (2008) estimated the split between Eriachneae and Isachneae as occurring approximately 11 mya, making it one of the younger C₄ clades in the PACMAD grasses (Vincentini et al., 2008). Perhaps the necessary conditions that would lead to increased diversification rate in this clade simply have not had time to arise. Such interpretations need to be treated with caution, however, as too much flexibility in a model makes its rejection difficult or impossible. Also, diversification dates for grasses vary considerably due to a lack of reliable fossils for calibration (Christin et al., 2014), so hypotheses requiring accurate absolute ages are perhaps not well-suited to the family.

Another possibility is that not all C₄ types are equally prone to diversification. Eriachneae possess a unique form of C₄ that couples use of NADP-ME for decarboxylation in the bundle
sheath with presence of two well-defined bundle sheaths in which chloroplast density in the outer sheath is extremely high (Sinha & Kellogg, 1996). This type has not been studied extensively, so whether it involves strong fitness trade-offs that prevent it from outcompeting other grasses is unknown. Additionally, C₄ taxa are compared to their closest C₃ relatives, which in this case possess C₄-like radiate chlorenchyma (Watson & Dallwitz, 1992 onwards). No difference in water use efficiency between Isachneae and Micraireae was detectable from carbon isotopes, but given the high levels of intraspecific and even intrasample variation and the small sample size in Micraireae, differences that are difficult to measure but might be biologically meaningful might not be visible with the current data set.

Lastly, several caveats need to be addressed regarding evolutionary analysis of bioclimatic data. First, as shown in Figure 3.7, only a portion of the climatic ranges occupied by Eriachneae is represented by the current phylogenetic sampling. Theoretically, this sampling error could prevent the recognition of major evolution along early-diverging branches in the tree. This is unlikely to be the case in the current study, as the most extreme climatic outliers are represented by widespread species included in our phylogeny, such as *E. triseta* and *E. burkittii*. If representatives of these taxa from significantly different habitats were added to the tree, they would only increase the amount of variation among the tips within clades. On the other hand, the fact that a large portion of the variance in climatic variables is contained within species means that taxa represented by a single specimen in the current tree may have values that are not representative of most of that species' members.

Another major issue involved in analysis data from the BioClim database is limited resolution of the raster. Species may occupy microhabitats with substantially different climatic conditions as compared to the average value for the surrounding square kilometer, for example a
short-lived annual plant growing in vernal pools. With very large samples, this discrepancy can potentially be accounted for by using mean or median species values, but in a phylogeny of moderate size such control is not possible. Large measurement error of tip values can cause artificial reduction of phylogenetic signal (Silvestro et al., 2015).

These complications may account for the frequency with which the white noise model is chosen as the most likely among the bioclimate variables. Measurement error could also explain why the delta model is supported in some variables showing significant phylogenetic signal and why the value of delta is positive. More variation at the tips of the tree would tend to lead to an increase in the inferred evolutionary rate among shallow branches, which could resemble an overall accelerating rate across the tree.

3.4.3 Notes on Classification

In general, the plastome phylogeny reflects morphological themes in the genus reasonably well. The taxonomic groups outlined by Lazarides (1995) display varying degrees of compatibility with molecular data, with his Group A, made up of awnless perennials occurring in arid habitats, having the broadest representation across the phylogeny. The possibly paraphyletic nature of this group was recognized by Lazarides, who thought that the simple morphology and wide climatic ranges of its members could signify an ancestral condition from which the rest of the genus may have emerged. His Group B, consisting of mesophytic long-awned perennials, is represented in the current phylogeny by several closely-related members, including the schultziana-stipacea-triodioides complex. E. triseta and E. pallescens are placed in Group B by Lazarides, but fall out in a clade with Pheidochloa gracilis, described below. Eriachne basedowii is recovered in a somewhat isolated position sister to E. melicaea-avenacea-agrostidea, though
this clade does not possess obvious morphological synapomorphies. Group C forms two sister clades in our phylogeny, with three taxa being closely related to members of other groups. *Eriachne armitii* was identified by Lazarides as being similar to *E. stipacea*, and this resemblance is supported by the chloroplast tree. It is unclear why the two species were placed in different groups in his classification. *Eriachne nodosa* is compared to *E. major* and *E. obtusa* in terms of its spikelet morphology by Lazarides, and despite differing from these two species in being an annual, it is placed as their sister in the tree. Group D is difficult to evaluate because *E. glauca* is the only representative in our current phylogeny.

The position of *Pheidochloa gracilis* suggests that this genus should be synonymized into *Eriachne*. *Pheidochloa* possesses two species that are distinguished from *Eriachne* by their cylindrical spikelets and caryopses and their markedly unequal glumes (Van Eck-Borsboom, 1980). However, it is like *E. triseta* and *E. pallescens* in that all three species are slender-culmed, tussock-forming plants with delicate, long-awned spikelets. *Pheidochloa* is unique in this clade in being an annual and in lacking awns on the palea, but these characters vary throughout *Eriachne*.

*Eriachne ciliata* and *E. semiciliata* form a clade in our tree, with the latter derived from within the former. Lazarides (1995) distinguished the two species based on the relative size of the floret to the glumes, the shape of the glume apex, the apical extent of the lemma margin indumentum, and the orientation of prickles on the culms and foliage, but these characters were inconsistent in our sampling. *Teisher 91* was identified as *E. semiciliata* due to the striking retrorse orientation of the culm and foliage prickles and the markedly more acuminate glumes, but this glume shape was shared by *Teisher 74*, which has antrorsely oriented prickles. The other two samples of *E. ciliata* included in this study match the description given by Lazarides fairly
well except that the indumentum along the lemma margins frequently ends well below the apex, a character that is supposedly restricted to *E. semiciliata*. Our data thus suggest that the two species should be synonymized under the name *E. ciliata*.

A few specimens are difficult to identify or have morphological characters that are inconsistent with their molecular placement. *Teisher 58* was collected in a remote location on the Burrup Peninsula in Western Australia. Unfortunately, the spikelets on this specimen were not well-preserved, so identification is difficult. Its morphology supports placement near the *melicacea-avenacea-agrostidea-basedowii* clade as it shares with most of these species a densely tufted habit with narrow leaves and glumes of medium length. The placement of *Teisher 84* as sister to the *schultziana-triodioides-stipacea-armitii* complex is a bit odd given the morphology of this specimen, which strongly resembles *E. melicacea* and shares no obvious features with the aforementioned clade. However, its unique and isolated phylogenetic position outside of this complex suggests that the result is not simply a case of sample mix-up. Virtually nothing is known about hybridization in *Eriachne*, so the possibility that the chloroplast phylogeny may be incompatible with certain elements of morphology and physiology is a reasonable one. Two other slightly strange phylogenetic placements are *Thompson GAL360* and *Columbus 5125*. The former is identified as *E. stipacea*, and the latter is identified as *E. aristidea*, but according to the plastid phylogeny they are sister and more closely related to *schultziana-triodioides-stipacea-armitii*. The vouchers for these specimens were unavailable and thus their identities could not be confirmed. However, *E. aristidea* is a striking and easily identified taxon, and the other two specimens of the species in this study form a closely-related clade, so the possibility that the chloroplast tree is at odds with the species tree again cannot be ignored. *Teisher 82* resembles *E. glauca* on the basis of growth form, lemma awn shape, and spikelet size and indumentum, but
according to the plastome phylogeny it groups very strongly with specimens of *E. obtusa*. The vouchers for *Teisher 56* and *66* did not preserve well, so their identity was left ambiguous, but their vegetative morphology is consistent with that of *E. obtusa*.

### 3.4.4 Conclusion

The current study confirms an unusual pattern of C₄ evolution in the Micrairoideae. I confirm the greater bioclimatic range of C₃ Isachneae compared to its C₄ sister-taxon Eriachneae and the greater similarity in climatic niche between Eriachneae and the outgroup C₃ tribe Micraireae. I confirm the photosynthetic pathway of all three tribes using carbon isotopic evidence. Thus, the origin of C₄ photosynthesis does not appear to have driven the Eriachneae into a unique climatic zone as compared to sister C₃ taxa, though the range of habitats occupied by Eriachneae is larger and drier than that found in Micraireae. Isachneae may have experienced a parallel radiation into colder and wetter habitats, overshadowing the expansion of Eriachneae driven by C₄ photosynthesis. However, there is little evidence that Eriachneae experienced an adaptive radiation, though these results may at least in part be due to the uncertainties inherent in BioClim data.


Liu, H., and C. P. Osborne. 2015. Water relations traits of C4 grasses depend on phylogenetic lineage, photosynthetic pathway, and habitat water availability. *Journal of Experimental...*


FIGURES AND TABLES
Figure 3.1. Map of collecting sites during two field expeditions in Northern Territory and Western Australia, Australia.
Figure 3.2. Maximum-likelihood phylogeny of Eriachneae based on whole-chloroplast genome sequences with *Arundo donax* (Arundinoideae) as an outgroup. Numbers next to nodes represent bootstrap values using 500 replicates. Nodes without numbers have 100% bootstrap support, except in the *E. schultziana* and *E. obtusa* clusters, which have lower values that were removed for clarity.
Figure 3.3. Map of 22,292 localities of 101 species of Isachneae (blue), Eriachneae (red), and Micraireae (green) downloaded from GBIF.
Figure 3.4. A) First and second principal components of 19 climatic variables extracted for 22,292 GBIF localities in Isachneae (blue), Eriachneae (red), and Micraireae (green). B) The same two axes with the average value plotted for each species in A.
Figure 3.5. Results of disparity analysis using R package vegan. A) Scree plot of the proportion of variance between species contained within each principal coordinate axis. B) Principal coordinate axes 1 and 2 plotted for species of Isachneae (open triangles) and Eriachneae (open circles) with distances to tribe spatial median shown by blue lines. C) Same as B for principal coordinate axes 3 and 4.
Figure 3.6. Carbon isotope ratios for 26 species of *Eriachne*, two species of *Micairia*, one species each of *Pheidochloa*, *Coelachne* and *Limnopa*, and 16 species of *Isachne*. 
Figure 3.7. Values for mean annual temperature and mean annual precipitation plotted for Eriachneae samples in the phylogeny (black) and from GBIF (grey).
Figure 3.8. Mean annual temperature plotted across the full Maximum-likelihood phylogeny under a Brownian motion model of evolution using the function `contMap` in R package `phytools`.
Figure 3.9. Mean annual precipitation plotted across the full maximum-likelihood phylogeny under a Brownian motion model of evolution using the function *contMap* in R package `phytools`. 
Figure 3.10. Mean annual temperature plotted across a reduced maximum-likelihood phylogeny of Eriachneae under a Brownian motion model of evolution using the function `contMap` in R package `phytools`. 
Figure 3.11. Mean annual precipitation plotted across a reduced maximum-likelihood phylogeny of Eriachneae under a Brownian motion model of evolution using the function `contMap` in R package `phytools`. 
Figure 3.12. Maximum-likelihood topology of Eriachneae with taxon labels colored according to classification of Lazarides (1995).
Table 3.1. Whole-chloroplast genome samples used in the phylogenetic analysis with plastome assembly statistics for samples generated in this study.

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Table 3.3. Variable loadings for first two principal components from analysis of nineteen climate variables relating to temperature and precipitation.

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### Table 3.4 continued.

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CONCLUSION OF THE DISSERTATION
As a result of their economic value, grasses have become a model system for a variety of disciplines, including physiology, ecology, and genetics. The grass family, Poaceae, is also an ideal system in which evolutionary hypotheses can be tested due to the extensive genetic resources available from crop relatives and the broad diversity of its species. However, the large size of the family, with over 11,000 species, has ensured that systematic problems persist despite centuries of study. In this dissertation, I resolved relationships within two of the least well-studied subfamilies, the Arundinoideae and Micrairoideae, and used this newly-established phylogenetic framework to explore evolutionary issues within these subfamilies as well as across the PACMAD clade of grasses. The results of these efforts are described below along with their implications for grass evolution and suggestions for future research.

In Chapter 1, I conducted a phylogenetic analysis of chloroplast whole-genome sequences from 88 samples representing all twelve grass subfamilies. Sampling for this phylogeny focused on the Arundinoideae and included six genera from this subfamily that have never been included in a molecular phylogenetic analysis. I also scored three lemma characters relating to the grass "diaspore burial syndrome" (Humphreys et al., 2010) for each of the samples in the phylogeny using my own observations on species in Arundinoideae and data from the literature (Watson & Dallwitz, 1992 forward) for other PACMAD taxa. I found that in the modern circumscription of Arundinoideae the subfamily is still polyphyletic, with Nematopoa belonging in the Chloridoideae, Phaenanthoeicum grouping with the Danthonioideae, and Alloeochaete and Dichaetaria forming a clade that is sister to the rest of the Panicoideae. This small clade affects ancestral state estimation of the presence and type of lemma awn across the PACMAD grasses, which identifies presence of a straight awn as the most likely condition in the ancestor of this clade. Contrary to the findings of Humphreys et al. (2010) in the
Danthonioideae, a geniculate awn is not associated with higher diversification rates at the broader scale examined in my study. An analysis of diversification rate shifts using the program BAMM (Rabosky, 2014) on a dated, ultrametric tree created in BEAST (Drummond et al., 2012) found support for two increases in diversification rate that most likely occurred near the base of core Panicoideae and crown Chloridoideae. These clades contain two of the many independent losses of lemma awns in the PACMAD clade, suggesting that passive burial is at the very least not an obstacle to lineage accumulation in these grasses. Two clades stand out as being potentially fruitful for more detailed analyses of burial traits: tribe Andropogoneae in subfamily Panicoideae and the modified tribe Arundineae, consisting of the genera *Arundo, Amphipogon, Monachather,* and *Dregeochloa.*

The reduced Arundinoideae *sensu stricto* identified in this study contains a slightly more manageable but still morphologically and ecologically heterogeneous collection of taxa. The subfamily can be divided into two tribes on the basis of relative glume length. Members of tribe Arundineae, expanded here from Soreng et al. (2015) to include the South African genus *Dregeochloa,* possess glumes that are typically as long as or longer than their spikelets. Tribe Molinieae, which is here the same as in Soreng et al. but without *Dichaetaria, Dregeochloa* or *Nematopoa,* has glumes that are typically shorter than the spikelets. Three putative members of this tribe still need to be sampled for molecular sequence data: the Angolan monotypic genus *Piptophyllum,* the Indian genus *Zenkeria,* and the Ethiopian monotypic genus *Leptagrostis,* which was collected only once in 1854 (Hubbard, 1939). Excluding any surprise transfers as in the case of *Eragrostis walteri* (Ingram et al., 2011), the systematic relationships in subfamily Arundinoideae at long last appear to be under control.
In Chapter 2, I performed a phylogenetic analysis on newly-generated transcriptome sequence data from four species in Arundinoideae combined with existing coding sequence data of nine species from the subfamilies Panicoideae, Aristidoideae, Oryzoideae, Bambusoideae, and Anomochlooideae. The goal of this study was to identify the source(s) of the polyploid genomes found in the two clades of temperate Arundinoideae: *Phragmites+Molinia+Hakonechloa* and *Arundo*. The program PUG (McKain *et al.*, 2016) was used to identify the coalescence points of gene pairs on gene trees that correspond to nodes on a given species tree. The tree topology from chloroplast data in Chapter 1 was used as a guideline for this analysis, but alternative topologies for the members of Arundinoideae were also explored due to the possibility of hybridization between these taxa. I found strong support for a whole genome duplication in the ancestor of *Phragmites, Molinia, and Hakonechloa* as well as some evidence for such an event in the ancestor of the Arundinoideae. However, this latter event could also be the result of hybridization between one or more of the species of this subfamily in my study with a species that has not been sampled. Similarly, other nodes in the species tree associated with large numbers of coalescing gene pairs are likely the result of the way that the tree is rooted or short branches in the gene trees, which can both cause coalescence to be pushed down the tree. The event shared by *Phragmites, Molinia, and Hakonechloa* is likely to be real because sampling from that clade is relatively complete, but additional species from across the PACMAD clade are needed to identify the sources of the subgenomes in *Arundo*. However, *Arundo* and *Phragmites* do not share any gene pairs that are more closely related to one another than they are to *Molinia* or *Hakonechloa*, suggesting that a unique shared parental genome cannot be the reason for the convergence in morphology and ecology between these two large invasive reeds. Still, the inference of a possible whole genome duplication in the ancestor of a clade that transitioned
from a tropical ancestral habitat to a global distribution is of great interest for the role that polyploidy may play in dispersal (i.e. Linder & Barker, 2014) and invasiveness (Pandit et al., 2011).

In Chapter 3, I explored the evolution of C₄ photosynthesis in the Micrairoideae using molecular phylogenetics, carbon isotopes, and climate variables relating to precipitation and temperature. Tribe Eriachneae represents one of the at least 22 independent origins of the C₄ pathway in the grass family and constitutes a unique combination of anatomical and biochemical subtypes (Sinha & Kellogg, 1996). Subfamily Micrairoideae is especially interesting for investigating the role of C₄ in shaping evolutionary history because the C₃ tribe Isachneae, which is sister to the Eriachneae, occupies a broader geographic range and has more species than Eriachneae. This is in opposition to the general trend for C₄ clades across the grasses, which are typically associated with a broader range of habitats (Edwards & Still, 2008; Christin & Osborne, 2014) and increased diversification rates (Spriggs et al., 2014) as compared with the closest C₃ relatives.

To understand this atypical pattern in Micrairoideae, I measured carbon isotope ratios for sixteen species of Isachneae, 27 species of Eriachneae, and two species of Micraireae. This survey confirmed that members of the Eriachneae are C₄ and those of Isachneae are entirely C₃. Values for Micraireae were the same as for Isachneae, despite the fact that a principal components analysis of climate variables showed that Micraireae occupies more similar climatic conditions to Eriachneae. Thus, the C₄ pathway in Eriachneae and the moss-like growth habit in Micraireae appear to represent alternative adaptations to similar climatic conditions. These conditions are estimated to be ancestral for the subfamily, suggesting that rather than C₄
photosynthesis allowing the Eriachneae to move into drier and more open habitats, the Isachneae escaped these habitats into wetter and shadier ones, diversifying as a result.

The fact that Eriachneae does not possess as many species as Isachneae does not mean that $C_4$ has not been a driver or enabler of diversification, however. It is possible that this pathway did indeed allow the occupation of new habitats, but that the number of such habitats was limited. In that case, evolution of habitat preferences would be expected to occur quickly and early in the history of the $C_4$ clade, slowing down over time as newly available habitats are filled. I tested this hypothesis by constructing a whole-plastome phylogeny of 25 species of Eriachneae along with outgroups from the Isachneae, Micraireae, and Arundinoideae. I extracted nineteen climate variables relating to temperature and precipitation for each of these samples and tested the abilities of various evolutionary models to explain species climate preferences across the phylogeny. Species with multiple samples in the tree were associated with large ranges in climate variables, so that a large amount of variation in climate preference was found within clades. Unsurprisingly, the models that best fit this pattern were those in which climate preferences evolve according to a white noise model without any phylogenetic signal or in which the evolutionary rate of these preferences has increased through time. In either case, the hypothesis of an "early burst" model of evolution (Harmon et al., 2010) is rejected for climate preferences in $C_4$ Eriachneae.

There are many caveats to using georeferenced herbarium specimens combined with climate variables extracted from a raster to model species preferences (Hijmans et al., 2005; Newbold, 2010), and my phylogeny contains only half of the species in the Eriachneae, but this study confirms that the pattern of $C_4$ evolution in the Micrairoideae departs significantly from the more general pattern seen in the PACMAD grasses as a whole. Evolutionary biology is often
characterized more by exceptions than rules, and studying these exceptions can provide us with a more nuanced and complete picture of evolutionary phenomena than we could achieve by focusing only on models that conform to our expectations. In this regard, the Micrairoideae represent a unique and interesting case of C₄ evolution worthy of further study.

This dissertation has both made use of and contributed to the large body of genetic and systematic resources that make the grass family one of the best systems in which to address fundamental evolutionary questions. By resolving long-standing systematic issues in the Arundinoideae and Micrairoideae, I was able to provide insight into trait evolution across the important PACMAD clade, identify a likely whole-genome duplication corresponding to a shift to colder habitats, and to clarify a pattern of C₄ evolution that is exceptional among grasses. High-throughput sequencing of chloroplast genomes and transcriptomes was crucial in this process, as was access to the invaluable herbarium collections at the Missouri Botanical Garden and the Royal Botanic Gardens, Kew. This study also highlights the role that modern systematics has to play even in well-studied groups like the Poaceae and outlines particularly promising avenues for future study within the Arundinoideae and Micrairoideae.


