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### Learning One-Dimensional Geometric Patterns Under One-Sided Random Misclassification Noise

Paul W. Goldberg and Sally A. Goldman

Developing the ability to recognize a landmark from a visual image of a robot's current location is a fundamental problem in robotics. We consider the problem of PAC-learning the concept class of geometric patterns where the target geometric pattern is a configuration of  $k$  points on the real line. Each instance is a configuration of  $n$  points on the real line, where it is labeled according to whether or not it visually resembles the target pattern. To capture the notion of visual resemblance we use the Hausdorff metric. Informally, two geometric patterns  $P$  and  $Q$  resemble each other under... [Read complete abstract on page 2.](#)

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### Complete Abstract:

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Under One-Sided Random Misclassification  
Noise**

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**WUCS-94-01**

**May 1994**

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# Learning One-Dimensional Geometric Patterns Under One-Sided Random Misclassification Noise

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## Abstract

Developing the ability to recognize a landmark from a visual image of a robot's current location is a fundamental problem in robotics. We consider the problem of PAC-learning the concept class of geometric patterns where the target geometric pattern is a configuration of  $k$  points in the real line. Each instance is a configuration of  $n$  points on the real line, where it is labeled according to whether or not it visually resembles the target pattern. To capture the notion of visual resemblance we use the Hausdorff metric. Informally, two geometric patterns  $P$  and  $Q$  resemble each other under the Hausdorff metric, if every point on one pattern is "close" to some point on the other pattern.

We relate the concept class of geometric patterns to the landmark recognition problem and then present a polynomial-time algorithm that PAC-learns the class of one-dimensional geometric patterns when the negative examples are corrupted by a large amount of random misclassification noise. An interesting feature of this problem is that the target concept is specified by a  $k$ -tuple of points on the real line, while the instances are specified by  $n$ -tuple of points on the real line where  $n$  is potentially much larger than  $k$ . Although there are some important distinctions, in some sense, our work illustrates a concept class in a continuous domain in which a large fraction of each instance can be viewed as "irrelevant". As in previous work on learning with a large number of irrelevant attributes in the Boolean domain, our algorithm's sample complexity depends polynomially on  $k$  and  $\lg n$ .

# 1 Introduction

Developing the ability to recognize a landmark from a visual image of a robot’s current location is a fundamental problem in robotics. We consider the problem of PAC-learning the concept class of geometric patterns where the “target” geometric pattern is a configuration of  $k$  points in the real line. Each instance is a configuration of  $n$  points on the real line, where it is labeled according to whether or not it visually resembles the target pattern. To capture the notion of visual resemblance we use the *Hausdorff metric* (for example, see Gruber [Gru83]). Informally, two geometric patterns  $P$  and  $Q$  resemble each other under the Hausdorff metric, if every point on one pattern is “close” to some point on the other pattern.

As a motivation of this problem consider the problem of recognizing from a visual image from a robot’s current location whether or not it is in the vicinity of a known landmark (where a landmark is a location that is visually different from other locations). Such an algorithm is needed for navigation where the navigation is performed by planning a path going between known landmarks, tracking the landmarks as it goes. Because of inaccuracies in effectors and possibly errors in the robot’s internal map, when the robot believes it is at landmark  $L$ , before heading to the next landmark it can check that it is really in the vicinity of  $L$ . Then adjustments can be made if the robot is not at  $L$  by either re-homing to  $L$  and/or updating its map. We can apply our algorithm to learn geometric patterns to this problem by converting the visual image the robot has into a one-dimensional geometric pattern.

The main result of this paper is a polynomial-time algorithm that PAC-learns the class of one-dimensional geometric patterns when the negative examples are corrupted by a large amount of random misclassification noise. Our algorithm can learn as long as the noise rate is strictly less than one and the expected number of truly positive examples is greater than the expected number of false positive examples. The time and sample complexity are polynomial in the inverse of the amount by which the noise rate is less than 1, and the inverse amount by which the ratio of true positive examples to total positive examples is greater than  $1/2$ .

An interesting feature of this problem is that the target concept is specified by a  $k$ -tuple of points on the real line, while the instances are specified by  $n$ -tuples of points

on the real line where  $n$  is potentially much larger than  $k$ . Although there are some important distinctions, in some sense our work illustrates a concept class in a continuous domain in which a large fraction of each instance can be viewed as “irrelevant”. As in previous work on learning with a large number of irrelevant attributes in the Boolean domain (e.g. Littlestone’s work [Lit88]), our algorithm’s sample complexity (the best dual to a mistake-bound) depends polynomially on  $k$  and  $\lg n$ .

This paper is organized as follows. In the next section we formally define the concept class of one-dimensional geometric patterns. Next in Section 3, we describe in more detail how our algorithm could be applied to the landmark recognition problem described above. In Section 4 we describe the learning model and noise model used in this paper. Then, in Section 5, we describe our algorithm to PAC-learn the class of one-dimensional geometric patterns when the data is noise free. Then in Section 6 we present our main result, an algorithm to learn when the negative examples are corrupted by random misclassification noise. Finally we conclude in Section 7.

## 2 One-Dimensional Geometric Patterns

For the concept class considered here, the instance space  $\mathcal{X}_n$  consists of all configurations of  $n$  points on the real line<sup>1</sup>. A concept is the set of all configurations from  $\mathcal{X}_n$  within unit distance<sup>2</sup> under the Hausdorff metric of some “ideal” configuration of  $k$  points. The Hausdorff distance between configurations  $P$  and  $Q$ , denoted  $H(P, Q)$ , is:

$$\max \left\{ \sup_{p \in P} \left\{ \inf_{q \in Q} \{d(p, q)\} \right\}, \sup_{q \in Q} \left\{ \inf_{p \in P} \{d(p, q)\} \right\} \right\}$$

where  $d$  is the Euclidean distance between  $p$  and  $q$ .

Let  $P$  be any configuration of points on the real line. Then we define the concept  $c_P$  that corresponds to  $P$  by  $c_P = \{X \in \mathcal{X}_n \mid H(P, X) \leq 1\}$ . Figure 1 illustrates an example of such a concept. Thus one can view each concept as a sphere of unit radius in a metric space where  $P$  defines the center of the sphere.

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<sup>1</sup>Note that throughout this abstract, the word “point” will refer to a single point on the real line, and we shall use the term “a configuration of points” when speaking of an instance.

<sup>2</sup>All results presented here apply if unit distance is replaced by some fixed distance since we can just rescale.



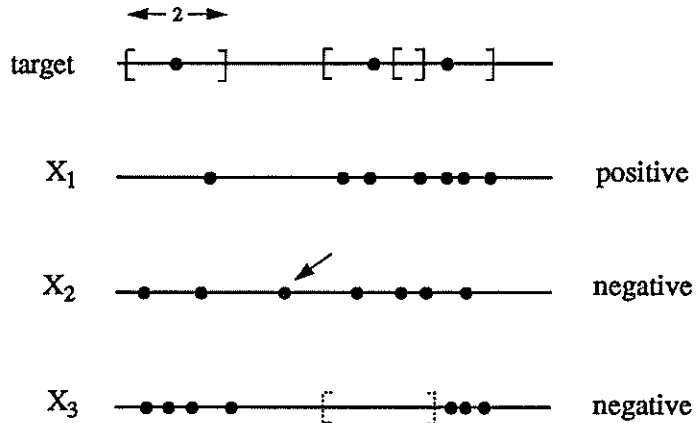


Figure 1: This figure illustrates an example concept from  $\mathcal{C}_{3,7}$ . The top line shows the target pattern. Around each target point we show an interval that covers all points within unit distance from that point. Every positive example must have every point within one of the above intervals *and* no interval can be empty (e.g. see  $X_1$  above). For an example to be negative, there must be a point in it that is not within unit distance of any target point (e.g. see  $X_2$  above) and/or there are no points in the example near some target point (e.g. see  $X_3$  above).

For any  $X \in \mathcal{X}_n$  such that  $X \in c_P$ , we say that  $X$  is a *positive example* of  $c_P$ . Likewise, if  $X \notin c_P$ , we say that  $X$  is a *negative example* of  $c_P$ . Furthermore, all configurations of points that resemble the given configuration  $P$  are contained within this sphere. Finally, the concept class  $\mathcal{C}_{k,n}$  that we study is defined as follows:  $\mathcal{C}_{k,n} = \{c_P \mid P \text{ is a configuration of } k \text{ points on the real line}\}$ . As is standard in the neural network literature, we assume the unit cost model of real computation. (See Valiant [Val91] for a discussion of why this assumption is typically appropriate for geometric domains.)

As discussed in the introduction,  $n$  may be significantly greater than  $k$ . For example, the learner may be asked to predict if a configuration of 100 points is contained within a sphere defined by 3 points. This consideration is, in some sense, analogous to the notion of irrelevant attributes studied in the Boolean domain. Namely, given any positive (respectively, negative) example from  $\mathcal{X}_n$ , there exists a subset of  $k$  of the  $n$  points in that example such that the configuration of these  $k$  points is also a positive (respectively, negative) example. However, observe that unlike the Boolean domain,

there is no fixed set of points of an instance that are “relevant”. Thus if an arbitrary point is removed from an instance it can no longer be determined if that instance was positive or negative before the point was removed.

At first glance, there may appear to be some similarities between  $\mathcal{C}_{k,n}$  and the class of the union of at most  $k$  intervals over the real line. However, the class of one-dimensional geometric patterns is really quite different (and significantly more complex) than the class of unions of intervals on the real line. One major difference is that for the union of intervals each instance is a single point on the real line, whereas for  $\mathcal{C}_{k,n}$  each instance is a set of  $n$  points on the real line. Thus the notion of being able to independently vary the concept complexity and instance complexity does not exist for the class of union of intervals. Furthermore, observe that for  $\mathcal{C}_{k,n}$  each instance (configuration of  $n$  points) is an element of a metric space, which has a measure of distance defined between any pair of instances. However, with the class of union of intervals there is no notion of a distance between instances. Finally, for the class of union of intervals, an instance is a positive example simply when the single point provided is contained within one of the  $k$  intervals. For  $\mathcal{C}_{k,n}$  an instance is positive if and only if it satisfies the following two conditions:

1. Each of the  $n$  points in the instance are contained within one of the  $k$ , width 2 intervals defined by the  $k$  target points.
2. There is at least one of the  $n$  points in the instance contained within the width 2 interval defined by each of the  $k$  target points.

Thus, these two classes are very different in character.

### 3 Motivation: The Landmark Recognition Problem

In this section we explore one motivation for this work. Consider a robot designed to navigate through a large-scaled environment<sup>3</sup>. Suppose that we have selected a set of

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<sup>3</sup>By a large-scaled environment we mean that not all landmarks are visible from all locations in the environment.

key “landmarks” of which the robot has prior knowledge. It is crucial that the robot be able to recognize whether or not it is in the vicinity of a given landmark from a visual image taken from the robot’s current location. We shall refer to this problem as the *landmark matching problem*. In his doctoral thesis, Pinette [Pin93] says that “any general navigation algorithm must be able to match landmarks by their appearance.” Namely, when performing navigation a robot plans a path by moving between known landmarks, tracking landmarks as it goes. Because of inaccuracies in effectors and errors in the robot’s internal map, when the robot believes it has reached landmark  $L$ , before heading to the next landmark it can check that it is really in the vicinity of  $L$ . Then adjustments can be made if the robot is not at  $L$  by either re-homing to  $L$  and/or updating its map.

It is also crucial that the landmark matching algorithm can be performed in real-time. To reduce the processing time required by the landmark matching algorithm, some are proposing the use of imaging systems that generate a one-dimensional array of light intensities taken at eye-level [HTP<sup>+</sup>92, LL90, Pin93, SA88]. We now briefly describe one such imaging system (see Hong et al. [HTP<sup>+</sup>92] and Pinette [Pin93]). In their robot a spherical mirror is mounted above an upward-pointing camera on a robot thus enabling it to instantaneously obtain a 360 degree view of the world. See Figure 2 for a picture of such a robot. The view of the world obtained by this imaging system and the processing performed are shown in Figure 3. All points along the eye-level-view of the robot (shown by the horizon line in Figure 2) project into a circle in the robot’s 360 degree view. Figure 3 shows the panoramic view that results by scanning the 360 degree view (beginning at due north) in a circle around the robot’s horizon line. The panoramic view is sampled along the horizontal line midway between the top and the bottom to produce a one-dimensional array of light intensities (or *signature*) as shown in Figure 3.

Most work on designing landmark matching algorithms uses a pattern matching approach by trying to match the current signature to the signature taken at landmark position  $L$ . If one’s goal is to determine if the robot is standing exactly at position  $L$ , then the pattern matching approach can easily be implemented to work well. However, in reality, the matching algorithm must determine if the robot is in the vicinity of  $L$

Not available electronically.

Figure 2: The imaging system on the robot. (This figure comes directly from Pinnette's thesis [Pin93].)

Not available electronically.

Figure 3: Stages of image processing. (This figure comes directly from Pinnette's thesis [Pin93].)

(i.e. in a circle centered around  $L$ ). Because the visual image may change significantly as small movements around  $L$  are made, the pattern matching approach encounters difficulties.

Rather than using a pattern matching approach to match the light intensity array from the current location with the light intensity array of the landmark, we instead propose using a learning algorithm to construct a good hypothesis for performing landmark recognition. We obtain the instances by converting the array of light intensities into one-dimensional geometric patterns by placing points where there are significant changes in light intensity. The target pattern could be constructed as follows: whenever there is an object at eye-level that would cause the light intensity received by the robot to change, a set of points are placed evenly spaced at distance two from each other along the image of the object. Thus if there is an object in view from the location of the landmark, then even though a relatively small number of points are placed in the “target pattern” the “example pattern” may have significantly many more points placed in this region. It is from this occurrence that we motivate looking at the situation in which the example complexity may be significantly larger than the target complexity (and thus leads to a notion that has similarities to the notion of irrelevant attributes in the Boolean domain). Then by applying our algorithm, giving it a set of positive examples (i.e. patterns obtained from locations in the vicinity of the landmark) and a set of negative examples (i.e. patterns obtained from locations not in the vicinity of the landmark), we can construct a hypothesis that can accurately predict whether or not the robot is near the given landmark.

## 4 The Learning Model and Model of Noise

We assume the reader is familiar with the PAC learning model as defined by Valiant [Val84]. As commonly done, we allow the learner to output any polynomially evaluable hypothesis. We now describe the hypothesis class used here. We define the hypothesis class  $\mathcal{H}_\ell$  to be the intersection of at most  $2(k+1)\lg m_1$  hypotheses from  $\mathcal{C}_{\ell,n}$  where  $m_1$  is the size of the sample required in the noise-free setting. Our algorithm for PAC-learning  $\mathcal{C}_{k,n}$  from noise-free data uses  $\mathcal{H}_{k+1}$  as the hypothesis class and the algorithm

for PAC-learning  $\mathcal{C}_{k,n}$  with noisy data uses  $\mathcal{H}_{2k+1}$  as the hypothesis class.

In this work we consider a variant of the PAC model in which the negative examples from the oracle,  $EX$ , are corrupted by random misclassification noise. (This noise model is just a variation of the model of random misclassification noise introduced by Angluin and Laird [AL88] except here only negative examples are corrupted by the noise process.) Namely, we assume that for some noise rate  $\nu$  every negative example drawn from  $EX$  is randomly and independently labeled as positive with probability  $\nu$  and labeled as negative with probability  $(1 - \nu)$ . We shall use  $EX_\nu$  to denote the oracle after the noise process, as described above, has been applied. If  $\nu$  is the noise rate and  $p_-$  (respectively,  $p_+$ ) is the probability that a randomly drawn uncorrupted example is negative (respectively, positive), then our algorithm can learn as long as  $\nu < 1$  (i.e. the noise rate is strictly less than one) and  $\nu p_- < p_+$  (i.e. the expected number of truly positive examples is greater than the expected number of false positive examples). Our algorithm's time and sample complexity are polynomial in  $\frac{1}{(1-\nu)}$  and  $\left(\frac{p_+ + \nu p_-}{p_+ - \nu p_-}\right)$  where  $\frac{1}{1-\nu}$  is the inverse of the amount by which the noise rate is less than 1, and  $\left(\frac{p_+ + \nu p_-}{p_+ - \nu p_-}\right)$  is the inverse of the amount by which the ratio of true positive examples to total positive examples is greater than 1/2.

For ease of exposition, we assume that  $\nu$  and  $\nu p_-$  are known, however, our results can be easily modified to work as long as an upperbound on both quantities is provided.

## 5 Learning $\mathcal{C}_{k,n}$ in the Noise-Free Setting

The problem of learning one-dimensional geometric patterns has been previously studied by Goldberg [Gol92, Gol93]. He has developed an algorithm to PAC-learn  $\mathcal{C}_{n,n}$  in the noise-free setting [Gol93]. Our algorithm to learn  $\mathcal{C}_{k,n}$  is obtained by making straightforward modifications to Goldberg's algorithm. However, the modifications needed to handle the false positive errors are significantly more involved. We also note that Goldberg [Gol92] has shown that it is *NP*-complete to find a sphere in the given metric space (i.e. one-dimensional patterns of points on the line under the Hausdorff metric) consistent with a given set of positive and negative examples of an unknown sphere in the given metric space. In other words, given a set  $S$  of examples labeled

according to some one-dimensional geometric pattern of  $k$  points it is  $NP$ -complete to find some one-dimensional geometric pattern (of *any* number of points) that correctly classifies all examples in  $S$ . Thus, assuming  $NP \neq RP$ , it is necessary to use a more expressive hypothesis space. To give even further evidence that the class of one-dimensional patterns is significantly more complex than the union of intervals on the real line, observe that the consistency problem for that class is trivial to solve.

Finally, the results of Goldberg and Jerrum [GJ93] can be used to show that the Vapnik-Chervonenkis dimension of  $\mathcal{C}_{k,n} \leq 2k \log(8enk) = O(k \lg n)$ . We observe that as either  $k$  or  $n$  increases, and the other is held fixed, then the VC dimension can increase without limit. Hence both parameters are needed as upper bounds on concept and instance complexities.

We now present our algorithm for learning  $\mathcal{C}_{k,n}$  in the noise-free setting. Our algorithm is an Occam algorithm (see [BEHW89]). Namely, it draws a sufficiently large sample of size  $m_1$  (polynomial in  $k, \lg n, 1/\epsilon$ , and  $\lg 1/\delta$ ) and then outputs a consistent hypothesis from  $\mathcal{H}_{k+1}$ .

To build the hypothesis we use a greedy set cover algorithm that is based on the observation that it is possible, in polynomial time, to find a concept from  $\mathcal{C}_{k+1,n}$  consistent with all the positive examples and a fraction  $\varphi = \frac{1}{2^{(k+1)}}$  of the negative examples. Then the negative examples accounted for are removed and the procedure is repeatedly applied until all negative examples have been eliminated. Let  $r$  denote the number of rounds until all negative examples have been covered. Then it is easily shown that  $r \leq 2(k+1) \lg m_1$ . Finally, the hypothesis output is the intersection of the  $r$  concepts obtained in this manner.

By the results of Blumer, et al. [BEHW89] we get that the VC-dimension of  $\mathcal{H}_{k+1}$  is at most  $2dr \lg(3r)$  where  $d = 2(k+1) \log 16en(k+1)$  and  $r = 2(k+1) \lg m_1$ , and thus any hypothesis that is consistent with a sample of size  $m_1 = O\left(\frac{1}{\epsilon} \lg \frac{1}{\delta} + \frac{k^3 d}{\epsilon} \lg^3\left(\frac{kd}{\epsilon}\right)\right)$  will have error at most  $\epsilon$  with probability at least  $1 - \delta$ .

We now summarize how the concept  $H$  from  $\mathcal{C}_{k+1,n}$  is selected in a given round. Recall that there are two ways for an example to be negative: either there is a point in the example that is not near<sup>4</sup> any target point (e.g.  $X_2$  in Figure 1), or no points

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<sup>4</sup>For ease of exposition, we say that an example point within unit distance from a given target point is *near* that target point.



in the example are near some target point (e.g.  $X_3$  in Figure 1). Let  $\mathcal{N}$  be the set of negative examples that remain at the start of a round. Then either

**Case 1:** At least  $|\mathcal{N}|/2$  of the negative examples have no points near some target point. Thus, by an averaging argument, there is some width 2 interval  $I_1$  containing at least one point from each of the positive examples that does not contain points in at least  $\frac{|\mathcal{N}|}{2k}$  of the negative examples.

**Case 2:** At least  $|\mathcal{N}|/2$  of the negative examples have a point that is not near a target point. Since the portions of the real line that are not near any target point form at most  $k+1$  contiguous intervals, by an averaging argument, there is some interval  $I_2$  containing points from at least  $\frac{|\mathcal{N}|}{2(k+1)}$  distinct negative examples and no points from the positive examples.

Using brute force (scanning the points in the sample from left to right) we can search for these two conditions, and are guaranteed to successfully find one. In the first case, we place a point in the hypothesis in the middle of interval  $I_1$  and then cover all points from the positive examples in a greedy fashion. In the second case, we build a hypothesis that covers all the points from the positive examples in a greedy manner, but that has no point in the hypothesis that is within unit distance of any point in interval  $I_2$ . It is easily seen that in both cases  $k+1$  points placed in  $H$  suffices. Thus we obtain the following result.

**Theorem 1** *The concept class  $\mathcal{C}_{k,n}$  is PAC-learnable from the hypothesis class  $\mathcal{H}_{k+1}$  when the learner is given access to the noise-free oracle  $EX$ . The sample complexity of this algorithm is*

$$m_1 = O\left(\frac{1}{\epsilon} \lg \frac{1}{\delta} + \frac{k^3 d}{\epsilon} \lg^3\left(\frac{kd}{\epsilon}\right)\right)$$

where  $d = 2(k+1) \log 16en(k+1)$  and  $r = 2(k+1) \lg m_1$ , and the time complexity is  $O(r \cdot m_1)$ .

## 6 Learning $\mathcal{C}_{k,n}$ in the Presence of Noise

We now describe our algorithm to PAC-learn the class of one-dimensional patterns when the negative examples are corrupted by random misclassification noise of rate  $\nu$ .

(i.e. the oracle  $EX$  is replaced by  $EX_\nu$ ) As in the noise-free setting we use an Occam algorithm, in this case, outputting a hypothesis from  $\mathcal{H}_{2k+1}$ . Throughout this section we let  $m_1$  denote the sample complexity for the noise-free case when the hypothesis is drawn from  $\mathcal{H}_{2k+1}$ , the accuracy parameter is  $\epsilon/2$ , and the confidence parameter is  $\delta/3$ . As in the previous section, it can be shown that VC-dimension of  $\mathcal{H}_{2k+1}$  is at most  $2dr \lg(3r)$  where here  $d = 2(2k+1) \log 16en(2k+1)$  and  $r = 2(k+1) \lg m_1$ . From the derivations in Section 5 it is easily seen that  $m_1 = O\left(\frac{1}{\epsilon} \lg \frac{1}{\delta} + \frac{k^3 d}{\epsilon} \lg^3\left(\frac{kd}{\epsilon}\right)\right)$ .

We now describe our algorithm for learning  $\mathcal{C}_{k,n}$  in the presence of noise. The complete algorithm is shown in Figure 4. The learner begins by drawing a large enough sample  $\mathcal{S}_{cover}$  so that with probability at least  $1 - \frac{2\delta}{3}$  both of the following two conditions hold: (1) At least  $m_1$  noise-free examples are obtained (to satisfy this condition, we need only require the minimal condition that  $\nu < 1$ ), and (2) more than half of the positive examples in the sample are truly positive examples (to satisfy this condition we require that  $\nu p_- < p_+$ ).

To compute the size of the sample (as a function of  $m_1$ ,  $\nu$ ,  $p_-$ ,  $p_+$ , and  $\delta$ ) we use Hoeffding's Inequality [Hoe63] (also referred as a form of Chernoff bounds) as stated below:

**Lemma 1 (Hoeffding's Inequality)** *Let  $Y_1, \dots, Y_m$  be a sequence of  $m$  independent Bernoulli trials, each succeeding with probability  $p$ . Let  $S = Y_1 + \dots + Y_m$  be the random variable describing the total number of successes. Then for  $0 \leq \gamma \leq 1$ , both of the following hold:*

$$\begin{aligned} \Pr[S > (p + \gamma)m] &\leq e^{-2m\gamma^2} \\ \Pr[S < (p - \gamma)m] &\leq e^{-2m\gamma^2} \end{aligned} \tag{1}$$

Also, for  $0 \leq \alpha \leq p$ ,

$$\Pr[S \leq \alpha m] \leq e^{-2m(\alpha-p)^2} \tag{2}$$

**Theorem 2** *Given a sample of size*

$$\max \left\{ \frac{2m_1}{1-\nu}, \frac{2}{(1-\nu)^2} \ln \frac{6}{\delta}, 2 \ln \frac{6}{\delta} \left( \frac{p_+ + \nu p_-}{p_+ - \nu p_-} \right)^2 \right\}$$

**LEARN- $\mathcal{C}_{k,n}$ -FROM- $EX_\nu$**

1. Let  $m_1$  denote the sample complexity for the noise-free case when the hypothesis is drawn from  $\mathcal{H}_{2k+1}$ , the accuracy parameter is  $\epsilon/2$  and the confidence parameter is  $\delta/3$ .
2. Draw a sample  $\mathcal{S}_{cover}$  of size  $m_2 = \max \left\{ \frac{2m_1}{1-\nu}, \frac{2}{(1-\nu)^2} \ln \frac{6}{\delta}, 2 \ln \frac{6}{\delta} \left( \frac{p_+ + \nu p_-}{p_+ - \nu p_-} \right)^2 \right\}$ .
3. For each positive example  $X \in \mathcal{S}_{cover}$ , let  $I_X$  be the portion of the real line within unit distance of any one of the  $n$  points in  $X$ . Let  $J$  be all points  $x$  on the real line such that  $x$  is contained within at least half of the  $I_X$  where  $X$  ranges over all positive examples in  $\mathcal{S}_{cover}$ .
4. Remove from  $\mathcal{S}_{cover}$  any positive example in which a point of the example is not within unit distance of a point in the set  $J$ .
5. Let  $\mathcal{P}$  be the set of positive examples that remain in  $\mathcal{S}_{cover}$ , and let  $\mathcal{N}$  be the set of negative examples in  $\mathcal{S}_{cover}$ .
6. Initialize  $H$  to be the always true hypothesis.
7. Repeat the following until  $\mathcal{N} = \emptyset$ :
  - (a) Search for an interval of width 2 containing at least one point from half of the examples in  $\mathcal{P}$  that does not contain points in at least  $\frac{|\mathcal{N}|}{2k}$  of the examples in  $\mathcal{N}$ .
  - (b) If such an interval  $I_1$  is found in Step 7a Then
    - i. Compute  $H_1 \in \mathcal{H}_{2k+1}$  by placing a point in the center of  $I_1$  and then greedily covering the points in  $\mathcal{P}$ .
    - ii.  $H \leftarrow H \cap H_1$ .
    - iii.  $\mathcal{N} \leftarrow \mathcal{N} - \{N \in \mathcal{N} \mid H_1(N) = 0\}$ .
  - (c) Else (Case 2 applies)
    - i. Draw a sample  $\mathcal{S}_{estimate}$  of size  $m_3 = \frac{8r^2(1-\nu)^2}{\epsilon^2} \left( \ln \frac{6}{\delta} + \ln r \right)$ .
    - ii. Let  $\mathcal{I}$  be the set of minimum-sized intervals that contain at least one point from  $\frac{|\mathcal{N}|}{2(k+1)}$  of the examples in  $\mathcal{N}$ .
    - iii. For each  $I \in \mathcal{I}$ , compute  $\hat{\lambda}(I)$  from  $\mathcal{S}_{estimate}$ .
    - iv. Let  $I_{min}$  be the interval  $I \in \mathcal{I}$  which minimizes  $\hat{\lambda}(I)$ .
    - v. Compute  $H_2 \in \mathcal{H}_{2k+1}$  by greedily covering all points in  $\mathcal{P}$  under the restriction that no point be placed within unit distance of  $I_{min}$ .
    - vi.  $H \leftarrow H \cap H_2$ .
    - vii.  $\mathcal{N} \leftarrow \mathcal{N} - \{N \in \mathcal{N} \mid H_2(N) = 0\}$ .
8. Output  $H$ .

Figure 4: Algorithm to PAC-learn  $\mathcal{C}_{k,n}$  from the hypothesis class  $\mathcal{H}_{2k+1}$  when the learner is given access to the noisy oracle  $EX_\nu$ .

$$= O \left( \frac{m_1}{1-\nu} + \frac{1}{(1-\nu)^2} \ln \frac{1}{\delta} + \left( \frac{p_+ + \nu p_-}{p_+ - \nu p_-} \right)^2 \ln \frac{1}{\delta} \right)$$

obtained from the noisy oracle  $EX_\nu$ , we are guaranteed with probability at least  $1 - \frac{\delta}{3}$  that at least  $m_1$  noise-free examples are in the sample and more than half of the positive examples in the sample are truly positive examples.

**Proof:** We individually compute the sample size needed for each condition so that the probability that the condition fails to hold is at most  $\delta/6$ . Thus the total probability of either condition failing is at most  $\frac{\delta}{3}$  as desired.

To compute the sample size needed to ensure that Condition (1) holds we apply the bound given in Equation (2) with  $m_2 = \frac{2m_1}{(1-\nu)}$ ,  $p = (1-\nu)$ , and  $\gamma = \frac{(1-\nu)}{2}$  to obtain that

$$\Pr[\text{number of noise free exs} \leq m_1] \leq e^{-\frac{m_2}{2}(1-\nu)^2}.$$

Thus by selecting  $e^{-\frac{m_2}{2}(1-\nu)^2} \leq \delta/6$  we ensure that with probability at least  $1 - \delta/6$  that at least  $m_1$  noise-free examples are obtained. Thus by solving for  $m_2$  we obtain that we must select  $m_2 \geq \frac{2}{(1-\nu)^2} \ln \frac{6}{\delta}$ . Thus by drawing a sample of size

$$m_2 = \max \left\{ \frac{2m_1}{1-\nu}, \frac{2}{(1-\nu)^2} \ln \frac{6}{\delta} \right\}$$

we are guaranteed that Condition (1) holds with probability at least  $1 - \delta/6$ .

To compute the size of the sample needed to guarantee that Condition (2) holds with sufficiently high probability, we use Equation (2) with  $\alpha = 1/2$  and  $p = p_+/(p_+ + \nu p_-)$  (i.e. the probability that an example labeled as positive is truly positive). From this bound we get that by drawing a sample of size at least  $m_2 = 2 \ln \frac{6}{\delta} \left( \frac{p_+ + \nu p_-}{p_+ - \nu p_-} \right)^2$ , then condition (2) holds with probability at least  $1 - \delta/6$ .

This completes the proof of the theorem.  $\square$

Observe that  $\frac{1}{1-\nu}$  is the inverse of the amount by which the noise rate is less than 1, and  $\left( \frac{p_+ + \nu p_-}{p_+ - \nu p_-} \right)$  is the inverse of the amount by which the ratio of true positive examples to total positive examples is greater than  $1/2$ .

Next we perform a preprocessing phase that detects some of the false positive examples. For each positive example  $X \in \mathcal{S}_{\text{cover}}$ , let  $I_X$  be the portion of the real line within unit distance of any one of the  $n$  points in  $X$ . Recall that in any true positive

example, each point in the example is near some target point and there is a point in the example near each target point. Therefore, if we could intersect the  $I_X$  for all true positive examples  $X$  in  $\mathcal{S}_{cover}$ , then the  $k$  target points would be contained in the intersection. Thus, by Condition (2) on the sample, the candidate intervals for the target points can be reduced to those portions of the real line that are contained within at least half of  $I_X$  where  $X$  ranges over all examples reported as positive. Finally, any positive example with a point not within unit distance from a candidate interval for the target points is a false positive example and is discarded. This preprocessing is important to ensure that in each round we will be able to cover all points of the positive examples with  $2k + 1$  points in candidate intervals for the target.

**Lemma 2** *With probability at least  $1 - \frac{\delta}{3}$ , after the preprocessing phase is completed, any false positive examples that remain in  $\mathcal{S}_{cover}$  are within 2 units from a target point.*

**Proof:** By Theorem 2, with probability at least  $1 - \frac{\delta}{3}$ , greater than half of the positive example are truly positive. For a truly positive example  $X$ , observe that all points in  $I_X$  are within 2 units from a target point. Thus it follows that all false positive examples with a point greater than 2 units from a target point will be removed by the preprocessing.  $\square$

We now describe the modifications that we make in the portion of the noise-free algorithm in which a fraction  $\frac{1}{2(k+1)}$  of the remaining negative examples are eliminated.

**Case 1.** Recall that in the noise-free setting if at least half of the negative examples in  $\mathcal{N}$  have no points near some target point, then there is an interval of width 2 containing at least one point from each positive example that does not contain points in at least  $\frac{|\mathcal{N}|}{2k}$  of the negative examples where  $\mathcal{N}$  is the set of negative examples that remain.

We now show that this case is easily modified to handle the false positives errors that occur in the sample. Since more than half of the positive examples are real, it follows that in an interval near a target point, more than half of the positive examples are represented. Furthermore, since only false positive examples are located in an interval not near a target point, less than half of the positive examples are represented there. Thus, it suffices to find an interval  $I_1$  of width two containing at least one point from half of the positive examples that does not contain points in at least  $\frac{|\mathcal{N}|}{2k}$

of the negative examples. Finally, we compute  $H_1 \in \mathcal{H}_{2k+1}$  that is to be added to the hypothesis by placing a point in the middle of interval  $I_1$  and then covering the rest of the points in the positive examples in a greedy fashion.

**Case 2.** Recall that in the noise-free setting if at least half of the negative examples in the sample have a point that is not within unit distance of a target point then there is some interval containing points from at least  $\frac{|N|}{2(k+1)}$  distinct negative examples and no points from the positive examples where  $N$  is the set of negative examples that remain.

While in the noise-free setting in each positive example there are *no* points in intervals not within unit distance of any target point, with false positive examples we must find intervals having the desired number of negative examples represented and “few enough” points from positive examples. We then ignore these points, potentially introducing error if they were near a target point. The main complication comes from the observation that in an interval within unit distance from a target point, there could be a very high concentration of points from negative examples. Thus simply finding a group of  $\frac{|N|}{2(k+1)}$  negative examples with the fewest number of positive examples interleaved (thus treating the positive examples as false positives) could cause significant error in the final hypothesis.

For any interval  $I$  of the real line, let  $p_+(I)$  (respectively,  $p_-(I)$ ) denote the probability that a point from a randomly drawn positive (respectively, negative) example from the noise-free oracle  $EX$  is in  $I$ . We use  $\lambda(I)$  to denote the expected ratio of observed positive to observed negative examples in interval  $I$ , and  $\hat{\lambda}(I)$  to denote the estimated value for  $\lambda(I)$ . Let  $\mathcal{I}_{away}$  denote the set of intervals for which all points in any  $I \in \mathcal{I}_{away}$  are not within unit distance of a target point. Let  $\mathcal{I}_{light}$  denote the set of intervals such that a portion of each  $I \in \mathcal{I}_{light}$  is within unit distance of a target point, yet for all  $I \in \mathcal{I}_{light}$ ,  $p_+(I) \leq \frac{\epsilon}{2r}$ . Finally, let  $\mathcal{I}_{heavy}$  denote the set of intervals such that for  $I \in \mathcal{I}_{heavy}$ ,  $p_+(I) > \frac{\epsilon}{2r}$  (and thus a portion of each such interval is within unit distance of a target point).

Observe that for any interval  $I$ ,  $\lambda(I) = \frac{p_+(I) + \nu p_-(I)}{(1-\nu)p_-(I)} = \frac{\nu}{1-\nu} + \left(\frac{1}{1-\nu}\right) \frac{p_+(I)}{p_-(I)}$ . Thus we get the following key observations.

- For any interval  $I \in \mathcal{I}_{away}$ ,  $p_+(I) = 0$  and thus  $\lambda(I) = \frac{\nu}{1-\nu}$ .
- For any interval  $I \in \mathcal{I}_{heavy}$  since  $p_+(I) > \epsilon/(2r)$  it follows that  $\lambda(I) > \frac{\nu}{1-\nu} + \frac{\epsilon}{2r(1-\nu)}$ .

We now show that this separation is sufficient so that if we draw a large enough, but polynomial size, sample then with high probability, we know that in each round if Case 2 applies (which we know if Case 1 fails) then of the intervals containing a point from at least  $\frac{|\mathcal{N}|}{2(k+1)}$  distinct examples from  $\mathcal{N}$ , the interval  $I$  with the lowest value of  $\hat{\lambda}(I)$  provides a good set of negative examples from  $\mathcal{N}$  to eliminate.

**Lemma 3** *Assume that Case 1 does not apply and let  $\mathcal{I}$  be the set of minimum-sized intervals that contain at least one point from  $\frac{|\mathcal{N}|}{2(k+1)}$  distinct examples from  $\mathcal{N}$ . For each  $I \in \mathcal{I}$  we compute  $\hat{\lambda}(I)$  from  $\mathcal{S}_{estimate}$ . Let  $I_{min}$  be the interval  $I \in \mathcal{I}$  which minimizes  $\hat{\lambda}(I)$ . Then, with probability at least  $1 - \frac{\delta}{3r}$ , the error introduced by not allowing a positive point within unit distance of  $I_{min}$  will be at most  $\epsilon/(2r)$ .*

**Proof Sketch:** Since Case 1 does not apply, we know that Case 2 applies and thus there must be some interval  $I$  that is greater than unit distance from any target point that contains points from at least  $\frac{|\mathcal{N}|}{2(k+1)}$  distinct examples from  $\mathcal{N}$ . Since the minimum separation between the value of  $\lambda$  for intervals in  $\mathcal{I}_{away}$  and intervals in  $\mathcal{I}_{heavy}$  is at least  $\frac{\epsilon}{2r(1-\nu)}$ , using Hoeffding's inequality it can be shown that when the estimates for the  $\lambda$ s are computed from the sample  $\mathcal{S}_{estimate}$  of size  $\frac{8r^2(1-\nu)^2}{\epsilon^2} (\ln \frac{6}{\delta} + \ln r)$  where  $r = 2(k+1) \lg m_1$ , then each of the following conditions hold with probability at least  $1 - \frac{\delta}{6r}$ .

$$\text{For any } I \in \mathcal{I}_{away}, \quad \hat{\lambda}(I) < \frac{\nu}{1-\nu} + \frac{\epsilon}{4r(1-\nu)}. \quad (3)$$

$$\text{For any } I \in \mathcal{I}_{heavy}, \quad \hat{\lambda}(I) > \frac{\nu}{1-\nu} + \frac{\epsilon}{4r(1-\nu)}. \quad (4)$$

Let  $s = \frac{\epsilon}{2r(1-\nu)}$  denote the minimum separation between the value of  $\lambda$  for intervals in  $\mathcal{I}_{away}$  and intervals in  $\mathcal{I}_{heavy}$ . We use sample  $\mathcal{S}_{estimate}$  to compute  $\hat{\lambda}(I)$  for interval  $I$ . We now apply Hoeffding's inequality as given in Equation (1) with  $\gamma = s/2$  to get

that for any  $I \in \mathcal{I}_{away}$ ,

$$\Pr[\hat{\lambda}(I) \geq \frac{\nu}{(1-\nu)} + \frac{s}{2}] \leq e^{-2m_3(s/2)^2}$$

and for any  $I \in \mathcal{I}_{heavy}$ ,

$$\Pr[\lambda(I) \leq \frac{\nu}{(1-\nu)} + \frac{s}{2}] \leq e^{-2m_3(s/2)^2}.$$

Then to ensure the probability (for each condition above) that the condition does not hold is at most  $\frac{\delta}{6r}$  we require that  $e^{-2m_3(s/2)^2} \leq \frac{\delta}{6r}$  in both cases.

Solving for  $m_3$  yields that a sample of size

$$\frac{2}{s^2} \left( \ln \frac{6}{\delta} + \ln r \right) = \frac{8r^2(1-\nu)^2}{\epsilon^2} \left( \ln \frac{6}{\delta} + \ln r \right)$$

suffices. Solving for  $m_3$  yields that the given sample suffices.

We now complete the proof of the lemma. Since  $I \in \mathcal{I}_{away}$  by Equation (3) we have that with probability at least  $1 - \frac{\delta}{6r}$ ,

$$\hat{\lambda}(I) < \frac{\nu}{1-\nu} + \frac{\epsilon}{4r(1-\nu)}.$$

Furthermore,  $I \in \mathcal{I}$ , and thus it will be included in the minimum. By Equation (4) the probability that the estimate for any interval in  $\mathcal{I}_{heavy}$  is selected as the minimum is at most  $\delta/(6r)$  and thus, with probability at least  $1 - \frac{\delta}{3r}$ ,  $I_{min} \in \mathcal{I}_{away} \cup \mathcal{I}_{light}$ . The concept from  $\mathcal{H}_{2k+1}$  placed in the hypothesis prevents an example with a point in  $I_{min}$  to be classified as positive. Finally by the definitions of  $\mathcal{I}_{away}$  and  $\mathcal{I}_{light}$  this introduces error at most  $\frac{\epsilon}{2r}$  giving the desired result.  $\square$

Putting this all together we get our main result.

**Theorem 3** *There is an algorithm to PAC-learn the concept class  $C_{k,n}$  from the hypothesis class  $\mathcal{H}_{2k+1}$  when the learner is given access to the noisy oracle  $EX$ , that has time complexity and sample complexity polynomial in  $k$ ,  $\lg n$ ,  $1/\epsilon$ ,  $\lg 1/\delta$ ,  $\frac{1}{(1-\nu)}$ , and  $\left(\frac{p_+ + \nu p_-}{p_+ - \nu p_-}\right)$ .*

**Proof Sketch:** Our algorithm is shown in Figure 4. By the choice of  $m_1$ , if the algorithm's final hypothesis were consistent with  $m_1$  properly labeled examples then it would have error at most  $\epsilon/2$  with probability at least  $1 - \frac{\delta}{3}$ . Furthermore, from



Theorem 2 the probability that either Condition (1) or Condition (2) on  $\mathcal{S}_{cover}$  does *not* hold is at most  $\delta/3$ . Thus if the final hypothesis were consistent with all examples from  $\mathcal{S}_{cover}$  then it would have error at most  $\epsilon/2$  with probability at least  $1 - \frac{2\delta}{3}$ .

Given that Condition (2) holds then if Case 1 applies (i.e. at least half of the negative examples in  $\mathcal{N}$  have no points within distance two of any target point), then there is an interval of width two containing at least one point from each from each truly positive example in  $\mathcal{P}$  that does not contain points in at least  $\frac{|\mathcal{N}|}{2k}$  of the negative examples where  $\mathcal{N}$  is the set of negative examples that have not yet been eliminated. Since more than half of the points in  $\mathcal{P}$  are truly positive if Case 1 applies then there exists an interval  $I_1$  of width two containing at least one point from half of the positive examples that does not contain points in at least  $\frac{|\mathcal{N}|}{2k}$  of the negative examples. Thus this interval will be found. Finally, by placing a positive point in the middle of  $I_1$  all examples in  $\mathcal{N}$  with a point in  $I_1$  will be classified as negative by  $H_1$  and the algorithm will return to the top of the loop.

Now consider the case in which Case 1 does not apply, and thus Case 2 applies (i.e. at least half of the examples in  $\mathcal{N}$  have a point that is not near the target point). From Lemma 3 it follows that at each round the probability that the error introduced in  $H$  is greater than  $\frac{\epsilon}{2r}$  is at most  $\frac{\delta}{3r}$ . Thus, given that Conditions (1) and (2) hold for  $\mathcal{S}_{cover}$ , the probability that total additional error incurred in Case 2 is greater than  $\epsilon/2$  is at most  $\frac{\delta}{3}$ . Finally, we show that all positive points can be properly classified by a hypothesis in  $\mathcal{H}_{2k+1}$  for which there is no point within unit distance of  $I_{min}$ . From Lemma 2 we know that all positive examples in  $\mathcal{P}$  (truly positive and the false positives not eliminated by the preprocessing) are within distance 2 from a target point. Thus by greedily covering the positive examples we know that at most  $2k$  additional points will be needed.

Combining the above with the guarantees given that Conditions (1) and (2) on  $\mathcal{S}_{cover}$  hold, we get that the error of the final hypothesis output by our algorithm is at most  $\epsilon$  with probability at least  $1 - \delta$ . The sample complexity of our algorithm is  $O(|\mathcal{S}_{cover}| + r \cdot |\mathcal{S}_{estimate}|)$  and the time complexity is  $O(r \cdot |\mathcal{S}_{cover}| + r \cdot |\mathcal{S}_{estimate}|)$ .

□

## 7 Concluding Remarks

We are currently beginning to implement and test our algorithm on data from a robot with an imaging system as shown in Figure 2. Such experimental work will enable us to see how this approach to solve the landmark matching problem compares to a pattern matching approach. Also this experimental work may suggest modifications in the theoretical model of noise that we have studied so that it better models the type of noise found in real data.

We are also looking at techniques to reduce the time and sample complexity of the algorithm presented here, and studying the situation in which both the positive and negative examples are corrupted by random misclassification noise. Finally, it would be interesting to consider extensions of this work when the points in the target and example configurations are drawn from the plane.

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