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# Transformation Theory

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## Transformation Theory

### References

*The Principles of Quantum Mechanics*, P. A. M. Dirac (QC174.3 D5 1958).  
*Quantum Mechanics*, E. Merzbacher (Chem QC174.1 M36).

### The general structure of quantum mechanical questions

There are three steps in every quantum mechanical experiment.

① Prepare a system - *make a measure on some observable*

Let a state be prepared by measuring an observable  $\hat{A}$ . If

$$\hat{A}\psi_a = a\psi_a, \quad \{a, \psi_a\} \sim \text{the set of eigenvalues, eigenvectors of } \hat{A},$$

we can start the system in

$$\Psi(0) = \psi_a$$

by choosing systems for which an observation of  $\hat{A}$  at  $t = 0$  finds  $a$ .

② Wait in time

If  $\hat{H}\chi_n = E_n\chi_n$ , the initial state

$$\Psi(0) = \sum_n \langle \chi_n | \psi_a \rangle \chi_n$$

evolves in time into

$$\Psi(t) = \sum_n e^{-iE_n t/\hbar} \langle \chi_n | \psi_a \rangle \chi_n.$$

③ Observe some quantity.

If  $\hat{B}\phi_b = b\phi_b$ , observation of  $\hat{B}$  will reveal the value  $b$  with probability

$$|\langle \phi_b | \Psi(t) \rangle|^2 = \left| \sum_n \langle \phi_b | \chi_n \rangle e^{-iE_n t/\hbar} \langle \chi_n | \psi_a \rangle \right|^2. \quad (1)$$

*probability of the value of B*

## Unitary transformations - a linear transformation.

A transformation  $\hat{U}$  is linear if

$$\hat{U}(a\psi_1 + b\psi_2) = a(\hat{U}\psi_1) + b(\hat{U}\psi_2)$$

for all  $a, b, \psi_1, \psi_2$ . A linear transformation  $\hat{U}$  is unitary if

$$\langle \hat{U}\psi_1 | \hat{U}\psi_2 \rangle = \langle \psi_1 | \psi_2 \rangle$$

for all  $\psi_1, \psi_2$ .

The 3D vector analogue for this would be that

$$(\hat{U}\vec{v}) \cdot (\hat{U}\vec{w}) = \vec{v} \cdot \vec{w}$$

for all  $\vec{v}, \vec{w}$ . This is valid for *rotations* in 3D. Thus a unitary transformation is a complex, multidimensional extension of a rotation. [For *real* multidimensional vectors these are called *orthogonal* transformations.]

How do you know if a transformation is unitary or not?

## Matrix representations of unitary transformations

In an orthonormal basis set  $\{\phi_n\}$ ,  $\hat{U}$  would have a matrix representation

$$U_{mn} = \langle \phi_m | \hat{U} \phi_n \rangle.$$

If  $\psi_a, \psi_b$  are two (arbitrary) vectors with the matrix representations

$$\rightarrow \psi_a = \sum_n a_n \phi_n,$$

$$\rightarrow \psi_b = \sum_n b_n \phi_n,$$

then

$$\langle \psi_a | \psi_b \rangle = \sum_n a_n^* b_n.$$

Since

$$\hat{U}\psi_a = \sum_n a_n \hat{U}\phi_n = \sum_{n,k} a_n \langle \phi_k | \hat{U}\phi_n \rangle \phi_k = \sum_{k,n} \phi_k U_{kn} a_n,$$

$$\langle \hat{U}\psi_a | \hat{U}\psi_b \rangle = \sum_{k,m,n} U_{km}^* a_m^* U_{kn} b_n$$

Thus  $\hat{U}$  is unitary  $\iff$

$$\sum_n a_n^* b_n = \sum_{k,m,n} U_{km}^* a_m^* U_{kn} b_n, \quad \text{all } a_m, b_n$$

$$a_n^* = \sum_{k,m} a_m^* U_{km}^* U_{kn}, \quad \text{all } a_m$$

$$\delta_{mn} = \sum_k U_{km}^* U_{kn}. \quad (2)$$

Now the inverse operator  $\hat{U}^{-1}$  is the operator for which

$$\hat{U}^{-1} \cdot \hat{U} = \hat{1},$$

with  $\hat{1}$  the *identity* operator. Since the matrix representation for  $\hat{1}$  is  $\delta_{mn}$ ,

$$\begin{aligned} \delta_{mn} &= \langle \phi_m | \hat{U}^{-1} \hat{U} | \phi_n \rangle \\ &= \sum_k [\hat{U}^{-1}]_{mk} U_{kn}. \end{aligned}$$

Comparison with (2) shows that  $\hat{U}$  is *unitary*  $\iff [\hat{U}^{-1}]_{mn} = U_{nm}^*$ .

Contrast these two cases: If you transpose and take the complex conjugate of a matrix, you get

- (i) the original matrix if the matrix represents an Hermitian operator,
- (ii) the inverse of the original matrix if the matrix represents generates a unitary transformation.

**Basis set transformations are unitary transformations**

Let  $\{\phi_n\}$  be an orthonormal basis set, let  $\hat{T}$  be a linear transformation, and let

$$\psi_k = \hat{T} \phi_k$$

be the vector into which  $\hat{T}$  transforms  $\phi_k$ . In matrix form,

$$\psi_k = \sum_n \langle \phi_n | \hat{T} \phi_k \rangle \phi_n = \sum_n T_{nk} \phi_n$$

with

$$T_{nk} = \langle \phi_n | \psi_k \rangle = \langle \phi_n | \hat{T} \phi_k \rangle.$$

$\hat{T}$  will give a transformation to a new orthonormal basis set if

$$\begin{aligned}\delta_{k\ell} &= \langle \psi_k | \psi_\ell \rangle \\ &= \sum_{m,n} \langle T_{mk} \phi_m | T_{n\ell} \phi_n \rangle \\ &= \sum_{m,n} T_{mk}^* T_{n\ell} \langle \phi_m | \phi_n \rangle \\ &= \sum_n T_{nk}^* T_{n\ell}\end{aligned}$$

which shows (compare this with (2)) that  $T_{ij}$  is the matrix representation of a unitary transformation. That is, a 'rotation' of an orthonormal basis set gives a new orthonormal basis set.

The  $T_{nk}$  are, of course, the components of  $\psi_k$  in the basis set  $\{\phi_n\}$ . Writing  $T_{nk} = \psi_k^{(n)}$ ,

$$T = \begin{bmatrix} \psi_1^{(1)} & \psi_2^{(1)} & \dots \\ \psi_1^{(2)} & \psi_2^{(2)} & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} = [\bar{\psi}_1 | \bar{\psi}_2 | \dots]$$

The transpose of this matrix is

$$\tilde{T} = \begin{bmatrix} \psi_1^{(1)} & \psi_1^{(2)} & \dots \\ \psi_2^{(1)} & \psi_2^{(2)} & \dots \\ \vdots & \vdots & \ddots \end{bmatrix} = \begin{bmatrix} \bar{\psi}_1 \\ \bar{\psi}_2 \\ \vdots \end{bmatrix}$$

If we take the complex conjugate of this array, it is clear that the product

$$T \cdot \tilde{T}^* = [\langle \psi_k | \psi_\ell \rangle^*] = [\delta_{k\ell}^*] = \hat{1}$$

and

$$\tilde{T}^* \cdot T = [\langle \psi_k | \psi_\ell \rangle] = [\delta_{k\ell}] = \hat{1}$$

Of course,

$$[T^{-1}]_{kn} = [T^*]_{nk} = \langle \psi_n | \phi_k \rangle^* = \langle \phi_k | \psi_n \rangle$$

are just the matrix elements of the reverse transformation, the transformation from the  $\{\psi_k\}$  basis set to the  $\{\phi_n\}$  basis set.

## The quantum experiment revisited

Since  $e^{-i\hat{H}t/\hbar}\chi_n = e^{-iE_n t/\hbar}\chi_n$ , the probability of seeing 'b' after starting a system in  $\psi_a$  and waiting a time  $t$  can be written (that is, (1) can be rewritten)

$$\left| \sum_{m,n} \langle \phi_b | \chi_m \rangle \langle \chi_m | e^{-i\hat{H}t/\hbar} \chi_n \rangle \langle \chi_n | \psi_a \rangle \right|^2.$$

There are two basis set transformations. The unitary operator  $\hat{R}$  with matrix elements  $R_{na} = \langle \chi_n | \psi_a \rangle$  generates the basis set transformation  $\{\psi_a\} \rightarrow \{\chi_n\}$ .

The unitary operator  $\hat{S}$  with matrix elements  $S_{bn} = \langle \phi_b | \chi_n \rangle$  generates the basis set transformation  $\{\chi_n\} \rightarrow \{\phi_b\}$ .

Time evolution is associated with

$$U_{mn}(t) = \langle \chi_m | e^{-i\hat{H}t/\hbar} \chi_n \rangle = e^{-iE_n t/\hbar} \delta_{mn}.$$

This is also a unitary transformation since

$$\sum_k U_{km}^*(t) U_{kn}(t) = \sum_k e^{iE_m t/\hbar} \delta_{km} e^{-iE_n t/\hbar} \delta_{kn} = \delta_{mn}.$$

Symbolically, time evolution is a linear transformation

$$\Psi(t) = \hat{U}(t)\Psi(0), \quad \text{with } \hat{U}(t) = e^{-i\hat{H}t/\hbar}$$

with  $\hat{U}(t)$  a unitary transformation.

Putting all this together, the sought probability is

$$\left| \sum_{m,n} S_{bm} U_{mn}(t) R_{na} \right|^2 = |[\hat{S}\hat{U}(t)\hat{R}]_{ba}|^2.$$

The computational problem consists of making three unitary transformations. We start from a basis set fixed by  $\hat{A}$ , rotate to a basis set fixed by  $\hat{H}$ , rotate by  $e^{-i\hat{H}t/\hbar}$ , and then rotate to a basis set fixed by  $\hat{B}$ .

R  
U Time evolution  
S

The Emperor's New Mind

Roger Penrose